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#### **Adjustment of a Bayesian Surplus Production Model for Northern Shrimp for Stocks in the Gulf of St. Lawrence**

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#### **Foreword**

This series documents the scientific basis for the evaluation of aquatic resources and ecosystems in Canada. As such, it addresses the issues of the day in the time frames required and the documents it contains are not intended as definitive statements on the subjects addressed but rather as progress reports on ongoing investigations.

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## **ABSTRACT**

As part of the review of the precautionary approach for northern shrimp in the Estuary and northern Gulf of St. Lawrence (ENGSL), a Bayesian, state-space, surplus production model was fit to commercial landings and the biomass index for each of the four stocks.

This document describes the methods used to fit the Bayesian surplus production model to biomass data for the northern shrimp stocks in the ENGSL. According to the results obtained, this model could be useful for assessing stock status and for revising the precautionary approach. The results presented here are consistent with the findings of the previous assessment and show that the Esquiman, Anticosti and Sept-Iles stocks have been declining for several years, with biomass values in 2022 falling to the lowest levels observed since 1990. The results also show that the Estuary stock is currently stable with high biomass values.

## **INTRODUCTION**

Northern shrimp (*Pandalus borealis*) is one of the most important commercial species in Canadian waters from an economic standpoint. An initial precautionary approach for the four northern shrimp stocks in the Estuary and Gulf of St. Lawrence (ENGSL) was adopted in 2012 (DFO 2011). However, during the last stock assessment, conducted in the winter of 2022 (DFO 2022), it was concluded that the precautionary approach should be revised before the next assessment. This revision was justified because ecosystem conditions have changed since the precautionary approach was developed in the early 2010s and because of the bias in the principal stock status indicator.

Until now, no stock assessment model was available for these stocks that could estimate trends in population abundance and fishing pressure on these stocks. To overcome the limitations inherent in the use of empirical reference points described above, a new assessment model is proposed to

- 1. estimate the population biomass;
- 2. determine reference points that are consistent with the precautionary approach;
- 3. estimate the exploitation rate;
- 4. take changing environmental conditions into account; and
- 5. make projections.

This document presents the results obtained from fitting a Bayesian surplus production model (SPM) to biomass of the ENGSL northern shrimp stocks. This stock assessment model uses commercial landings data and an index of total biomass. This type of model (SPM) is routinely used in stock assessments.

The model fitting results can be used to estimate several biological parameters that describe the dynamics of these stocks. To assess the stability of the model in relation to our assumptions and the different sources of uncertainty, we examined several versions of the model for each stock in order to determine a model formulation that was most appropriate in the context of the assessment of the status of northern shrimp stocks in the ENGSL. The results obtained can be used in development of the precautionary approach.

## **METHODS**

## **DATA USED**

Fitting SPM requires a time series of total catch (landings) data and a time series of biomass index values representing the exploitable component of the stock. Annual landings data were used by stock began in 1982 [\(Table 1,](#page-16-0) [Figure 1\)](#page-22-0). The estimates of total biomass derived from the DFO research survey (conducted annually in August since 1990) were used to represent the exploitable component of the stocks [\(Table 2,](#page-17-0) [Figure 2\)](#page-22-1). The description of these data and the methods used to acquire and process these data are described in Bourdages et al. (2022). In addition, we used updated indicator data based on the assessment units that Bourdages et al. (2023) in order to have a better match between the spatial scales of the management units and biological units of these stocks.

For the base models, we chose to start all the time series in 1990 to take into account the availability of biomass index. Sensitivity tests (described below) were conducted to assess the impact of including landings data from 1982 to 1989. The Estuary stock is a special case. We

opted to use only data beginning in 2008 because the area covered by the research survey was expanded in 2008 to cover shallower strata where shrimp are quite abundant. Sensitivity tests will also conducted to examine the effect of including and excluding landings data from before 2008.

## **SURPLUS PRODUCTION MODEL**

The SPM is based on the logistic growth of a discrete population (Verhulst 1838). The effects of recruitment, growth and mortality are pooled together to describe the productivity of a population in its environment as well as trends in its biomass. The model assumes that a population is productive enough to persist over time by producing more recruits than the environment has the capacity to support. The maximum sustainable yield (MSY) is the maximum amount of biomass that can on average be removed from a fishery stock under existing environmental conditions, without affecting the stock productivity. It is recognized that this theoretical value should be considered a limit and not a target (Pauly and Froese 2021).

The Schaefer-type (SPM) (Schaefer 1954) was formulated in a Bayesian state-space framework (Meyer and Millar 1999). State-space models make it possible to estimate process error, that is, stochasticity in the population dynamics, as well as observation error associated with the biomass index. This approach is considered by many to be the best practice for stock assessment (Aeberherd et al. 2018; Punt 2023).

