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Maritimes Region

Maritimes Regional Application of the National Framework for Assessing the Vulnerability of Biological Components to Ship-Source Oil Spills in the Marine Environment

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Foreword

This series documents the scientific basis for the evaluation of aquatic resources and ecosystems in Canada. As such, it addresses the issues of the day in the time frames required and the documents it contains are not intended as definitive statements on the subjects addressed but rather as progress reports on ongoing investigations.

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1. ABSTRACT

An important contribution to fulfilling Fisheries and Oceans Canada (DFO) commitment in oil– spill response planning was the development of a framework for the rapid assessment of vulnerability of marine biological components to ship-source oil spills that fall under the DFO mandate, contributing to the ecological aspects of the 'Resources at Risk' component of oil spill planning and response. A National framework, developed in 2017 (Thornborough et al. 2017) – uses a structured approach for assessing and screening biological components expected to be most affected by a ship-source oil spill, utilizing a suite of criteria to assess vulnerability.

The framework identified two key phases for assessing vulnerabilities of marine components:

- 1. Grouping of biological components (sub-groups) based upon shared characteristics related to oil vulnerability; and
- 2. Scoring of biological sub-groups against ecological vulnerability criteria (Exposure, Sensitivity, and Recovery) to identify those most vulnerable to oil using a binary scoring system.

For validation purposes, the National framework stressed the need to apply and test the framework in a variety of marine aquatic environments across Canada. This research document describes how the National framework was used in the Maritimes Region, to:

- 1. Adapt the National framework to create appropriate sub-groups for Maritimes Region biota;
- 2. Apply the National scoring criteria to Maritimes Region sub-groups, adapting scoring criteria where necessary; and
- 3. Develop a rank list of sub-groups most vulnerable to a ship-source oil spill in the Maritimes Region.

The vulnerability results from the application of the National framework in the Maritimes Region will help identify marine sub-groups that are most vulnerable to oil and will be used to inform oil spill response strategies in an effort to manage and limit the impacts of oil spills in the Region.

2. INTRODUCTION

2.1. OIL FATE AND BEHAVIOUR IN THE MARINE ENVIRONMENT

Oil is composed of organic hydrocarbons and inorganic molecules that arise from the anaerobic breakdown of biological material. While all petroleum products have related chemical compositions, they vary according to the molecular weight of the hydrocarbons they contain. Light refined products (e.g., gasoline) contain a larger proportion of low molecular weight hydrocarbons in comparison to heavy oils, such as crude, which are primarily made up of high molecular weight hydrocarbons. Molecular weight differences influence the particular properties and characteristics of the petroleum product (e.g., density, viscosity, and flash point), as well as the fate and behaviour of the product when released into the aquatic environment (Wang and Fingas 2003). In general, lighter hydrocarbons are more volatile, have higher solubility in water and vaporize faster than their heavier counterparts.

Oil spills can be disastrous to the marine environment. The severity of impacts of oil spills depend on a number of factors: the chemical properties of the oil product spilled, environmental parameters of the spill area, spill size and time of year, mitigation measures used, among others (Fingas 2011).

In the event of a spill, light oils will evaporated more quickly than heavy oils. In fact, up to 75% of light oil mass can be lost to the evaporative process compared to only 10% for heavier oils (Fingas 1999). However, evaporation of the lighter oils will result in an increased proportion of heavier oils in the slick, which can persist in the marine environment.

Once an oil product is spilled at sea, a complex series of factors such as oil weathering rate, spill location, hydrodynamic conditions, spill site geography, substrate type, dispersion, and dilution rate will determine the nature and complexity of the spill and its effects on the marine biota living in the intertidal and subtidal marine environments.

2.2. OIL TOXICITY AND EFFECTS ON MARINE FLORA AND FAUNA

Toxicity has been defined as negative effects (lethal and/or sub lethal) on organisms caused by exposure (acute or chronic) to a chemical or substance. The toxicity and effects of petroleum products on marine species is a complex issue, given the sheer number of petroleum products, changing environmental conditions impacting the bioavailability of oil, the differences in life history strategies between species, and more.

Impacts to marine species from exposure to oil products in the environment can be broadly categorized into physical/mechanical and chemical toxicological impacts.

Physical/mechanical impacts can generally occur when an organism, exposed to a petroleum product, exhibits a physical impairment such as a reduction in feeding caused by the obstruction of feeding structures (baleen, gill rakers, etc.), reduction in photosynthesis through physical coating of plants, or the loss of thermoregulation capacity resulting from coating of fur in oil.

Chemical toxicological impacts to marine species can be further categorized into lethal and sublethal impacts from either acute or chronic exposure. Common approaches for measure acute lethal toxicity in laboratory settings include the determination of an LC50 (concentration at which 50% of the test population exhibits mortality). When considering sub-lethal impacts, there are a variety of endpoints that can be examined including behavioral changes, embryo toxicity and early stage developmental abnormalities, individual effects (deformities, heart-related impacts), endocrine disruption effects on reproductive physiology, growth rate depression, metabolic function, genotoxicity and more (Dupuis and Ucan-Marin 2015).

When considering marine organisms in a broad sense, laboratory studies and field observations have generally shown that lethal and sub-lethal impacts can occur following exposure to petroleum products, whether by physical or chemical sensitivity. However, it should be noted that the Environment and Climate Change Canada (ECCC) Crude Oil and Petroleum Product Database (ECCC 2021) lists 351 different crude and refined petroleum products. With the sheer number of oil products, their associated weathering patterns in a dynamic environment, the number marine species in the region, work on biological effects of petroleum product exposure is far from conclusive, requiring additional consideration.

While a great deal of research and a number of comprehensive literature reviews (e.g., O'Brien and Dixon 1976, Dupuis and Ucan-Marin 2015) have been conducted on the impacts of oil spills on marine biota, studies are not equal across taxa. Furthermore, within taxa research results are often not comparable due to differences in oil type tested, dose administered, exposure length and endpoints used in the studies. A lack of pre-spill baseline data, sampling method differences and the fact that impacts of oil may take years to be fully realized in organisms, further complicate definitive conclusions.

For this application, it is assumed that all organisms will experience some degree of impairment or toxic effect when exposed to an oil spill. The framework was used to determine the 'degree' to which inherent ecological and biological traits predispose some groups to be more vulnerable to oil than others.

2.3. MARITIMES REGION AND OIL

The DFO Maritimes Region (Figure 1) is home to a shoreline that is approximately 10,000 km long. The shoreline contains a variety of sediment types, from consolidated (e.g., bedrock, boulder, cobble beaches) to unconsolidated substrates such as sand beaches and muddy tidal flats (ECCC 2015). The region is also home to several major shipping ports and oil handling facilities, and experiences high-levels of marine vessel traffic.

2.3.1. Oil Transport and Handling in the Maritimes

The Maritimes Region features two of the main petroleum shipping ports in Eastern Canada – Saint John, New Brunswick in the Bay of Fundy, and Port Hawkesbury–Canso Strait, Nova Scotia (Figure 1).

Tankers of 200,000 deadweight tonnage and larger transit the lower Bay of Fundy bringing crude oil from various foreign sources into the Port of Saint John, New Brunswick (Figure 1), one of the busiest Canadian ports for oil tanker traffic. The Saint John Port Authority has noted that 12,382,874 metric tons (MT) of crude oil, 11,770,564 MT of petroleum, 656,556 MT of refined petroleum products, and 239,640 MT of natural gas passed through the port in 2016 (Somerville 2017 as cited in Ryan et al. 2019). With respect to the volume of oil products being transported through the Port of Saint John, it is considered to have the highest risk of an oil spill of any port in Canada (SL Ross Environmental Research 1999). Port Hawkesbury and Point Tupper are the main petroleum shipping centres for the Strait of Canso (Prouse 1994) (Figure 1).

In the Strait of Canso, the refinery at Point Tupper, NS became a terminal for supertankers in 1993, with facilities to store, blend, and transfer crude and refined oils to smaller vessels. With depths greater than 60 m, the Strait of Canso can accommodate vessels of up to 500,000 deadweight tonnes (DWT) and provides the deepest harbour on the North American east coast (Gardner Pinfold 2010). In addition to the two public harbours, Port Hawkesbury and Mulgrave, there are also five private terminals in the Strait (Invest Cape Breton 2018 as cited in Ryan et al. 2019). The whole area is known as the Strait of Canso Superport, and has handled over

30 million tonnes of cargo annually from 2005 to 2010 (Strait of Canso Superport 2018). Of the 31.6 million metric tonnes of cargo in 2006, 21.6 million tonnes were crude petroleum (Statistics Canada 2011). In 2009, two-thirds of all cargo in Nova Scotia was handled by Port Hawkesbury, although in 2010 tonnage decreased 10.5% to 26.3 million tonnes, largely as a result of a 12.1% decline in the tonnage of crude petroleum (Government of Nova Scotia 2010).

Port Hawkesbury handles both crude oil and refined products (Gardner Pinfold 2010). Increasing amounts of foreign oil are being trans-shipped to the northeastern United States, bringing in crude oil from Europe in tankers of 250,000 DWT (20 shipments from Norway in 1998) and transferring it to smaller tankers in the 80,000 DWT range, because many foreign tankers are too large to be accommodated by the U.S. ports (SL Ross Environmental Research 1999).

This trans-shipment activity has more than doubled since 1994, amounting to about 11 million tonnes in 1998, which is 14% of all oil moved by ocean vessel in Canada, representing a large spill risk (SL Ross Environmental Research 1999).

Figure 1. DFO Maritimes Region administrative boundary. Port Saint John and the Strait of Canso Superport locations are shown in red.

2.3.2. Past Oil Spills in the Maritimes Region

The largest oil spill in Canada occurred off the East Coast in 1970. The tanker *M/T Arrow* spilled over 10,000 tonnes of oil off Nova Scotia. This is about one quarter the amount spilled in US waters by the *Exxon Valdez* in 1989. About 2000 m³ of Bunker C was spilled, covering 300 km

of shoreline. Rakes, peat moss, and shovels were used on the shorelines, but despite efforts, less than 50 km were cleaned up and oil persisted for several years on the shores of Chedabucto Bay. Remaining oil in the tanker was transferred to the Irving Whale Barge (Ryan et al. 2019).

Thirty years after the spill, sediments and interstitial waters were collected from a sheltered lagoon in Black Duck Cove, an area that had been heavily oiled and left to recover naturally. Chemical analysis of the sediments confirmed that the remaining oil had undergone significant weathering, including photo-oxidation, abrasion by ice scour, dissolution, dispersion with mineral fines, evaporation of volatile components, and biodegradation. In the fall of 2015, 33,000 litres of oil and oily water were suctioned from the *Arrow* wreck by divers contracted by Canadian Coast Guard (Ryan et al. 2019).