The stochastic form of the process equation is:

$$
P_t = \left(P_{t-1} + rP_{t-1}(1-P_{t-1}) - \frac{C_{t-1}}{K}\right)e^{\eta_t}
$$

where *r* is the intrinsic rate of population increase, which encompasses all growth, recruitment and natural mortality processes;  $K$  is the carrying capacity of the system;  $C_t$  is the sum of catches in year *t* estimated from the observed values using a log normal distribution with a CV of 0.1;  $P_t$  is the ratio of exploitable biomass (B) to carrying capacity in year  $t$  ( $P_t = B_t/K$ );  $\eta_t$  is the process error  $\eta_t{\sim}N(0,\sigma_\eta^2)$  with process variance  $\sigma_\eta^2.$  The model estimates biomass as a proportion of carrying capacity to enhance the sampling of parameter space and minimize autocorrelation between each state and *K* (Meyer and Millar 1999). This also ensures that process error and observation error are treated separately from uncertainty in estimates of *r* and *K* (Froese *et al.* 2017; Pedersen and Berg 2017; Winker *et al.* 2018).

An observation equation is used to link biomass  $(B<sub>t</sub>)$  and the observations from the bottom trawl survey.

$$
I_t = (qB_t)\, e^{\varepsilon_t}
$$

where *It* is the biomass index in year *t; q* is the catchability coefficient and *εt* is observation error associated with the biomass index  $\varepsilon_t{\sim}N(0,\sigma_{\varepsilon,t}^2)$  with variance  $\sigma_{\varepsilon,t}^2$  which is composed of a minimum variance, fixed at 0.2<sup>2</sup>  $\sigma^2_{fix}$  (Winker *et al.* 2018) and an estimated portion  $\sigma^2_{est,t}$ .

With the Bayesian SPM approach, it is possible to determine the probability distribution (posterior distributions, or "posteriors") of the different possible values of the parameters to be estimated. Existing knowledge (prior distributions, or "priors") of the parameters, the observed data and the likelihood function are combined to generate the posterior distributions. This approach allows uncertainty to be included and propagated to the observations, biomass trends and the productivity of a stock when its status is being assessed (Winker et al. 2018).

The biomasses trajectories were calculated using prior estimates for *K*, *r*, *q* and biomass as a proportion of *K* in the first year of the time series of catches *(B1/K)*, as well as process error

variance and observation error variance,  $\sigma^2_{\varepsilon,t}$  and  $\sigma^2_{est,t}$  respectively [\(Table 3\)](#page-18-0). The parameters estimated by the SPM are as follows: *r*, *K*, *q*, *B<sub>1</sub>/K*,  $\sigma_{\eta}^2$ ,  $\sigma_{est,t}^2$ ,  $C_t$  and  $P_t$ .

Annual biomasses  $(B_t)$  and the annual exploitation rate  $(F_t)$  are calculated along with reference points based on the maximum sustainable yield (MSY): the exploitation rate that would maintain the MSY ( $F_{MSY}$ ), biomass at MSY ( $B_{MSY}$ ) and  $B_{\ell}/B_{MSY}$  and  $F_{\ell}/F_{MSY}$ . These values are calculated using the following equations:

$$
B_{MSY} = \frac{K}{2}
$$
  
\n
$$
F_{MSY} = \frac{r}{2}
$$
  
\n
$$
MSY = F_{MSY} \times B_{MSY} = \frac{rK}{4}
$$
  
\n
$$
B_t = KP_t
$$
  
\n
$$
F_t = \frac{C_t}{B_t}
$$

Model were fit using the open-source stock assessment platform JABBA ("Just another Bayesian Biomass Assessment"; Winker et al. 2018, 2020). JABBA enables the user to prepare data to be used to fit a generalized Bayesian state-space SPM, format model outputs, conduct diagnostic tests and generate plots.

More specifically, JABBA prepares the input to be executed with JAGS software ("Just Another Gibbs Sampler": Plummer 2003), which is written in C++. JAGS uses Markov Chain Monte Carlo simulations (MCMC) using the Gibbs sampling algorithm, to make Bayesian inferences. JABBA, through JAGS, compiles the model using code generated by R2jags (Su and Yajima 2012). All code used to perform the analyses and generate plots was written in R (R Core Team 2022; version 4.1.3).

MCMC sampling of the posterior distributions of the parameters was carried out using 3 chains, 30,000 iterations and an adaptation period of 5,000 iterations. Samples were thinned to every fifth iteration to reduce the possibility of autocorrelation within the series. Therefore, the final number of samples in each posterior distribution was (30,000 – 5,000)/5 \*3 = 15,000 samples per parameter.

## **PRIOR DISTRIBUTION**

In a Bayesian modelling framework, prior distributions, are provided for each parameter in the model. Together, with the observed data and the likelihood function (Winker et al. 2018), these prior distributions are updated to produce posterior distributions. This differs from classical modelling approaches in that the likelihood values are weighted by the priors to provide the posteriors. One of the advantages of this probabilistic methodology is that it allows prior knowledge to be used when the required information is unavailable or uncertain.

Priors can be based on knowledge acquired in previous studies, from research on different stocks of the same or similar species, from relationships based on ecological laws and theories, and from expert opinion (Krushke 2021, Pauly and Froese 2021). JABBA allows the user to select the form and scale for certain parameters [\(Table 3\)](#page-18-0).