Marine pollution incidents are generally reported to the Canadian Coast Guard and/or the Environment and Climate Change Canada (ECCC) National Environmental Emergencies Centre (NEEC) for assessment. While the Maritimes Region has not experienced a spill as large as the *M/T Arrow* since 1970, other marine incidents occur in the region every year. Many such incidents are small, with amounts ranging from 0.0001 L to 2 L, sometimes occurring via fueling mishaps (over-fueling a vessel, a small leak from a fueling hose, etc.). Some incident reports can be considered 'potential' pollution for a variety of reasons (e.g., vessel loses then regains steerage; vessel aground with no visible pollution), while others can range from grounding incidents to sunken vessels – involving a range of vessel types, from small pleasure crafts, fishing vessels, tanker and transports.

Data on past spills in the Maritimes Region is scarce, as a coordinated effort to digitize past pollution reports has only recently begun. The ECCC National Environmental Emergencies Operation Center (NEEOC) has been actively registering all spill data from across the country since mid-2018, and is in the process of engaging the environmental response community to further developing a consistent reporting method. Currently, the ECCC NEEOC data is organized at a provincial level and is not yet suited to querying by DFO administrative boundary.

Using previous pollution reports summarized by the Canadian Coast Guard, an average of 463 incidents have been reported annually between 2017 and 2020 in the Maritimes Region. Many of these incidents represent potential pollution, but a number of them are actual pollution events, highlighting the need for continued efforts in marine spill response in the region.

2.4. CANADIAN OIL SPILL RESPONSE REGIME

Since 1995, Canada's Ship-Source Oil Spill Preparedness and Response Regime has provided the framework for readiness to respond to ship-source oils spills in the Canadian marine environment. Since its implementation, there have been few major ship-source oil spills in Canadian waters. While the Regime has been effective in minimizing marine oil spills, there has been a steady increase in the volume of oil transported within Canadian waters, as well as the number and size of vessels transporting oil products (Ryan et al. 2019). As tanker traffic increases so does the risk of accidental oil spills. In 2013, recognizing the risks and increasing public concern around oil transport safety, as well as the growing awareness and progressive developments in oil spill preparedness and response internationally, the Government of Canada announced the creation of a Tanker Safety Expert Panel (TSEP) and a World-class Tanker Safety System (WCTSS) program.

Established with a goal to analyze and strengthen Canada's oil tanker safety and oil spill response preparedness, the TSEP released its initial report in November 2013, *A Review of Canada's Ship-Source Oil Spill Preparedness and Response Regime – Setting the Course for the Future* (Houston et al. 2013), which offers a comprehensive analysis of Canada's existing oil spill response systems south of 60°N latitude. The panel indicated that, in general, the foundational principals of the 1995 regime have stood the test of time, but also made several recommendations to improve preparedness and response to ship-source oil spills in Canada, to reflect a more modern and comprehensive response approach.

One of the recommendations from the TSEP was that, in lieu of a single overarching National response program, regional response plans should be developed based on addressing specific regional risks, taking into account distinct geographic and climate variables. Furthermore, the respective regional response plans should be indicative of differences in industrialization and environmental parameters, the most probable types of oil spills, and worst case impacts (Houston et al. 2013).

2.4.1. Area and Regional Response Planning

Following TSEP recommendations, in 2014, the Government of Canada stood-up the Area Response Planning (ARP) initiative, co-led by Transport Canada (TC) and the Canadian Coast Guard (CCG), in partnership with other Federal Departments, with the goal of furthering the development of specific oil-spill response plans in the following pilot areas:

- Saint John and the Bay of Fundy, New Brunswick (Maritimes Region)
- Port Hawkesbury–Canso Strait, Nova Scotia (Maritimes Region)
- St. Lawrence Seaway, Montreal to Anticosti, Québec (Quebec Region)
- Strait of Georgia and the Juan de Fuca Strait, British Columbia (Pacific Region)

In 2017 the ARP initiative expanded to become the Regional Response Planning initiative (RRP), which included greater collaboration with indigenous and coastal communities, and increased integration with existing planning processes in the existing ARP areas. In 2019, Planning for Integrated Environmental Response (PIER) began, expanding the scope in the Maritimes beyond the existing ARP/RRP areas to the whole of the Department of Fisheries and Oceans (DFO) Maritimes Region (Figure 1).

Under these initiatives, one of the directives of the Department of Fisheries and Oceans (DFO) Science Branch was to provide science based information to better understand the impacts of ship-sourced oil spills on marine biological components.

2.4.2. National Vulnerability Framework

An important contribution to fulfilling DFO's commitment in oil-spill response planning was the development of a framework for the rapid assessment of vulnerability of marine biological components to ship-source oil spills that fall under the DFO mandate, and which contributes to the ecological aspects of the 'Resources at Risk' component of oil spill planning and response (Figure 2).

Figure 2. Overview of how the vulnerability framework fits in with the overall model for oil spill planning and response ("ecological" Resources at Risk).

The National framework, developed in 2017 – *A Framework to Assess Vulnerability of Biological Components to Ship-source Oil Spills in the Marine Environment* (Thornborough et al. 2017) – uses a structured approach for assessing and screening biological components expected to be most affected by a ship-source oil spill, utilizing a suite of criteria to assess vulnerability. While often used interchangeably with sensitivity, vulnerability is generally defined as the degree to which a system is susceptible to, and unable to cope with, injury, damage, or harm (De Lange et al. 2010). As such, sensitivity is a nested factor of vulnerability, where vulnerability is a function of: exposure to a stressor, sensitivity, and recovery potential.

Building on this approach, the National framework divided vulnerability into three categories: Exposure, Sensitivity and Recovery, each encompassing a number of criteria which were envisaged to be consistent yet broad enough to be applicable in a variety of aquatic environments (Thornborough et al. 2017). The authors intended that the framework should not be limited by data availability or heavily influenced by, or dependent on, expert opinion and be adaptable for application in any aquatic environment in Canada. Vulnerabilities, once determined, should be used by stakeholders when selecting appropriate response strategies to manage and limit the impact of oil spills.

For validation purposes, the National framework stressed the need to apply and test the framework in a variety of marine aquatic environments across Canada.

2.5. OBJECTIVES

This research document describes how the National framework was adapted, modified and applied in the Maritimes Region. The specific objectives of the Maritimes application are:

1. Adaptation of the National framework to create appropriate sub-groups for Maritimes Region biota;

- 2. Application of the National scoring criteria to Maritimes Region sub-groups, adapting scoring criteria where necessary; and
- 3. Development of a rank list of sub-groups most vulnerable to a ship-source oil spill in the Maritimes Region.

3. FRAMEWORK

3.1. SCOPE

The National framework (as outlined in Thornborough et al. 2017):

- Assesses vulnerability on acute effects from direct contact with oil and does not consider the effects of chronic exposure to spilled oil;
- Does not consider secondary impacts (higher level trophic dynamics), (e.g., the ingestion of contaminated food sources); or cumulative effects from multiple stressors;
- Focuses on generalized impacts from the initial stages of a ship-source oils spill and does not differentiate between oil types;
- Does not consider mitigation measures such as the use of chemical dispersants;
- Is focused on marine biological components that fall within DFO's mandate; those at and below means high water springs, including plants, invertebrates, fish, mammals, and reptiles;
- Does not assess species based on socio-economic or cultural value; species with conservation status (i.e., listed under Species at Risk Act (SARA)) are captured within the assessment;
- Does not assess habitat directly. Habitat is included when associated with vulnerable biological components such as areas supporting high concentrations or aggregations of vulnerable species groups/sub-groups, and are assumed to be an underlying reason for aggregations or for seasonal movements;
- Assesses biogenic habitats (e.g., eelgrass beds, glass sponge reefs) on a species subgroup level (e.g., eelgrass, Porifera), rather than as separate habitats;
- Does not consider shoreline type due to the pre-existing shoreline classification system that ranks shoreline types by sensitivity to spilled oil (Howes et al.1994);
- Does not assess Ecologically and Biologically Significant Areas (EBSAs), Marine Protected Areas (MPAs), or other planning areas. These are considered as sources of supplementary information for oil spill planning and response purposes;
- Was developed for marine environments.

3.2. OVERVIEW

A flowchart developed for the National framework working process (as published in Thornborough et al. 2017), can be seen in Figure 3. The framework identified two key phases for assessing vulnerabilities of marine components:

1. Grouping of biological components (sub-groups) based upon shared characteristics related to oil vulnerability; and

2. Scoring of biological sub-groups against ecological vulnerability criteria (Exposure, Sensitivity, and Recovery) to identify those most vulnerable to oil using a binary scoring system.

The framework was developed to be:

- 1. Nationally consistent;
- 2. Regionally flexible;
- 3. Grounded in science;
- 4. Rapid and simple to implement;
- 5. Able to provide a concise list of biological components most vulnerable to oil.

The framework is considered rapid and easy to use based on the use of sub-groups and not individual species for scoring, as well as the use of a binary scoring system to score the subgroups against three categories of vulnerability criteria; to generate a rank list of the vulnerability of biological components to oil in any region. The framework is meant to be grounded in science as scientific justification would be used to rationalize all scores given to sub-groups in each scoring category.

For validation purposes (and to test the regional flexibility of the National model), the National framework stressed the need to apply and test the framework in a variety of marine aquatic environments across Canada. This research document describes how the National framework was adapted, modified and applied to the Maritimes Region.

3.3. GROUPING BIOLOGICAL COMPONENTS

Determining vulnerabilities using the National framework is considered to be simple to implement and quick to use based on the premise that the use of species sub-groups eliminates the need to assemble lists of all available species for a geographic area at the study outset. In the National framework, sub-groupings were developed for five high-level biological groups: Marine Plants and Algae; Marine Invertebrates; Marine Fish; Marine Reptiles, and Marine Mammals. Sub-groups were organized using characteristics and shared biological and ecological traits among its members pertaining to their vulnerability to oil. Within these five broader groups, the framework proposed seventy-five sub-groups, and only the sub-groups identified as most vulnerable would be populated with species (i.e., after scoring).

3.3.1. General Modifications to the National Framework

The National vulnerability framework application is built on a top-down in approach, whereby all species groupings are assumed to be present in the application area regardless of data availability, with groupings populated with appropriate species only at the end of the process. In the National framework (Figure 3), only sub-groups identified as most vulnerable to oil are populated with species. However, the framework allows flexibility in the development of subgroupings that account for regional differences.