## **Intrinsic rate of increase (***r***)**

The prior distribution for *r* for each of the four stocks followed a lognormal distribution with a mean of 0.58 and a standard deviation of 0.5 on the log scale. The mean of 0.58 was based on an analysis of several shrimp stock assessments described on the SeaLifeBase website (Palomares et al. 2023). This distribution is similar to *r* values estimated by Bayesian SPMs used to assess other northern shrimp stocks, specifically the Barents Sea, North Sea and West Greenland stocks (Hvingel 2015, 2019; Hvingel and Kingsley 2001), as well as other species of shrimp and decapods with medium to high resilience (Caddy 2004, Zhou et al. 2009, ICES 2015).

## **Carrying capacity (***K***)**

The prior distribution for *K* for each of the four stocks was established by using information from the time series of catches as well as our knowledge about the productivity (*r*) of this species. We assumed that

- 1. the *K* for each stock should be greater than the maximum catch in the respective series;
- 2. that the maximum yield for each stock depends on its productivity; and
- 3. that catches account for a larger proportion of *K* in strongly depleted stocks than in healthy stocks (Froese et al. 2017).

We therefore chose a range of values corresponding from 2 to 12 times the highest catch value for each stock. Each of these values was divided by our prior for *r*, that is, 0.58. The default prior distribution for *K* in JABBA is 8 times the maximum catch with a standard deviation of  $\sim$  0.83 (CV = 1). These ranges of values are transformed by JABBA into log-normal distributions. JABBA penalizes the likelihood of values of *K* <0.01 and *K* >1010 by default.

## **Catchability coefficient of the biomass index (***q***)**

Although a total biomass index is used, we chose a non-informative distribution for *q* based on the recommendations of Punt and Hilborn (1997). The default in JABBA is a uniform distribution, that is, a range from 10<sup>-30</sup> to 1,000. JABBA penalizes the likelihood of values diverging from these bounds, by default.

## **Initial depletion in the first year of the series (***B1/K***)**

We followed the recommendations of the ICES WKLIFE IV and V working groups (ICES 2015) and those of Froese et al. (2017) for the specification of *B1/K* by taking into account the stock's exploitation history and its assumed level of depletion. Although stock exploitation was low prior to 1990, a regime shift occurred in the ENGSL in the late 1980s, from a regime dominated by the main predators of shrimp to one dominated by other species. Given this pattern, we assumed that the ENGSL shrimp stocks were at fairly low levels in relation to carrying capacity. Furthermore, we assumed that the *B1/K* for each stock could be described by a beta distribution with a mean value of 0.2 and a CV of 0.25. We assumed, with this distribution, that biomass values were low relative to carrying capacity in 1990. The Estuary series began in 2008, productive period for shrimp stocks given lower abundance of predators. In this case, we assumed that the *B1/K* for the stock could be described by a beta distribution with a mean value of 0.5 and a CV of 0.25. The likelihood of *Pt* is penalized for values <0.01 and >1.3 by default.

## **Process and observation error variance**

We followed the approach developed by Meyer and Millar (1999) and assigned non-informative prior distributions to the process error and the estimated portion of the observation error variance parameters (i.e., an inverse gamma distribution (0.001, 0.001). This distribution is almost uniform on a log scale. The minimum variance (*σfix* ) was set at 0.2 in keeping with the JABBA authors' recommendations regarding plausible observation error values and the partitioning of process and observation error (Winker et al. 2018). Annual catches are also estimated in the model and are taken from a log-normal distribution with a CV of 0.1 despite the assumption that the catch monitoring system for shrimp is well managed.

## **DIAGNOSTICS**

The consistency and stability of the model outputs was evaluated in several ways. Model convergence was evaluated with the potential scale reduction statistic "*R-hat*" (Gelman and Rubin 1992), which is a weighted mean of the variance between and within each MCMC chain. This statistic is automatically calculated in JABBA using the wrapper function jags() from the library R2jags (Winker et al. 2018). Values below 1.1 provide evidence of convergence in the outputs. A more robust variant of *R-hat* was also calculated and used to validate convergence of the models (Vehtari et al. 2021). Given the large number of parameters that need to be estimated in hierarchical state-space models, we followed the recommendations of Kruschke (2021) by ensuring that all the *R-hat* values (n = 3,123) for each model were below 1.1 before conducting other diagnostic tests [\(Table 4\)](#page-18-1).

Model fit was assessed in each case by means of several statistics and plots generated automatically by JABBA (Carvalho et al. 2021; Winker et al. 2018). The log-normal residuals of the observed and predicted biomass index values were first inspected visually to check for temporal trends. The root mean square error (RMSE), the standard deviation of the normalized residuals (SDNR) and the Wald-Wolfowitz residual runs test were also calculated.