In contrast, sub-group development was completed using a bottom up approach in the Maritimes Region, with the initial development of lists of verified regional species at the highlevel biological groups (Marine Plants and Algae, Marine Invertebrates, Marine Fishes, Marine Mammals, and Marine Reptiles) (Figure 4).

In order for a species to be considered a "verified input" for sub-group consideration, its existence in the Maritimes Region was confirmed by a minimum of two sources (primary literature, DFO survey data, databases, museum holdings, field guides, textbooks and trusted web sources (e.g., Smithsonian collections; World Register of Marine Species, 'WoRMs'; Canadian Register of Marine Species, 'CaRMs')). This first verification step, while time consuming was considered necessary as a foundational building block to build the Maritimes sub-groups, increasing the confidence around sub-group inclusiveness and subsequent subgroup scoring and vulnerability rankings. Species lists, while not exhaustive, are considered to be inclusive of a high proportion of Maritimes species in each group, and highly representative of the differences in ecological and biological traits used in the development of sub-groups (species lists for each higher-level group can be seen in [Appendix 6,](#page-217-0) Tables A16 to A20).

Information on the biological and ecological traits for each species was collected concurrently with species verification, and was used to develop regional sub-groups. Sub-group levels were structured with increasing levels of specificity and finer detail with regard to shared biological and ecological characteristics related to vulnerability to oil, enabling them to be distinguished from one another and to be effectively assessed by the scoring criteria.

Upon sub-group completion, all scoring and ranking was applied at the sub-group level, using the scoring criteria broadly outlined in the National framework. There were no screened out subgroups during scoring Maritimes sub-groups against the Exposure and Sensitivity vulnerability criteria so the 'screened out sub-groups' component was dropped from the Maritimes application. A flowchart developed for the Maritimes Region application, illustrating modifications from the National model is detailed in Figure 4.

3.3.2. General Sub-grouping Modifications

- The base nomenclature for organizing the sub-groups within the high-level biological groups was changed from 'sub-group 1, 2, 3…' (e.g., Table 1) as presented in the National framework, to 'sub-group level 1,2,3...', (e.g., Table 2) to simplify the process and to avoid confusion, as scoring is done for only the last sub-groups created from the culmination of applying all previous levels (i.e., highest order distinction).
- In some cases, reorganization of sub-groups where deemed necessary to allow for clearer differentiation in scoring (e.g., Marine Fishes group).
- In some cases, additional levels added to further break down sub-groups. For example, location and habitat descriptors was included where needed to further differentiate subgroups in the Marine Plants and Algae group.
- In a few instances, sub-groups were added to account for species that were not captured in the groupings outlined in the National model (e.g., lophophorates in Marine Invertebrates group).

The following sections illustrate the modifications made to the National framework for all 5 highlevel biological groups. A total of 116 sub-groups were developed for the Maritimes Region application at the highest level of detail and distinction (i.e., final sub-groupings at level 3, 4 or 5 – depending on group).

Figure 3. Overview of National vulnerability framework process (Thornborough et al. 2017).

Figure 4. Maritimes Region modification to the National framework process.

3.3.2.1. Marine Plants and Algae Grouping

The National framework proposed 8 sub-groups in the marine plants and algae group (Table 1). Substantial changes were made to the sub-group levels within the marine plants and algae group for the Maritimes Region application.

With modifications, an extra 6 sub-groups were added in the Maritimes application, creating a total of 14 sub-groups (Table 2).

The changes made were as follows:

Sub-group level 1: was modified from 'pelagic' and 'benthic' to 'intertidal', 'subtidal' and 'epipelagic' to address the difference between intertidal and subtidal plant and algae species with regard to tidal exposure and zonation. Epipelagic was used to distinguish phytoplankton from the other sub-group levels.

Sub-group level 2: maintained 'vascular' and 'non-vascular' and applied them to both the intertidal and subtidal in sub-group level 1. Both vascular and non-vascular plants are present in the intertidal sub-group level, with only non-vascular being present in the subtidal sub-group level. This breakdown helps tease out those species which have broad ranges across both the intertidal and subtidal zones and whether there are scoring differences in the same species due to location.

Sub-group level 3: further breaks down the non-vascular component into more specific algal growth forms: 'canopy', 'understory and turf', and 'encrusting'. These components, not present in the National framework, provide more separation of the non-vascular plant types and allows the examination of morphological impacts on scoring with regard to vulnerability to oil.

Sub-group level 4: added a combined habitat feature based on substrate and wave exposure.

Sub-group level 5: delves into more detail in the vascular plants, considering more specific plant types (seagrasses, saltmarsh grass, salt-marsh-non-grass, and saltmarsh succulent). This allows the examination of morphological, zonation, and tidal flux impacts on scoring with regard to vulnerability to oil, and allows clearer separation of high marsh and low marsh plant species.

Additional changes: Phytoplankton is presented as a single epipelagic sub-group, representative of all regional species. Breaking phytoplankton into further sub-groups would be unmanageable in the current application, and likely would render few to no difference in scoring with regard to vulnerability to oil.

Table 2. Maritimes Region sub-group breakdown for marine plants and algae with example species (N/A = not applicable).

Sub-group Level 1	Sub- group Level ₂	Sub-group Level 3	Sub-group Level 4	Sub-group Level 5	Examples of Maritime species within the sub-group
	Vascular	N/A	High energy unconsolidated habitat	None found	
Intertidal			Moderate to low energy unconsolidated habitat	Seagrasses	Ruppia maritima, Zostera marina
				Saltmarsh grass	Carex paleacea, Juncus gerardii, Juncus caesariensis, Puccinellia maritima, Spartina alterniflora
				Saltmarsh non- grass	Achillea millefolium, Plantago maritima, Limonium carolinianum, Triglochin maritimum
				Saltmarsh succulent	Crassula aquatica, Honckenya peploides, Salicornia europae/ S. depressa
	Non- vascular	Canopy	High energy consolidated habitat	N/A	Alaria esculenta. Laminaria digitata, Saccharina latissima
		Understory and turf	High energy consolidated habitat	N/A	Chondrus crispus, Fucus endentatus, Fucus spiralis, Porphyra purpurea, Corallina officinalis
			Moderate to low energy consolidated habitat	N/A	Chorda tomentosa. Polysiphonia stricta, Ptilota elegans, Ulva intestinalis, Ulva lactuca. Corallina officinalis
		Encrusting	Consolidated habitat	N/A	Coralline encrusting algae, e.g., Lithothamnion glaciale
Subtidal	Non- vascular	Canopy	High energy consolidated habitat	N/A	Alaria esculenta, Laminaria digitata, Saccharina latissima
			Moderate to low energy consolidated habitat	N/A	Agarum clathratum, Halosiphon tomentosus, Laminaria digitata, Saccharina latissima
Subtidal	Non- vascular	Understory and turf	High energy consolidated habitat	N/A	Chondrus crispus, Chorda tomentosa, Desmarestia viridis, Euthora cristata, Furcellaria lumbricalis

3.3.2.2. Marine Invertebrates Grouping

The National framework proposed 37 sub-groups in the marine invertebrates group (Table 3). Only a few changes were made to the marine invertebrates sub-groups (Table 4) for the Maritimes Region application.

Table 3. Proposed National sub-group breakdown for marine invertebrates (N/A = not applicable).

Sub-group breakdown				
Sub-group 1	Sub-group 2	Sub-group 3	Sub-group 4	
		Sessile (attached to hard substrate)	Crustacea (e.g., barnacles)	
	Rock and rubble dwellers Sediment infauna		Mollusca (e.g., oysters)	
			Cnidaria (e.g., sea anemones)	
			Porifera (e.g., demosponges)	
			Worms (e.g., tube worms)	
			Ascidia (e.g., sea squirts)	
		Low mobility	Worms (e.g., annelids)	
			Echinoderms (e.g., sea urchins)	
Intertidal			Mollusca (e.g., gastropods)	
		High mobility	Crustacea (e.g., crabs)	
			Mollusca (e.g., octopus)	
		Low mobility	Mollusca (e.g., clams)	
			Worms (e.g., annelids)	
	Sediment epifauna	Low mobility	Mollusca (e.g., gastropods)	
			Cnidaria (e.g., sea pens)	
			Echinoderms (e.g., sea stars)	
		High mobility	Crustacea (e.g., crabs)	
	Rock and rubble dwellers	Sessile (attached to hard substrate)	Crustacea (e.g., barnacles)	
			Mollusca (e.g., mussels)	
Subtidal benthic			Cnidaria (e.g., coral)	
			Porifera (e.g., glass sponges)	
			Worms (e.g., tube worms)	
			Ascidia (e.g., sea squirts)	

With modifications, an extra 21 sub-groups were added in the Maritimes application, creating a total of 58 invertebrate sub-groups (Table 4).

The changes made were as follows:

Sub-group level 1: separates marine invertebrates by location (intertidal/subtidal/pelagic) to address differences in exposure. No changes made to this level for the Maritimes application.

Sub-group level 2: uses a substrate habitat factor (rock and rubble dwellers/sediment infauna/sediment epifauna) to differentiate habitat role in exposure and recovery. In the Maritimes application, sub-groups for pelagic larval forms were added at this level.

Sub-group level 3: addresses mobility (sessile/low mobility/high mobility) to identify sub-groups with the ability/inability to move in the event of an oil-spill. No changes made to this level for the Maritimes application.

Sub-group level 4: is based on taxonomic divisions, mostly at the phyla level. Several changes were made at this level for consistency.

A reorganization of this sub-group level was performed to ensure consistency at the phylum level. The National framework includes a mixture of phyla and classes in sub-group 4. In the Maritimes application, only phyla (or an amalgamation of phyla) are used in sub-group level 4, with classes being used only as examples within phyla (e.g., sea squirts and others members of Class Ascidacea, were used as an example class for the new Phylum - 'hemichordates').

There were two sub-groups created in sub-group level 4 via an amalgamation of phyla:

- 1. 'Worms' include the Phyla Acanthocephala, Annelida, Chaetognatha, Gastrotricha, Gnathostomulida, Nemadoda, Nematomorpha, Nemertea, Onychophora, Platyhelminthes, Priapulida, Sipuncula and Xenacoelomorpha.
- 2. Lophophorates include the Phyla Entoprocta, Ectoprocta, Brachiopoda and Phoronida.

Additional changes: Non-larval zooplankton, was presented as a single sub-group, representative of all regional species. As with phytoplankton, breaking non-larval zooplankton into further sub-groups would be unmanageable in the current application, and likely would render few to no difference in scoring with regard to vulnerability to oil.