The quality of the posterior distributions of certain key parameters as well as the influence of the data in relation to the priors on the posterior distributions is evaluated using the "prior-posterior mean ratio" and the "prior posterior variance ratio" (PPMR and PPVR respectively).

The stability of the estimates and the model's consistency in relation to our assumptions as well as its capacity to simulate and predict values similar to our observations was also evaluated. We started by inspecting the process error deviates for temporal trends. We ensured that the simulated values of the predictive posterior distribution could reproduce biomass values that included our observations ("posterior predictive check PPD").

Finally, we performed retrospective analyses spanning four years to see whether the estimates would remain stable after more information was added. We inspected these figures for evidence of extreme biases and that the estimates did not exceed the confidence intervals of the full model (all years included). Mohn's rho statistic can be used to measure the severity of retrospective patterns.

## **SENSITIVITY ANALYSIS**

A crucial step in Bayesian modeling is to assess the stability in parameter estimation due to the inherent uncertainty of our assumptions (Punt 2023). We therefore compared the results obtained from our base models using a series of sensitivity tests [\(Table 9\)](#page-21-0).

Given that stock productivity and/or the systems' carrying capacity could have changed over time (e.g., temporal variation in recruitment, natural mortality, growth, available thermal niche), we varied the means and variances of the prior distributions of *r* and *K*. We analyzed scenarios with lower (s1;  $r = 0.3$ ) and higher (s2;  $r = 0.9$ ) prior values for  $r$ ; a scenario with a smaller and therefore more informative standard deviation (s3; *r* log SD = 0.1); and a scenario where *r* had a larger and therefore less informative standard deviation (s4; *r* log SD = 1.0).

Similarly, we tested scenarios with lower (s5; range of 2 to 6 times maximum catch/*r*) and higher (s6; range of 8 to 12 times maximum catch/*r*) prior values for *K*, and a scenario where the CV of *K* is about double that in the base models, that is, a CV of 0.83 versus a CV of 0.45 (s7; 2 to 24 times maximum catch/*r*).

Given that many species reach production equilibrium at a  $B_{MS}/K$  value of about 0.4 (Thorson et al. 2012, Punt *et al.* 2014), we tested a Pella-Tomlinson SPM formulation in JABBA by setting the *BMSY*/*K* parameter with a CV of 0.3 (s8).

It is recognized that the value of the initial relative biomass (*B1/K*) can have a considerable effect on the results of several stock assessment methods (Boudreau and Duplisea 2022) and can produce biased advice if the model is not suitable for describing the dynamics of the system. We therefore developed scenarios where *B1/K* is equal to 0.5 and 0.7 (s9 and s10, respectively); for the Estuary, these values were a  $B_1/K$  of 0.2 a  $B_1/K$  of 0.7 in the s9 and s10 scenarios respectively.

Using an approach similar to that of Bailey (2012), who applied a Schaefer Bayesian state-space SPM to the 3LNO, 3Ps and 2J3KL American plaice stocks—similar in concept to retrospective analyses—we developed scenarios incorporating information from different periods of time in the model. The series of biomass index values was set to begin in 1990 and the series of catches, in 1982. Since JABBA allows missing values in series of indices, we created a scenario incorporating the catches from 1982 to 2022 (s11). Two scenarios (s12 and s13) were used to analyze the periods corresponding to the rising and descending trends observed in the time series of total biomass values (1990–2005 and 2005–2022, respectively).

For all the sensitivity tests, we compared the estimates of posterior distributions (medians and 95% CI) for the key model parameters (*K*, *r*, *q*, *B1/K*, process error, sigma2\_proc and sigma2 obs) and the derived quantities (MSY, B<sub>MSY</sub>, F<sub>MSY</sub>,  $B_{2022}/B_{MSY}$  and  $F_{2022}/F_{MSY}$ ) with the base model.

## **COMPARISON WITH OTHER TYPES OF MODELS**

Like Bouch et al. (2020), Pons et al. (2020) and Cousido-Rocha et al. (2022), we compared the JABBA results with those of other stock assessment models that apply the surplus production paradigm. Specifically, we fitted the SPM formulation of SPICT (Pederson and Berg 2017) and the latest version of CMSY++ (Froese et al. 2017). The latter fits a model based solely on catches, but provides a BSM (Bayesian Schaefer Model) fit if a biomass index is provided. The SPM formulations, algorithms and modelling frameworks for these models differ from those in JABBA, but the parameterization of the models and the prior distributions provided were essentially the same.

For the comparisons with other types of models, we compared the posterior estimates (medians and 95% CI) for the key model parameters  $(K, r, q, B<sub>1</sub>/K,$  process error, sigma2 proc and sigma2 obs) and the derived quantities (MSY, B<sub>MSY</sub>, F<sub>MSY</sub>,  $B_{2022}/B_{MSY}$  and  $F_{2022}/F_{MSY}$ ) with the base model.

## **RESULTS**

The Bayesian surplus production model (SPM) was fit to the data from each stock, that is, the Esquiman, Anticosti, Sept-Iles and Estuary. None of the base models showed problems of

convergence and the MCMC chains sampled the parameter space well (Table 4). The results of the posterior distributions for each stock are presented in Tables 5 to 8 and Figures 3, 11, 19 and 27, respectively. While taking northern shrimp ecology and the sampling plan for the bottom trawl survey, which provided the observed biomass index into account, the results show that parameter estimates for *r, K, B1/K* and *q* for each of these stocks is plausible,. Furthermore, the levels of annual process and observation variance found for each stock are reasonable and fall within the range of values where SPMs perform adequately (Thorson et al. 2014; Winker et al. 2018).

## **MODEL FITTING**

## **Esquiman**

The model showed a good fit to the data for the Esquiman stock (RMSE =  $29.4$ ; SDNR =  $0.90$ ). The observed values for the annual biomass index fell within the posterior distribution and the predictive posterior distribution (Figure 4). The analysis of residuals showed a slight tendency to underestimate biomass before 2003 and to overestimate biomass after 2003. Only the residual for 2003 appeared problematic in terms of model fit (Figure 5).

The absolute and relative trends in biomass at MSY and the stock exploitation rate are shown in Figure 6. The results of the retrospective analyses show that biomass tended to be overestimated when information is removed from the model. Therefore, the biomass estimates in the global model should be viewed with caution. The estimates from each sub-model nonetheless fell within the confidence intervals of the global model (Figure 7). Although the process error deviates (Figure 8) remained close to 0 throughout the time series, a slight negative trend was found after the early 2010s. This additional variation, which is not explained by the deterministic part of the model, could be due to variability in natural processes such as changes in recruitment and natural mortality, or variation in stock exploitation rates. In 2022, the stock biomass would be below the value for  $B_{MSY}$  and above the value for  $F_{MSY}$  (Figures 6, 9– 10).

## **Anticosti**

The model fit the Anticosti stock data well (RMSE = 31.3; SDNR = 0.93). The observed values for the annual biomass index fell within the posterior distribution and the predictive posterior distribution (Figure 12). Analysis of the residuals showed no particular temporal trend (Figure 13).

The absolute and relative trends in biomass at MSY and the stock exploitation rate are shown in Figure 14. The results of the retrospective analyses showed a slight tendency to overestimate biomass. Therefore, the biomass values in the global model should be viewed with caution. The estimates from each sub-model nonetheless fell within the confidence intervals the global model (Figure 15). No particular temporal trend was found in the process error deviates (Figure 16), but it had been slightly negative for the past few years. This additional variation not explained by the deterministic part of the model could be due to variability in natural processes such as changes in recruitment and natural mortality, or variation in stock exploitation rates. In 2022, the stock biomass would be below the value for  $B_{MSY}$  and above the value for  $F_{MSY}$  (Figures 14, 17– 18).

## **Sept-Iles**

The model fit the Sept-Iles stock data well (RMSE =  $23.1$ ; SDNR =  $0.90$ ). The observed values for the annual biomass index fell within the posterior distribution and the predictive posterior

distribution (Figure 20). Analysis of the residuals did not reveal any particular temporal trends but, as was the case for the Esquiman stock, a slight downward trend was found before 2003 and a slight upward trend was found from 2010 to 2020 (Figure 21).

Trends in biomass and exploitation rates are shown in Figure 22 in both absolute terms (also showing the respective values corresponding to MSY) and relative terms against  $B_{MSY}$  and  $F_{MSY}$ respectively. The results of the retrospective analyses showed the model's tendency to overestimate biomass, particularly the sub-model, which used two fewer years of information. Therefore, the biomass values provided by the global model must be viewed with caution. However, the overall trends revealed by the sub-models were similar to those provided by the global model, with values remaining largely within the confidence intervals (Figure 23). No particular temporal trend was found in the process error deviates values (Figure 24), although a slight upward trend occurred between 1994 and 2003 and a downward one in recent years (2014–2022). This additional variation not explained by the deterministic portion of the model could be due to variability in natural processes such as changes in recruitment and natural mortality, or variation in the stock exploitation rates. In 2022, stock biomass would be below the value for  $B_{MSY}$  and above that for  $F_{MSY}$  (Figures 22 and 25–26).

## **Estuary**

The model's fit to the data was more problematic for the Estuary stock than for the other stocks (RMSE = 70.4; SDNR = 0.97), perhaps because of the shorter time series and lower exploitation rates for this stock. Consequently, the parameters were estimated using less information. The extreme values obtained for the biomass index in 2017, 2020 and 2022 probably also contributed to model fitting problems (Figure 2). The 2022 biomass index value used as model input was heavily influenced by two extremely large trawl tows but, despite this issue, the observed values for the annual biomass index fell within the posterior and predictive posterior distributions (Figure 28). In spite of the RMSE of 70.4%, residual analysis showed no particular temporal trends (Figure 29).