Table 4. Maritimes Region sub-group breakdown for marine invertebrates with example species (CL = class, N/A = not applicable).

Sub- group Level 1	Sub-group Level ₂	Sub-group Level 3	Sub-group Level 4	Examples of Maritime species within the sub-group	
		Sessile (attached to hard substrate)	Porifera	Sponges [CL. Demospongiae, Calcarea]	
	Rock and		Cnidaria	Colonial hydroids [Hydrozoa]; Stalked jellyfish [Staurozoa]	
			Worms	Tube worms [Polychaeta]	
			Lophophorates	Marine bryozoans [Bryozoa]; Lampshells [Branchiopoda]	
			Mollusca	Oysters, Mussels [Bivalvia]; Snails [Gastropoda]	
			Hemichordata	Sea peaches, Sea squirts [Ascidiacea]	
	rubble dwellers		Arthropoda	Barnacles [CL. Hexanauplia]	
Intertidal			Cnidaria	Anemones [Anthozoa]	
		Low mobility	Worms	Bloodworms [Polychaeta]; Flatworms [Platyhelminthes]; Nemertean worms	
			Mollusca	Chitons [Polyplacophora]; Whelks, Limpets, Snails [Gastropoda]	
			Echinodermata	Sea stars [Asteroidea]; Sea urchins [Echinoidea]; Sea cucumbers [Holothuroidea]	
			Arthropoda	Amphipods [Amphipoda]; Isopods [Isopoda]	
		High mobility	Arthropoda	Crabs, Lobsters [Decapoda]	
	Sediment infauna	Low mobility	Worms	Sandworms, Lugworms, other burrowers [Polychaeta]; Nemertean worms [Paleonemertea]; Sipuncula worms [Sipunculidea]; Flatworms [Platyhelminthes]	
Intertidal	Sediment infauna	Low mobility	Mollusca	Clams, Astartes [Bivalvia]; Moonsnails [Gastropoda]	
			Arthropoda	Mud crab [Decapoda, Panopeidae]; Tube- building gammarid amphipods [Amphipoda]	
	Sediment epifauna		Cnidaria	Starlet anemones, Sand anemones [Anthozoa]	
			Mollusca	Nudibranchs [Gastropoda, Nudibranchia]; Snails [Gastropoda], Scallops [Bivalvia]	
			Echinodermata	Brittle stars [Ophiuroidea]; Sea stars [Asteroidea]; Sea cucumbers [Holothuroidea]	
			Arthropoda	Hermit crabs [Decapoda]; Sand fleas and other Amphipods [Amphipoda]; Sea spiders [Pycnogonida]; Isopods [Isopoda]	
		High mobility	Arthropoda	Crabs, Lobsters [Decapoda]	

3.3.2.3. Marine Fishes Grouping

The National framework proposed 30 sub-groups in the marine fishes group (Table 5). Substantial changes were made to the marine fishes sub-groups for the Maritimes Region application (Table 6).

Sub-group breakdown				
	Sub-group 1	Sub-group 2	Sub-group 3	
			Lampreys	
			Acipenseridae	
	Diadromous	Anadromous	Clupeidae	
			Osmeridae	
			Salmonidae	
		Catadromous	Anguillidae	
		Demersal/ Semi-demersal	Roundfish	
	Estuarine (excluding migrating		Rockfish/Redfish	
	groups)		Flatfish	
			Elasmobranchs	
			Roundfish	
	Intertidal	Demersal/ Semi-demersal	Rockfish/Redfish	
			Flatfish	
			Elasmobranchs	
Marine Fish		Demersal/ Semi-demersal	Roundfish	
			Rockfish/Redfish	
			Flatfish	
			Elasmobranchs	
	On shelf	Small pelagics/ Forage fish	Ammodytidae (e.g., sandlance)	
			Embiotocidae (e.g., perch)	
			Clupeidae (e.g., herring)	
			Osmeridae (e.g., smelt, eulachon)	
		Large pelagics	Elasmobranchs	
			Scombrids	
	Off shelf	Demersal/ Semi-demersal	Roundfish	
			Rockfish/Redfish	
			Flatfish	
			Elasmobranchs	
		Small pelagics/ Forage fish	Clupeidae (e.g., sardines)	
		Large pelagics	Elasmobranchs	

Table 5. Proposed National sub-group breakdown for marine fishes.

With modifications, an extra 6 sub-groups were added in the Maritimes application, creating a total of 36 marine fishes sub-groups (Table 6).

The changes made were as follows:

Overall, the National framework sub-group 1 was significantly reorganized for the Maritimes application, with several components removed and others distributed across 3 further sub-group levels based on exposure, vertical location and benthic association.

Sub-group level 1: separates fishes into marine and estuarine divisions. Diadromous and off shelf fish groupings were not used. On-shelf fish groups are represented in the 'subtidal' division in sub-group level 2.

Sub-group level 2: further development of sub-group level 1 separates marine fish into intertidal and subtidal components to examine differences in exposure potentials. The estuarine group was further subdivided into estuarine resident and estuarine transient.

Estuarine transient species will encompass all diadromous species (both anadromous and catadromous) that have a freshwater and marine life stage, and are assumed to spend only short durations in estuaries. All estuarine anadromous and catadromous species represented in estuarine transient are subsequently represented in the marine sub-group to reflect their dual life history stages, and the effect that habitat changes might have on their vulnerability to oil (e.g., an anadromous species passing from freshwater to the marine environment is expected to interact with the sea surface in an estuary, but not in the marine environment).

Sub-group level 3: separates marine and estuarine fish species into 'benthic' and 'non-benthic' (pelagic and demersal) to consider vertical distribution.

Sub-group level 4: was added to address habitat characteristics for the benthic sub-groups and their associations with consolidated and unconsolidated substrates. Non-benthic groups were not included in this sub-group level.

Sub-group level 5: based on high-level fish taxonomic divisions, usually at the family level of differentiation. Some families are repeated in sub-group level 5 due to their habitat range (e.g., species that are present in both intertidal and subtidal habitats), or dual life history stage (i.e., diadromous species).

Table 6. Maritimes Region sub-group breakdown for marine fishes with example species (N/A = not applicable).

3.3.2.4. Marine Mammals Grouping

The National framework proposed 9 sub-groups in the marine mammals group (Table 7). Minor changes were made to the marine mammal sub-groups for the Maritimes Region application.

Table 7. Proposed National sub-group breakdown for marine mammals (– = not applicable).

Sub-group breakdown			
Sub-group 1	Sub-group 2	Sub-group 3	
	Toothed	Discrete	
Cetaceans		Dispersed	
	Baleen	Discrete	
		Dispersed	
		Discrete	
	Thermoregulate with fur	Dispersed	
Pinnipeds		Discrete	
	Other pinnipeds	Dispersed	
Mustelids			

With modifications, 2 sub-groups were removed in the Maritimes application, creating a total of 7 marine mammal sub-groups (Table 8).

The changes made were as follows:

Sub-group level 1: separates marine mammals into cetaceans (whales and dolphins), pinnipeds (seals and sea lions). Mustelids were not evaluated in the Maritimes application and were removed from the tables.

Sub-group level 2: separates out physical characteristics of species related to an increased vulnerability to oil (e.g., baleen for whales, fur for pinnipeds that rely on fur for thermoregulation There are no pinniped species in the Maritimes Region that rely solely on their fur for thermoregulation.

Sub-group level 3: separates out species/populations with regard to whether they are discrete or dispersed in the Maritimes Region. Sub-groups considered to be 'dispersed' do not tend to aggregate, whereas 'discrete' sub-groups are considered to occur in concentrations due to behavior or for a certain purpose (e.g., feeding, reproduction).

Table 8. Maritimes Region sub-group level breakdown for marine mammals with example species (N/A = not applicable).

3.3.2.5. Marine Reptiles Grouping

Sea turtles such as the migratory loggerhead and leatherback sea turtles, which use Canada's Atlantic and Pacific waters for foraging (Gregr et al. 2015), are the only representatives in this group in Canada. All sea turtles are expected to be impacted in similar ways when exposed to oil and hence, this is the only sub-group identified by the National framework, and is carried over for the Maritimes application. Table 9 shows the Maritimes Region sub-group breakdown for marine reptiles.

Table 9. Maritimes Region sub-group breakdown for marine reptiles with example species (N/A = not applicable).

3.4. ECOLOGICAL VULNERABILITY CRITERIA

While all marine biological components are assumed to be vulnerable to oil to some extent, a vulnerability framework, can provide guidance to response coordinators on the 'degree' of vulnerability, allowing for rapid assessment decisions pertaining to the prioritizing of marine biological components, via a comprehensive regional list of sub-groups that are ranked according to their vulnerability to oil.

The use of a standard set of vulnerability selection criteria, when applied to regional sub-groups, makes scoring consistent and renders results that are comparable across regions. Sub-groups are comparable to one another as well, as they are scored against identical criteria in a relative manner.

The National framework lays out a detailed approach to scoring vulnerability based on three overarching categories:

- 1. potential **Exposure** to spilled oil;
- 2. **Sensitivity** to oil; and,
- 3. **Recovery** potential.

In some cases criteria may appear to be biased toward certain groups, but those groups have characteristics that make them more vulnerable to oil than other groups (e.g., mammals lost the ability to thermoregulate when their fur becomes oiled; sessile invertebrates that cannot move to avoid spilled oil) (Thornborough et al. 2017). The framework attempts to capture those characteristics.

Criteria were developed to be applicable to sub-group levels and relevant to any region in Canada. The criteria identify vulnerable sub-groups based on direct contact with spilled oil; secondary (food web) impacts resulting from contact with oil is not addressed in the framework (Thornborough et al. 2017).

While the National framework recommended that vulnerability criteria not be changed (in order to make direct comparisons across regions straightforward), during this application there were a number of general, and sub-group specific modifications, expounding on the vulnerability criteria as they were specifically applied. These small changes were necessary to improve understanding of the Maritimes application in general and did not affect the National criteria as proposed.

3.4.1. Exposure Category Criteria

Marine biological components that are more likely to encounter spilled oil are assumed to be more vulnerable (Reich et al. 2014). Exposure criteria developed by the National framework identify characteristics that increase the likelihood of exposure to oil, including: concentration/aggregation and/or site fidelity; sessile/low mobility; surface interaction; and sediment interaction criteria.

The following general modifications were made to the Exposure criteria:

- **Concentration (aggregation) and/or site fidelity:** 'site fidelity' was moved to the 'mobility' criterion.
- **Mobility:** mobility criteria changed to 'mobility and/or site fidelity', as site fidelity is used to score organisms that may have the ability to move, yet they may not move due to a limited home range.
- **Sea surface interacting:** quantification was deemed necessary for this criteria. Surface layer was defined as 0 to −1m to better capture the 'sea surface interacting' criteria.
- **Sediment interacting:** was changed to 'seafloor or vegetation interacting' to include interactions with all sediment types and vegetation. Oil may persist on rocks and vegetation, as well as those subsurface sediment types more commonly known to retain oil (e.g., silt and sand). This change was used to score all benthic substrate habitats equally.

3.4.1.1. Scoring Guidance

Scoring was performed using both general guidance from the National framework (Table 10) and specific guidance developed for the Maritimes application (Table 11) to ensure consistency.

Table 10. National framework proposed Exposure criteria and guidance for scoring.

The following table (Table 11) outlines the detailed scoring guidance applied to the Exposure criteria in the Maritimes Region.

Table 11. Detailed guidance used for scoring criteria within the Exposure category for each biological group in the Maritimes Region application (CL = class).

Criterion	Group	Scoring Guidance	
Concentration (aggregation)	Marine Plants and Algae	Vascular plants were considered aggregated if they formed concentrated monospecific beds or were the dominant plant type in dense mixed species stands. Non-vascular plants were considered aggregated if they form dense beds (e.g., canopy kelp bed); thick mats (e.g., turf algae) or were considered abundant across the intertidal and/or subtidal zones. Epipelagic phytoplankton are ubiquitous throughout the Maritimes Region, occurring in mixed species populations and discrete single species blooms.	
	Marine Invertebrates	Marine invertebrates were considered aggregated if they were colony, bed, or reef forming (e.g., colonial hydroids, tube worms); if they exhibit gregarious settlement (e.g., oysters, mussels, barnacles); or if they aggregate for distinct purposes, such as feeding or reproduction (e.g., gastropods form breeding aggregations).	
	Marine Fishes	Fish were considered aggregated if they were a schooling species (e.g., Silversides [Atherinopsidae]); a shoaling species (e.g., Cod [Gadidae]); formed feeding aggregations (e.g., Hagfish [Myxinidae]); exhibited mass spawning (e.g., Capelin [Osmeridae]; or congregate for seasonal spawning migrations (e.g., American Eel [Anguillidae]).	
	Marine Mammals	Marine mammals were considered aggregated if concentrated for social, feeding, reproduction or migration purposes.	
	Marine Reptiles	Marine reptiles were considered aggregated if concentrated for social, feeding, reproduction or other purposes.	
Mobility and/or Site Fidelity		Vascular plants were considered immobile.	
	Marine Plants and	Non-vascular plants were considered immobile.	
	Algae	Epipelagic phytoplankton were considered immobile and subject to oceanographic currents.	
	Marine Invertebrates	The mobility criterion was a relative measure within the marine invertebrates group. Low mobility and sessile invertebrates were considered to exhibit limited (e.g., anemones [Anthozoa]); or no ability to move (e.g., barnacles [CL. Hexanauplia]); while high mobility invertebrates (e.g., North Atlantic Octopus [Cephalopoda]), were considered highly mobile in relation to low mobility sub-groups.	

3.4.2. Sensitivity Category Criteria

The criteria in this category examine both mechanical and chemical sensitivities, based on physiological characteristics that may increase the degree of impairment experienced by an organism from exposure to oil (Thornborough et al. 2017).

As described in the National framework, the mechanical sensitivity criterion outlines three physiological characteristics that make an organism mechanically vulnerable to oil: reduction in feeding (i.e., blocking of filter feeding structures); reduction in photosynthesis; and reduction of insulation due to oiled fur (in some marine mammals).

Chemical sensitivity is identified as the physiological characteristics that make organisms more vulnerable to oil (e.g., pathologies developed as a result of contact with oil), where the pathways of exposure to oil are considered as adhesion, ingestion, absorption and/or inhalation (Thornborough et al. 2017).

The following general modifications were made to the sensitivity criteria:

- **Mechanical sensitivity**: 'reduction of feeding/photosynthesis/thermoregulation' wording was added to this criterion for clarity and to indicate that the definition of mechanical sensitivity differs among high-level biological groups.
- **Chemical sensitivity:** 'impairment due to toxicity' wording was added to this criterion for clarity and to indicate that a broad range of impairments and toxic effects can occur across high-level biological groups.

3.4.2.1. Scoring Guidance

Scoring was performed using both general guidance from the National framework (Table 12) and specific guidance developed for the Maritimes application (Table 13) to ensure consistency.
Table 12. National framework proposed Sensitivity criteria and guidance for scoring.

Sensitivity criteria and scoring guidance	
MECHANICAL SENSITIVITY	
Loss of insulation	
Question	Does contact with oil result in a loss of insulation/ability to thermoregulate for species in the sub-group?
Justification	Oil causes a substantial decrease in the insulative value of fur, inhibiting the ability of affected organisms to thermoregulate (Reich et al. 2014).
Scoring guidance	Sub-groups containing species reliant on fur as their primary means of thermoregulation.
Reduction of feeding/photosynthesis	
Question	Does direct contact with oil result in the mechanical impairment of feeding structures for species in the sub-group?
Justification	Fouling of feeding structures by oil may reduce the ability of organisms to feed, reducing their condition and reproductive capacity and increasing time spent feeding (Reich et al. 2014).
Scoring guidance	Sub-groups that contain species that feed by filtering water through their systems and removing particles (filter-feeders); sub- groups containing species that photosynthesize (smothering effects reducing photosynthesis).
CHEMICAL SENSITIVITY	
Impairment due to toxicity	
Question	Does direct contact with oil result in severe, irreversible effects or death for species in the sub-group?
Justification	Organisms that are more sensitive to toxic effects of oil are more likely to experience irreversible effects or death.
Scoring guidance	Sub-groups containing species that display severe, irreversible effects or death due to oil toxicity. Acute effects from direct contact include: the inability of animals to digest and absorb foods; reproductive failure; respiratory failure; lesions; hemorrhaging; neurological impairment; and mortality.

The following table (Table 13) outlines the detailed scoring guidance applied to the sensitivity criteria in the Maritimes Region.

Table 13. Detailed guidance used for scoring criteria within the Sensitivity category for each biological group in the Maritimes Region application.

3.4.3. Recovery Category Criteria

The recovery criteria (often referred to as adaptive capacity), identifies the life history traits that impact the ability of a population to recover after an oil spill. Recovery criteria address the longterm recovery from a single spill event only and do not account for repeated exposures. The National framework lists four criteria: 'population status'; 'reproductive capacity'; 'endemism or isolation'; and 'close association with sediments', to be scored in the Recovery category.

Within the framework, 'population' is defined as a Designatable Unit (DU) by the Committee on the Status of Endangered Wildlife in Canada (COSEWIC) as a species, sub-species, variety, or geographically or genetically distinct population that is both discrete and evolutionarily significant.

The following general modifications were made to the Recovery criteria:

- Population status: no changes
- Reproductive capacity: was expanded to include life history traits that can affect reproductive potential; as well as low reproductive capacity.
- Endemism or isolation: no changes
- Close association to sediments: was changed to 'close association with unconsolidated substrates'. Although oil can be retained on rocks/boulders, unconsolidated substrates (such as sand, clay, silt) typically retain oil for longer periods of time. This change allows the criterion to capture the influence of sediment type on vulnerability.

3.4.3.1. Scoring Guidance

Scoring was performed using both general guidance from the National framework (Table 14) and specific guidance developed for the Maritimes application (Table 15) to ensure consistency.

The following table outlines the detailed scoring guidance applied to the Recovery criteria in the Maritimes Region.

4. SCORING AND RANKING

A binary system was used to score 116 Maritimes Region sub-groups against 10 criteria that comprise the Exposure, Sensitivity, and Recovery vulnerability categories. A score of one (1) indicated that the criterion was fulfilled for that sub-group, while a score of zero (0) denoted a sub-group that did not fulfill the criterion. Each criterion was scored against the final sub-group level for each of the high-level biological groups (i.e., sub-group level 5 for Marine Plants and Algae; sub-group level 5 for Marine Invertebrates; sub-group level 5 for Marine Fishes; subgroup level 3 for Marine Mammals; and sub-group level 1 for Marine Reptiles). Scoring decisions were made based on the general guidance tables provided by the National framework for each group (Tables 10, 12, and 14) as well as the more specific guidance developed in the Maritimes Region (Tables 11, 13, and 15).

A referenced justification for each score was included to support decisions that were not intuitive (i.e., based on general biological knowledge; e.g., 'all vascular plants are rooted in substrate'), to ensure scientific integrity of decision making, and to maintain confidence in scoring consistency across the application. The number of supporting references needed varied across categories and sub-groups, and differed in accordance with the availability of definitive conclusions in the scientific literature (e.g., there are few conclusive and comparable studies on chemical toxicity for most sub-groups).

A precautionary approach was taken with regard to scoring sub-groups in the following ways:

- 1. If at least one species within a sub-group was known to fulfill the criterion the entire subgroup fulfilled the criterion.
- 2. Sub-groups were scored based on the life stages most vulnerable to oil (e.g., juveniles compared to adult) where information was available.
- 3. Where literature was lacking to support a definitive score (0 or 1), a precautionary score of "1P" was assigned for the criterion.

The scoring process as outlined in the National framework can be seen in Figure 3. The modified scoring process for the Maritimes Region is shown in Figure 4.

Exposure criteria were scored first. There were no screened out sub-groups during scoring for Maritimes Region, as all sub-groups received a score of 1–4 in the exposure category.

Sensitivity criteria were scored next. The 'impairment due to toxicity' criterion proved the most difficult to score. For many sub-groups, there was limited peer-reviewed research on oil toxicity. While for others, conflicting results made it challenging to make a definitive decision. The lack of standardization in experimental methods confounded this problem further. Therefore, the approach taken was to score all sub-groups in this criterion using precautionary scoring (1P), citing as many available sources as possible to illustrate the state of knowledge.

There were no screened out sub-groups during the sensitivity scoring for Maritimes Region, as all sub-groups received a score of 1–2 in the Sensitivity category.

Four criteria in the Recovery category were then assessed, providing an additional score of 0–4 for each sub-group. Exposure, Sensitivity, and Recovery scores for each sub-group were tallied (/10) and then ranked to produce a list of sub-groups most vulnerable to ship-sourced oil spills in the Maritimes Region (Table 16).