Trends in biomass and exploitation rates are shown in Figure 30 in both absolute terms (also showing the respective values corresponding to MSY) and relative terms against  $B_{MSY}$  and  $F_{MSY}$ respectively. The results of the retrospective analyses demonstrated that biomass tended to be underestimated when information was removed from the global model. This is probably due to the extreme positive value in 2022. These patterns will have to be reassessed with additional years of information. Nevertheless, the estimates of each sub-model fell within the confidence intervals for the global model (Figure 31). The process error deviates showed no particular temporal trends, although a slight positive trend could be seen in the last few years (Figure 32). In 2022, the stock biomass would be greater than  $B_{MSY}$ , while the exploitation rate was less than  $F_{MSY}$  (Figures 30, 33–34).

## **SENSITIVITY ANALYSIS**

We carried out an extensive series of sensitivity analyses to test our assumptions on the intrinsic rate of increase, the carrying capacity and the constancy of productivity regimes over time. Changes in our underlying assumptions did not produce results that differed appreciably from those obtained from our base models, given that the sensitivity analyses produced parameter estimates similar to those of the base models. All the biomass trajectories calculated using these models fell within the confidence intervals for the base models and exhibited the same trends (Figures 35–42).

## **COMPARISON WITH OTHER TYPES OF MODELS**

Several platforms are available for fitting SPMs. We compared the results for the base model fits obtained with JABBA with the output from SPiCT (Pedersen and Berg 2017) and CMSY++/BSM (Froese et al. 2017). The results showed that all the models produced similar parameter estimates. The trends in relative biomass and relative exploitation rates obtained with these models were also similar across the board, with only CMSY++ providing significantly different results, particularly for recent years. However, CMSY++ does not incorporate information on stock biomass and its results must be viewed with caution. For example, catches of schooling species may remain high despite an actual decline in biomass. In this type of situation, using data based solely on commercial catches may introduce bias in the results. Nevertheless, in general, the different sensitivity tests provided roughly the same results as the various configurations of the base models, showing the models' consistency and stability with respect to the basic assumptions.

## **SOURCES OF UNCERTAINTY**

A surplus production model was used to describe the shrimp stocks trajectory over time. This model does not integrate information on the size or age structure of the population, and simplifies the productivity processes (recruitment, growth, natural mortality, etc.) to the estimated parameters *r* and *K*, which determine, respectively, the population growth rate and the support capacity of the environment. Essentially, next year's biomass is equal to this year's biomass, plus stock productivity, minus fishery catches.

Shrimp productivity has changed significantly over the past 30 years, with an initial increase in productivity that allowed the growth of the stock, followed by a significant decrease in productivity over the past decade that has greatly contributed to shrimp decline (Tamdrari et al. 2018). These changes are only partially captured by the model's process error. As a result, the SPM could be used heuristically to estimate the biomass that produces a maximum sustainable yield (MSY) from the fishery or  $B_{MSY}$ , and an exploitation rate at MSY or  $F_{MSY}$ . The  $B_{MSY}$ corresponds to a biomass at which the stock was productive, while the  $F_{\text{MSY}}$  corresponds to the approximate exploitation rate which prevailed when the stocks were at high abundance. The absolute annual values of biomass (B) and exploitation rate (F) estimated by the SPM do not make it possible to distinguish the effects of changes in productivity and fishing on stock dynamics, and are therefore considered unreliable and should not be overinterpreted. Only their trajectory over the entire series is informative, and the relationship between the relative biomass  $(B/B<sub>MSY</sub>)$  and the relative exploitation rate (F/F<sub>MSY</sub>) could serve as a guide to establish fishing removals which will reduce the risk of overfishing. The model is, however, not suitable for understanding future medium- and long-term responses of the stock to fishing on stock dynamics.

## **CONCLUSION**

The results obtained show that the models had a good fit to the data and were able to reliably track the trajectories of stock biomass and exploitation rates. The results of the models were consistent with those of previous stock assessments: the Esquiman, Anticosti and Sept-Iles stocks have been declining for a number of years and, in 2022, reached the lowest biomass values observed since 1990, while the Estuary stock is currently fairly stable, with high values.

The estimation of a number of values such as maximum sustainable yield (MSY), the exploitation rate at MSY ( $F_{MSY}$ ), the stock size (biomass) at MSY ( $B_{MSY}$ ), and  $B_{\ell}/B_{MSY}$  and  $F_{\ell}/F_{\text{MSY}}$ , will be extremely useful in the review of the precautionary approach.

This model represents a positive step forward in the assessment of northern shrimp stocks in the ENGSL. However, as in all modelling, caution must be exercised when applying the model to ecosystem conditions outside the range of those already observed, and ongoing assessments of its effectiveness will be required.