5. RESULTS

The following sections provide a summary of Maritimes Region application vulnerability scoring results. Detailed vulnerability category criteria scoring results, including in-depth justifications and precautionary scoring rational for all sub-groups in each high-level biological group can be found in the Appendices to this document. Note that an attempt was made to follow a similar format for each justification, providing general information related to the assigned score for the sub-group, followed by more in depth supporting information where available.

- Marine Plants and Algae – [APPENDIX 1](#page-72-0)
- Marine Invertebrates – [APPENDIX 2](#page-94-0)
- Marine Fishes – [APPENDIX 3](#page-156-0)
- Marine Mammals – [APPENDIX 4](#page-206-0)
- Marine Reptiles – [APPENDIX 5](#page-214-0)

The Final Rank Table (Table 16) shown below lists sub-groups in order from highest to lowest vulnerability regardless of high-level biological group. For each sub-group, criteria that received a precautionary score (1P) were considered fulfilled for the purposes of ranking by total vulnerability score.

Tables 17–20 and Figures 5–9 were developed using the final rank tables to further explain overall vulnerability results: across and within groups (Figure 6); the relative influences of Exposure, Sensitivity and Recovery categories (Figure 6 and 7); some sub-group level differences within the groups (Figure 8); as well as an overview of how the precautionary approach was used across groups (Figure 9).

5.1. FINAL RANK TABLES

Table 16. Final ranked list of sub-groups for the Maritimes Region application of the National vulnerability framework produced by scoring subgroups against EXPOSURE, SENSITIVITY, and RECOVERY criteria (N/A = not applicable).

5.2. VULNERABILITY TRENDS

Shown in Figure 5A, total vulnerability scores across all sub-groups ranged from 3 (1 sub-group) to 9 (9 sub-groups), with a mode vulnerability score of 6 (37 sub-groups). In all, 54.3% of subgroups received a total vulnerability score of 5 or 6.

Figure 5. Summary vulnerability scoring results showing; A) total vulnerability score frequency; B) Exposure category score frequency; C) Sensitivity category score frequency; and D) Recovery score frequency across all sub-groups.

When considering the Exposure category and the distribution of Exposure scores across all sub-groups (Figure 5B), 86% sub-groups scored 3 or 4 in this category (100/116), with four subgroups scoring a 1. Note that no sub-groups received a 0 in this category, hence there were no screened out sub-groups.

The distribution of Sensitivity category scores across all sub-groups (Figure 5C) shows that 50 sub-groups (43%) scored a 1 in this criterion, while 66 (57%) scored a 2, where a 2 indicates that both the mechanical and chemical toxicity criterion were fulfilled in this category. Note that no sub-groups received a 0 in this category, meaning that no sub-groups were screened out at this level.

The distribution of Recovery category scores across all sub-groups (Figure 5D) illustrates that of 116 sub-groups; 21 (18.1%) scored a 0; 37 (31.9%) scored a 1; 32 (27.6%) scored a 2; 20 (17.2%) scored a 3; and 6 (5.2%) scored a 4.

5.2.1. High-level Group Vulnerability

Of the high-level biological groups, Marine Plants and Algae shows the highest (7.9) mean vulnerability score, followed by Marine Mammals (7.67), Marine Fishes (6.19), and Marine Invertebrates (6.07) (Figure 6). Note that Marine Reptiles, which contained only a one subgroup (received an 8), is not comparable to the mean of others, and is not shown in Figure 6.

Figure 6. Mean total Vulnerability Score across all categories for each high-level biological group. Note that Marine Reptiles are not represented here.

5.2.2. Marine Plants and Algae Group

The Marine Plants and Algae grouping received a mean total vulnerability score of 7.9 (Figure 6). When individual vulnerability criteria scores were averaged across all sub-groups, Marine Plants and Algae received a mean score of 3.64 (of 4), 2.0 (of 2.0), and 2.28 (of 4) in Exposure, Sensitivity, and Recovery categories, respectively (Figure 7).

Figure 7. Mean score for each Vulnerability Category (Exposure, Sensitivity, Recovery) across subgroups by high level biological group. Dashed line represents the maximum vulnerability score for Sensitivity.

When examining the mean vulnerability score by category at sub-group level 1, the Exposure category contributes the most to the total vulnerability score in each Epipelagic (Phytoplankton) (3), Intertidal (3.75), and Subtidal (3.6) sub-groups (Figure 8A). All sub-groups in the Marine Plants and Algae high level group received a 2 in the Sensitivity category. In the Recovery category, the Epipelagic sub-group (Phytoplankton) received a 1, the Intertidal sub-group received a 2.63, and the Subtidal sub-group received a 2.

Figure 8. Mean Exposure, Sensitivity and Recovery category score for A) Marine Plants and Algae subgroup level 1; B) Marine Invertebrate sub-group level 1; C) Marine Fishes sub-group level 1; and C) Marine Mammals sub-group level 2. Dashed line represents the maximum vulnerability score for Sensitivity.

5.2.3. Marine Invertebrates Group

The Marine Invertebrates grouping received a mean total vulnerability score of 6.07 (Figure 6). When individual vulnerability criteria scores were averaged across all sub-groups, Marine Invertebrates received a mean score of 3.5 (of 4), 1.8 (of 2.0), and 0.81 (of 4) in Exposure, Sensitivity, and Recovery categories, respectively (Figure 7).

When examining the mean vulnerability score by category at sub-group level 1, the Exposure category contributes the most to the total vulnerability score in each Intertidal (4), Pelagic (3.7), and Subtidal benthic (2.9) sub-groups (Figure 8B). The Marine Invertebrates sub-groups (Level 1), received a 1.6 (Intertidal), 1.8 (Pelagic) and 1.8 (Subtidal benthic) in the Sensitivity category. In the Recovery category, the Intertidal sub-group received a 0.76, the pelagic subgroup received a 0.85, and the Subtidal benthic sub-group received a 0.83.

5.2.4. Marine Fishes Group

The Marine Fishes grouping received a mean total vulnerability score of 6.19 (Figure 6). When individual vulnerability criteria scores were averaged across all sub-groups, Marine Fishes received a mean score of 2.8 (of 4), 1.1 (of 2.0), and 2.3 (of 4) in Exposure, Sensitivity, and Recovery categories, respectively (Figure 7).

When examining the mean vulnerability score by category at sub-group level 1, the Exposure category contributes the most to the total vulnerability score in each Estuarine (3.7) and Marine (2.4) sub-groups (Figure 8C). The Marine Fishes sub-groups (Level 1), received a 1.1 (Estuarine) and 1.1 (Marine) in the Sensitivity category. In the Recovery category, the estuarine sub-group received a 2.3 and the Marine sub-group received a 2.3.

5.2.5. Marine Mammals Group

The Marine Mammals grouping received a mean total vulnerability score of 7.67 (Figure 6). When individual vulnerability criteria scores were averaged across all sub-groups, Marine Mammals received a mean score of 3.2 (of 4), 1.5 (of 2.0), and 3 (of 4) in Exposure, Sensitivity, and Recovery categories, respectively (Figure 7).

In the Marine Mammals, the mean vulnerability score by category was examined at sub-group level 2 (Figure 8D). The Marine Mammals sub-groups (Level 2), received a mean Exposure score of 3 ('Cetaceans – Baleen'), 3 ('Cetaceans – Toothed'), and 3.5 ('Pinnipeds').The Marine Mammals sub-groups (Level 2), received a mean Sensitivity score of 2 ('Cetaceans – Baleen'), 1 ('Cetaceans – Toothed'), and 1.5 ('Pinnipeds'). In the Recovery category, the 'Cetaceans – Baleen' sub-group received a 3, the 'Cetaceans – Toothed' sub-group received a 3.5, and the 'Pinnipeds' sub-group received a 2.5.

5.3. PRECAUTIONARY SCORING OVERVIEW

Figure 9. Proportion of assigned scores (0 = not fulfilled, 1 = fulfilled, 1P = fulfilled (precautionary)) A) by vulnerability category across all sub-groups; and by high-level biological grouping for B) Exposure; C) Sensitivity; and D) Recovery.

An overview of the use of precautionary scoring (1P) as applied in the Maritimes application can be seen in Figure 9. A precautionary approach was used when there was an increased level of uncertainty, or limited or conflicting information to support a binary score (1 or 0) for the subgroup. Overall, across the entire application, a precautionary score was used 19% of the time, with 81% of the scoring being supported by definitive justifications and grounded in science (Figure 9A 'Total'). Degree of use of precautionary scoring differed among scoring criteria and between high-level groups. While there was limited use of the 1P score in the Exposure and Recovery categories (10% and 11%, respectively), the proportion of use in the Sensitivity category was 55% (Figure 9A). A precautionary score in the Sensitivity category was used between 50% (Marine Invertebrates, Marine Fish), and 82% of the time (Marine Plants and Algae, Figure 9C); while the Exposure and Recovery between 0% (Marine Plants and Algae: Exposure category, Figure 9B), and 29% (Marine Plants and Algae: Recovery category, Figure 9D).

5.4. DUPLICATE SPECIES AND VULNERABILITY

In some cases, sub-groups exhibited physiological characteristics enabling them to span differing habitats. It was important to determine the vulnerability score for the same sub-group in all habitats to ascertain the effect of a large habitat range on overall scoring. Some may consider this a "duplication", though it provides some interesting nuance to the vulnerability of a species.

The following tables illustrate examples of how a change in habitat can change vulnerability score for the same sub-group in Marine Plants and Algae Group (Table 17), while having no effect on other sub-groups (Table 18). The same is illustrated for the Marine Fishes Group in Table 19 and Table 20.

Table 18. Showing that plant species spanning different sub-groups many not always receive different scores.

Table 19. Showing that fish species that span different sub-groups (Estuarine and Marine) may receive different vulnerability scores (N/A = not applicable).

Table 20. Showing that fish species spanning different sub-groups many not always receive different total scores (N/A = not applicable).

6. DISCUSSION

A National framework to assess the vulnerability of marine biological components to ship-source oil spills in Canada, was developed in 2017. For validation purposes, the National model required testing in a variety of marine aquatic environments across Canada. Applications of the National model were previously completed for the Pacific Region (Hannah, et al. 2017), as well as in the Quebec Region (Desjardins, et al. 2018). This research document describes how the National framework was applied to marine biological components in the Maritimes Region.

The framework identified two key phases for assessing vulnerabilities of marine biological components: 1) grouping the biological components into related sub-groups based on shared characteristics; and 2) subsequently scoring the sub-groups against ecological vulnerability criteria. While the architecture of the main framework was generally used in the Maritimes application, some modifications were made to enhance sub-group differentiation and criteria application as previously discussed.

6.1. VULNERABLE SUB-GROUPS

6.1.1. Marine Plants and Algae

Marine plants an algae had a mean vulnerability score of 7.93 in the Maritimes application.

Results indicate that within the Marine Plants and Algae group, the most vulnerable sub-groups to oil are the intertidal vascular plants that comprise saltmarshes. All non-vascular plant subgroups ranked in the top 10 of all sub-groups (116) in overall vulnerability rankings, with saltmarsh grasses ranking the highest at a 9 (Table 16). Seagrasses, saltmarsh-non grasses and saltmarsh succulents scored 8.

These findings are consistent with other studies examining the effect of oil-spills on saltmarsh plant communities. The *Deepwater Horizon* oil spill in 2010, induced nearly 100% plant mortality in heavily oiled coastal marshes dominated by saltmarsh grasses, including *Spartina alterniflora,* with plants dying as a direct result of smothering, the alteration of the soil, and toxic effects (Fleeger et al. 2018). Saltmarshes can also be slow to recover after a spill, as destruction of salt marsh communities by oil can result in increased erosion, which can impede the recolonization and recovery of the saltmarsh plants in general (Hester et al. 2016). Additionally, *Spartina alterniflora* mortality has been shown to have negative effects on the recovery of other species (such as macroalgae and meiofauna) after an oil spill (Fleeger et al. 2015). This is not surprising considering the ecological services provided by saltmarshes for other species including the provision of habitat and nutrients.

While many short-term studies on the effects of oil have been conducted, the overall and longterm impacts to vascular plants likely depend on a number of factors including the severity of fouling and the extent of damage to underground structures (roots and rhizomes).

The physiological characteristics that enable them to span different habitats can drive differences in vulnerability scores for non-vascular plant sub-groups. In this application, intertidal sub-groups located on rocky consolidated habitats scored higher than subtidal components in overall vulnerability. Understory and turf species in the intertidal zone (e.g., *Chondrus crispus)*, were the most vulnerable sub-groups therein. This score is driven by the fact that these species can be aggregated in the intertidal zone, and have regular interaction with both the sea surface and seafloor as the tide changes, while subtidal species would not be expected to have such interactions.

Relatedly, *Alaria esculenta* can be found in both the intertidal and subtidal zones (Table 17). In the intertidal, *Alaria esculenta* receives a vulnerability score of 3, rather than a 4 in the Exposure category, because when found in the intertidal, it is not generally found in aggregations, as it is in the subtidal. Additionally, *Alaria esculenta* can be found in close association with unconsolidated substrate in the intertidal, as it may come into frequent contact with sand/mud/silt/gravel when the tide goes out whereas in the subtidal zone, this is not the case. Studies on the effects of oil spills on subtidal algae, have found some instances of rapid recovery or lessened effects of oil on some subtidal macroalgae species (e.g., kelps) (Pecko et al. 1990).

Phytoplankton is presented as a single epipelagic sub-group, representative of all regional species. While acknowledging that phytoplankton are taxonomically diverse and the limitations of this approach, breaking phytoplankton into further sub-groups would be unmanageable in the current application. All phytoplankton subsequently have a total vulnerability score of 6. This moderate vulnerability score was primarily driven by the higher reproductive capacity exhibited by this sub-group as a whole. Perhaps further iterations of the framework could be expanded to include phytoplankton taxonomic breakdowns.

For detailed justifications on scoring decisions for this high-level biological group, see [Appendix 1,](#page-72-0) Tables [A1,](#page-72-1) [A2,](#page-76-0) and [A3.](#page-81-0)

6.1.2. Marine Invertebrates

Marine invertebrates had a mean vulnerability score of 6.07 in the Maritimes application.

A relatively high overall vulnerability score for invertebrate groups in general is aligned with other work examining the post oil-spill impacts on marine invertebrate biota. Dupuis and Ucan-Marin (2015) state that bivalves and other filter feeders are very sensitive to crude oil as they ingest oil droplets as they feed. Clam and mussel communities were found to be still recovering 20 years after the *Exxon Valdez* oil spill, and intertidal invertebrate meiofauna are one of the slowest groups to recover after a spill (Fleeger et al. 2015).

For marine invertebrates, sediment infaunal Mollusca with low mobility (e.g., clams and other bivalves, gastropods) had a total vulnerability score of 9 in this application. Mollusca larvae were also considered more vulnerable than other larval types (also at a 9) (Table 16). Both scores were likely elevated because the Marine Invertebrates group contained a species at risk that is isolated in the Maritimes Region (Mud piddock).

Intertidal, sessile and low mobility groups (including Mollusca, Echinodermata and Cnidaria) all scored a 7 for vulnerability. This aligns with scientific evidence that intertidal invertebrate communities are highly affected by, and are slow to recover from the impacts of oil spills (Duval et al. 1989).

Most subtidal benthic invertebrates scored a 6, which was likely related to the decrease in exposure compared to intertidal species in the same group. Highly mobile and pelagic invertebrates (e.g., squid, octopus, lobsters) had lower vulnerability scores of between 4 and 6, due to the fact they are assumed to be more mobile, and hence can escape an oil spill.

Besides Mollusca previously described, most invertebrate larvae scored a 7, higher than its adult life stage, revealing that the larval forms represent a more vulnerable life stage in those groups (Ctenophore and Porifera larvae scored the same as their adult stages).

For detailed justifications on scoring decisions for this high-level biological group, see [Appendix 2,](#page-94-0) Tables [A4,](#page-94-1) [A5,](#page-111-0) and [A6.](#page-131-0)

6.1.3. Marine Fishes

The Marine Fishes group had a mean vulnerability score of 6.19 in the Maritimes application.

In the Maritimes Regional application of the framework, estuarine sub-groups were more vulnerable on average than marine sub-groups, receiving an average total vulnerability score of 7.1 and 5.85 respectively. This difference was primarily driven by differences in the Exposure category, with estuarine sub-groups receiving a mean score of 3.7, and marine sub-groups receiving a mean score of 2.4. Estuarine sub groups were generally considered to interact more with the sea surface than marine sub-groups, and were more likely (on average) to concentrate or aggregate for a purpose (e.g., spawning).

Eleven sub-groups in the Marine Fishes scored between a 7 and 9 for overall vulnerability to oil (Table 6). Six of these sub-groups were estuarine while 5 were Marine. The two sub-groups with the highest vulnerability to oil (9) were the estuarine life stage of Sturgeon (Acipenseridae), associated with both consolidated and unconsolidated substrates.

All but four sub-groups in the Marine Fishes scored a 1 in sensitivity. This low number of subgroups receiving a 2 in sensitivity was expected as the mechanical sensitivity criterion only measures mechanical impairment or fouling of feeding structures, and few marine fish species have structures that may be easily fouled. The sub-groups receiving a 2 in sensitivity were 'Sticklebacks (Gasterosteidae) (Marine-Intertidal)', 'Clupeidae (Marine and Estuarine)' and 'Scombridae (Marine)', all of which contain species that feed using gill-rakers.

The Marine Fishes biological grouping illustrates the importance of considering different life stages of diadromous fish and their associated sub-groups, as many received a higher score for the estuarine life-stage than the marine (Table 19). For example, the estuarine transient subgroup 'Salmon (Salmonidae)' received a total vulnerability score of 8, while the marine subgroup 'Salmon (Salmonidae)' received a 5. This particular instance was driven by differences in the Exposure category, as the marine life-stage of Atlantic Salmon are not expected to aggregate for a specific reason, are expected to have higher mobility and are not expected to interact with the seafloor.

However, life-stage and habitat factors may not be driving vulnerability differences in all diadromous fishes, as other diadromous sub-groups can have the same total score (and same category score), such as the 'Silversides (Atherinopsidae)' in marine and estuarine sub-groups (total vulnerability score of 6) (Table 20).

For detailed justifications on scoring decisions for this high-level biological group, see [Appendix 3,](#page-156-0) Tables [A7,](#page-156-1) [A8,](#page-166-0) and [A9.](#page-182-0)

6.1.4. Marine Mammals

The Marine Mammals group had a mean vulnerability score of 7.67 in the Maritimes application (the highest mean score for any group).

While the habits and life histories of marine mammals make them vulnerable to the effects of ship-source oil spills, specific research is lacking for this group overall.

Mammals can be impaired by oil in different ways. Contact with oils can lead to long-term coating of the body surface, which may interfere with swimming ability in seals, with filtering capabilities by baleen whales, and with thermoregulation in the furred marine mammals. Pathways to exposure in seals can include absorption of oil through their skin and gastrointestinal tract and inhalation (Englehardt 1983).

Five of six Marine Mammal sub-groups scored between 7 and 9 for overall vulnerability to oil in this application (Table 16). Ranking the highest was the dispersed pinnipeds (Grey seal, Ringed seal, Bearded seal, Hooded seal) sub-group, with cetaceans (toothed and baleen) scoring just below them, at 7–8.

Dispersed pinnipeds were considered more vulnerable in this application because they have an on-land component to their behaviour (e.g., haul out areas for resting and reproduction); are known bottom feeders who will interact with benthic sediments; and have a species listed as "special Concern' by COSEWIC (Ringed seal). Discrete pinnipeds, while sharing the on-land component, are primarily pelagic feeders, and do not have any COSEWIC listing in the Maritimes Region and hence scored lower.

Some toothed whales scored higher than baleen counterparts for vulnerability. This was unexpected and was driven by both the fact that there are endangered/special concern species (e.g., Northern bottlenose whale, Sowerby's beaked whale) and endemic/isolated populations (e.g., Northern bottlenose whale) in this group.

All cetaceans were considered highly mobile and therefore scored a 0 in the mobility criterion. However, this criterion may be slightly simplified in the current framework. In Marine Mammals, vulnerability to oil can be complicated by the assumption that they may not have the ability to avoid or detect oil on water or in food, despite being highly mobile. Seals have not been shown to consistently avoid oil (Englehardt 1983); and Goodale et al., (1982) indicated that a broad range of cetacean species (humpback whales, fin whales, white-sided dolphins) did not actively avoid a slick of Bunker C and No. 2 fuel oil from the *Regal Sword* spill. Marine mammals were therefore likely underscored in the Exposure category in this application.

For detailed justifications on scoring decisions for this high-level biological group, see [Appendix 4,](#page-206-0) Tables [A10,](#page-206-1) [A11,](#page-208-0) and [A12.](#page-209-0)

6.1.5. Marine Reptiles

Three sea turtle species comprise the Marine Reptiles group in the Maritimes Region. Marine Reptiles scored high in the Exposure and Recovery categories, producing an overall vulnerability score of 8 (Table 16).