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## **TABLES**



<span id="page-16-0"></span>*Table 1. Time series of landings (t) in stock area from 1982 to 2022.*

Year	Esquiman	Anticosti Sept-Iles		Estuary	
1990	20,358	36,229	31,866	2,011	
1991	15,336	21,973	43,033	2,219	
1992	9,490	23,782	18,642	1,803	
1993	9,116	14,968	25,037	1,486	
1994	11,988	11,767	33,251	2,088	
1995	21,198	29,739	42,200	344	
1996	20,525	57,830	56,354	2,862	
1997	46,764	35,790	63,502	1,764	
1998	27,492	27,857	72,819	727	
1999	33,550	31,484	66,381	3,015	
2000	31,272	43,272	97,138	3,371	
2001	29,755	30,139	80,285	1,858	
2002	13,395	53,610	86,963	1,526	
2003	60,250	76,913	194,703	3,343	
2004	38,719	57,708	122,516	2,893	
2005	46,872	70,211	99,464	2,385	
2006	50,305	42,620	82,758	1,947	
2007	31,708	72,918	111,320	3,482	
2008	29,685	28,870	97,972	$10,715$ <sup>*</sup>	
2009	35,140	46,271	77,580	9,991゛	
2010	32.947	38,440	79,291	7,8981	
2011	47,211	21,827	53,408	7,266	
2012	31,079	28,530	72,082	7,993*	
2013	35,399	28,898	57,704	6,764	
2014	31,002	33,574	84,410	$10,940^*$	
2015	22,056	34,597	69,072	$5,381^*$	
2016	25,432	20,748	44,581	7,486*	
2017	18,996	26,624	21,684	$2,420^*$	
2018	17,478	19,170	14,971	$6,924^*$	
2019	23,251	18,905	20,941	$9,742^*$	
2020	11,470	20,947	27,458	2,315^	
2021	14,404	15,383	11,966	12,949^	
2022	11,619	8.924	41,43	25,504*	

<span id="page-17-0"></span>*Table 2. Time series of total biomass index values (t) in stock from 1982 to 2022.*

\*: Beginning in 2008, sampling was expanded by adding strata in the shallow portion (37–183 m) of the Estuary.

	Esquiman	Anticosti	Sept-Iles	Estuary
r mean	0.58	0.58	0.58	0.58
r log SD	0.53	0.53	0.53	0.53
K low	35,323	34,781	71,689	3,852
K high	211,936	208,689	430,135	23,114
$B_1/K$ mean	0.2	0.2	0.2	0.5
$B_1/K$ CV	0.25	0.25	0.25	0.25
$\sigma_{\eta}^2$ (igamma)	(0.001, 0.001)	(0.001, 0.001)	(0.001, 0.001)	(0.001, 0.001)
$\sigma_{fix}^2$ (fixed.obsE)	0.2	0.2	0.2	0.2
$\sigma^2_{est,t}$ (sigma.est)	(0.001, 0.001)	(0.001, 0.001)	(0.001, 0.001)	(0.001, 0.001)
Catches CV	0.1	0.1	0.1	0.1

<span id="page-18-0"></span>*Table 3. Prior distributions used in the base model for each stock.* 

<span id="page-18-1"></span>*Table 4. Diagnostic statistics for model convergence and goodness of fit for the four stocks. R-hat designates the potential scale reduction statistic; ESS, effective sample size; DIC, deviation information criterion; RMSE, root mean square error; and SDNR, standard deviation of the normalized residuals.*



Parameter	Median	CI low	CI high
K	82,912.363	47,518.345	166,498.828
r	0.416	0.219	0.704
$B_1/K$	0.199	0.129	0.302
<b>F</b> <sub>MSY</sub>	0.208	0.109	0.352
B <sub>MSY</sub>	41,456.181	23,759.173	83,249.414
MSY	8,525.147	6,237.247	12,482.450
B <sub>2022</sub> /B <sub>MSY</sub>	0.414	0.183	0.942
F <sub>2022</sub> /F <sub>MSY</sub>	1.245	0.436	2.533
q	0.823	0.326	1.583
$\sigma_{\eta}^2$	0.022	0.001	0.043
$\sigma_{\varepsilon,t}^2$	0.050	0.005	0.147

*Table 5. Posterior distributions of the base model parameters for the Esquiman stock.*

*Table 6. Posterior distributions of the base model parameters for the Anticosti stock.*

Parameter	Median	CI low	CI high.
K	73,783.932	46,212.731	140,920.957
r	0.372	0.207	0.618
$B_1/K$	0.249	0.171	0.332
<b>FMSY</b>	0.186	0.104	0.309
<b>B</b> <sub>MSY</sub>	36,891.966	23,106.365	70,460.479
MSY	6,877.157	5,606.349	9,339.246
B <sub>2022</sub> /B <sub>MSY</sub>	0.284	0.130	0.555
F <sub>2022</sub> /F <sub>MSY</sub>	1.894	0.929	3.930
q	1.080	0.673	1.618
$\sigma_{\eta}^2$	0.007	0.001	0.039
$\sigma^2_{\varepsilon,t}$	0.054	0.005	0.135