Although Sea turtles are migratory visitors to offshore waters in the Atlantic, Dodge et al. (2014) determined that Leatherbacks were highly aggregated in temperate shelf and slope waters during summer, early fall, and late spring in the Northwest Atlantic. Also, this sub-group will have regular surface and sediment interactions for breathing and feeding. Combined with a COSEWIC endangered status for both the Loggerhead and Leatherback sea turtles, and low reproductive capacity, the sea turtle sub-group was defined by the framework as being very vulnerable to oil spills in the Maritimes Region.

For detailed justifications on scoring decisions for this high-level biological group, see [Appendix 5,](#page-214-0) Tables [A13,](#page-214-1) [A14,](#page-214-2) and [A15.](#page-215-0)

6.2. BOTTOM UP APPROACH

The National vulnerability framework recommended that sub-groups be populated with species after scoring was completed. However, inherent in this approach is uncertainty around the assumption that the species assigned to a sub-group in a rapid application are truly representative of the defined sub-group. This uncertainty is further compounded by assuming that the assigned score is applicable to all species in the sub-group.

From the outset, the Maritimes Region application utilized a bottom up approach to populating sub-groups. This approach lessened uncertainty while increasing confidence that the subgroups contained appropriate species; and that species biological and ecological traits were used to develop the sub-group levels used.

Sub-groups were pre-populated with as many species as could be verified using a wide variety of sources. This approach increased confidence that the species scored had characteristics that were representative of the majority of other species within their sub-group, and decreased the likelihood that a score was based on a species that was the exception to the rule.

6.3. UNCERTAINTY, PRECAUTIONARY SCORING AND KNOWLEDGE GAPS

A key result of the Maritimes application of the National vulnerability framework, was the identification of sources of scoring uncertainty that created knowledge gaps.

Lack of knowledge or conflicting information arose during the two main stages of the application process:

- 1. Creation of sub-groups
- 2. Scoring sub-groups against vulnerability criteria

One of the goals of the Maritimes Region application was to minimize sources of uncertainty. This was accomplished by using a bottom up approach to sub-group creation (previously discussed), and by performing in-depth literature searches for justifications to lessen the reliance on precautionary scoring. By employing these principles, the Maritimes Region assigned a precautionary score only 19% of the time (across all 116 sub-groups).

Where the literature did not support a binary score (0, 1) directly, a deeper review into difficult to score sub-groups was undertaken with a synthesized 'state of knowledge', provided as a justification to explain why a precautionary score was warranted.

While this approach took time to develop, the Maritimes Regional application of the National framework to assess the vulnerability of biological components to ship source oil spills provides responders with an objective list of vulnerable sub-groups, allowing them to make rapid and accurate decisions that are grounded in science.

Despite the comprehensive approach to sub-group creation and scoring that was used in the Maritimes Region application, some knowledge gaps were uncovered during its development.

Major gaps included:

- Lacking or conflicting information on chemical toxicity across all sub-groups limited the ability to adequately score this criterion. Since oil is believed to be toxic to all organisms at some level, all sub-groups were scored a 1P for this criterion, meaning that chemical toxicity cannot be used to distinguish between sub-group vulnerabilities as the criterion is defined.
- There was a dearth of specific biological information (e.g., life history, habitat types) for some groups. This was especially evident for some invertebrate and fishes sub-groups.
- Some scoring criteria were too narrow to score sub-groups adequately. This was evident in the mechanical sensitivity scoring. While the approach was needed to differentiate between sub-groups, its definition may be too limited in scope and likely caused some groups to be underscored (e.g., fish without gill rakers for feeding were scored a 1 but have other structures that could become clogged with oil (e.g., gills)).

• There is limited information on the effect of developmental life stage on vulnerability to oil. In this application, results were reported on the most vulnerable life stage where possible, but an overall lack of information was evident.

7. CONCLUSIONS AND RECOMMENDATIONS

- In the Maritimes application, sub-groups were created in a bottom-up manner using verified species lists prior to scoring (unlike the National framework where sub-groups would be populated after scoring).
- Some sub-groups required significant changes in the Maritimes application (e.g., Marine Plants and Algae and Marine Fishes) while other groups were changed very little from the National framework (e.g., Marine Invertebrates).
- Sub-groups created in the Maritimes application were sufficient to represent the suite of Maritimes Region biota and provided the necessary delineation for effective scoring against vulnerability criteria in most cases.
- While the National framework recommended that vulnerability criteria not be changed (in order to make direct comparisons across regions straightforward), during this application there were a number of general, and sub-group specific modifications, expounding on the vulnerability criteria as they were specifically applied. These small changes were necessary to improve understanding of the Maritimes application in general and did not affect the National criteria as proposed.
- At present the sensitivity criterion 'impairment due to toxicity' is not effective to differentiate between sub-groups; and while the mechanical sensitivity criterion allows for further breakdown, the three conditions it presents may be too narrow, increasing the potential for underscoring. Further development of the Sensitivity category is needed.
- The binary screening method described in the National application was retained in the Maritimes application, but scores were based on a total across all criteria and not just their recovery score as was presented in the National model.
- The Maritimes application did not screen out any sub-groups.
- Phytoplankton, zooplankton and most vulnerable life stages were not adequately assessed in sufficient resolution in this application and need further development.
- The application provided a valid list for all Maritimes Region sub-groups ranked by total vulnerability to ship-source oil spills, which will be use to inform response efforts.

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APPENDIX 1. DETAILED SCORING TABLES WITH JUSTIFICATIONS FOR MARINE PLANTS AND ALGAE

Table A1. Marine plants and algae sub-group scores for EXPOSURE scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A2. Marine plants and algae sub-group scores for SENSITIVITY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A3. Marine plants and algae sub-group scores for RECOVERY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to lack of knowledge).

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APPENDIX 2. DETAILED SCORING TABLES WITH JUSTIFICATIONS FOR MARINE INVERTEBRATES

Table A4. Marine invertebrates sub-group scores for EXPOSURE scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A5. Marine invertebrates sub-group scores for SENSITIVITY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A6. Marine invertebrates sub-group scores for RECOVERY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

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APPENDIX 3. DETAILED SCORING TABLES WITH JUSTIFICATIONS FOR MARINE FISH

Table A7. Marine fishes sub-group scores for EXPOSURE scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (N/A = not applicable).

Table A8. Marine fishes sub-group scores for SENSITIVITY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (N/A = not applicable).

Table A9: Marine fishes sub-group scores for RECOVERY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (N/A = not applicable).

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APPENDIX 4. DETAILED SCORING TABLES WITH JUSTIFICATIONS FOR MARINE MAMMALS

Table A10. Marine mammals sub-group scores for EXPOSURE scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (– = not applicable).

Table A11. Marine mammals sub-group scores for SENSITIVITY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (– = not applicable).

Table A12. Marine mammals sub-group scores for RECOVERY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps) (– = not applicable).

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APPENDIX 5. DETAILED SCORING TABLES WITH JUSTIFICATIONS FOR MARINE REPTILES

Table A13. Marine reptiles sub-group scores for EXPOSURE scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A14. Marine reptiles sub-group scores for SENSITIVITY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

Table A15. Marine reptiles sub-group scores for RECOVERY scoring criteria, the column labelled "S" indicates the score assigned (note: species lists are not exhaustive; scores with a "P" indicate a precautionary score due to knowledge gaps).

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APPENDIX 6. LIST OF VERIFIED SPECIES FOR THE MARITIMES REGION APPLICATION SUB-GROUP CREATION

Table A16. Verified Species for the Marine Plants and Algae Group.

Group	Species
Non-vascular	Monostroma grevillei
Non-vascular	Palmaria palmata
Non-vascular	Petalonia fascia
Non-vascular	Phycodrys rubens
Non-vascular	Phyllophora pseudoceranoides
Non-vascular	Plumaria plumosa
Non-vascular	Polyides rotunda
Non-vascular	Polysiphonia lanosa/Vertebrata lanosa
Non-vascular	Polysiphonia stricta
Non-vascular	Porphyra purpurea
Non-vascular	Porphyra umbilicalis
Non-vascular	Ptilota elegans
Non-vascular	Rhodomela confervoides
Non-vascular	Saccharina latissima
Non-vascular	Fucus vesiculosus
Non-vascular	Spongomorpha arcta
Non-vascular	Ulva intestinalis
Non-vascular	Ulva lactuca

Table A17. Verified Species for the Marine Invertebrates Group.

Group	Species
Arthropods	Neomysis sp.
Arthropods	Nymphon grossipes
Arthropods	Nymphon hirtipes
Arthropods	Nymphon longitarse
Arthropods	Nymphon sp.
Arthropods	Nymphon stroemi
Arthropods	Orchestia gammarellus
Arthropods	Orchomene macroserratus
Arthropods	Orchomenella minuta
Arthropods	Orchomenella pinguis
Arthropods	Pagurus acadianus
Arthropods	Pagurus arcuatus
Arthropods	Pagurus longicarpus
Arthropods	Pagurus pubescens
Arthropods	Palaemon pugio
Arthropods	Pandalus borealis
Arthropods	Pandalus montagui
Arthropods	Paramphithoe hystrix
Arthropods	Paroediceros lynceus
Arthropods	Photis reinhardi
Arthropods	Phoxichilidium femoratum
Arthropods	Phoxocephalus holbolli
Arthropods	Pleustes (Pleustes) panoplus
Arthropods	Pleusymtes glaber
Arthropods	Platorchestia platensis
Arthropods	Politolana concharum
Arthropods	Praunus flexuosus
Arthropods	Pseudunciola obliquua
Arthropods	Ptilanthura tenuis
Arthropods	Pontogeneia inermis
Arthropods	Pycnogonum litorale
Arthropods	Sclerocrangon boreas
Arthropods	Semibalanus balanoides
Arthropods	Spirontocaris phippsii
Arthropods	Spirontocaris sp.
Arthropods	Spirontocaris spinus
Arthropods	Stegocephalus inflatus
Arthropods	Stenopleustes inermis
Arthropods	Stenula solsbergi
Arthropods	Strongylacron buchholtzi
Arthropods	Syrrhoe crenulata
Arthropods	Tanystylum orbiculare
Arthropods	Unciola irrorata
Arthropods	Wecomedon nobilis

Table A18. Verified Species for the Marine Fishes Group.

Table A19. Verified Species for the Marine Mammals Group.

Table A20. Verified Species for the Marine Reptiles Group.