Parameter	Median	CI low	CI high	
K	112,016.900	66,223.050	216,946.900	
r	0.516	0.247	0.963	
$B_1/K$	0.158	0.102	0.242	
<b>FMSY</b>	0.258	0.124	0.482	
BMSY	56,008.460	33,111.530	108,473.400	
MSY	14,599.800	10,007.580	19,641.610	
B <sub>2022</sub> /B <sub>MSY</sub>	0.048	0.039	0.091	
F <sub>2022</sub> /F <sub>MSY</sub>	5.383	3.213	7.800	
q	2.025	1.063	3.646	
$\sigma_{\eta}^2$	0.032	0.004	0.044	
$\sigma^2_{\varepsilon,t}$	0.014	0.001	0.079	

*Table 7. Posterior distributions of the base model parameters for the Sept-Iles stock.*

*Table 8. Posterior distributions of the base model parameters for the Estuary stock.*

Parameter	Median	CI low	CI high
K	8,669.311	4,611.354	17,036.034
r	0.502	0.252	1.165
$B_1/K$	0.524	0.294	0.749
<b>F</b> <sub>MSY</sub>	0.251	0.126	0.583
Вмsу	4,334.655	2,305.677	8,518.017
MSY	1,023.111	719.336	2,776.025
B <sub>2022</sub> /B <sub>MSY</sub>	1.383	0.640	2.204
F <sub>2022</sub> /F <sub>MSY</sub>	0.361	0.091	0.878
q	1.862	0.631	4.358
$\sigma_{\eta}^2$ $\sigma_{\varepsilon,t}^2$	0.008	0.001	0.040
	0.282	0.112	0.740

<span id="page-21-0"></span>

ID	Scenario		r log SD		K log standard deviation	$B_{MSY}/K$	$B_1/K$	Years
base	base	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2022
s1	r low	0.30	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2022
s2	r_high	0.90	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2022
s3	r sd low	0.58	0.1	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2022
s4	sd high	0.58	1.0	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2022
s5	K low	0.58	0.5	$(2-6x$ max catch)/r	0.45	0.5	0.2	1990-2022
s6	K high	0.58	0.5	(8–12x_max_catch)/r	0.45	0.5	0.2	1990-2022
s7	K sd high	0.58	0.5	$(2-24x$ max catch)/r	0.83	0.5	0.2	1990-2022
s8	$B_{MSY}/K = 0.4$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.4	0.2	1990-2022
s9	$B_1/K = 0.5$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.5	1990-2022
s10	$B_1/K = 0.7$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.7	1990-2022
s11	$82 - 22$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	1982-2022
s12	$90 - 05$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	1990-2005
s13	$05 - 22$	0.58	0.5	$(2-12x$ max catch)/r	0.45	0.5	0.2	2005-2022

*Table 9. Prior distributions of parameters used in the different sensitivity tests. Parameters differing from those in the base models are shown in bold.*

**FIGURES** Esquiman 1990-2022 Anticosti 1990-2022 10000 10000 8000 8000 Catch (t) 6000 6000 4000 4000 2000 2000  $\overline{0}$  $\Omega$ 1980 1985 1990 1995 2000 2005 2010 2015 2020 1980 1985 1990 1995 2000 2005 2010 2015 2020 Sept-Iles 1990-2022 Estuary 2008-2022 1200 20000 1000  $\begin{array}{l} \textcircled{\texttt{+}} 15000 \\ \text{Eq} \\ 10000 \end{array}$ 800 600 400 5000 Y 200  $\overline{0}$  $\mathbf{0}$ 1980 1985 1990 1995 2000 2005 2010 2015 2020 1980 1985 1990 1995 2000 2005 2010 2015 2020 Year Year

<span id="page-22-0"></span>*Figure 1. Annual landings (catches) in tonnes in the four stocks. The dark grey background indicates the years not used in the base model. The light grey background in the graph for the Estuary indicates the years before additional strata were sampled in the bottom trawl survey.*



<span id="page-22-1"></span>*Figure 2. Total annual biomass in tonnes in the four stocks. The dark grey background indicates the years not used in the base model.*



*Figure 3. Prior distributions (dark grey) and posterior distributions (light grey) of the parameters used in the base model for Esquiman. The parameters examined include carrying capacity (K), intrinsic rate of increase (r), biomass as a proportion of carrying capacity in the first year of the time series (B1/K), the*  biomass index catchability coefficient (q), the process error variance  $\sigma^2_{\eta}$  (sigma2) and the observation *error variance* , <sup>2</sup> *(sigma2\_obs). Posterior distributions were plotted using generic kernel densities.*



*Figure 4. Total biomass index values observed for the Esquiman stock (white dots and associated error*  bars) and the trajectory estimated by the base model (black line). The shaded areas represent the *posterior distribution of the predicted values (dark grey) and the predictive posterior distribution (PPD) (light grey).*



*Figure 5. Residual diagnostics for the base model for the Esquiman stock, plotted on a log scale of biomass index values. The dot-dash blue line represents the LOESS-smoothed residuals, while the green background represents the results of the Wald-Wolfowitz test. The RMSE corresponds to the mean absolute error in percentage terms.*



*Figure 6. Estimated trajectories for Esquiman stock biomass (Bt) and fishing mortality (Ft), scaled to the maximum sustainable yield (B/BMSY and F/FMSY). The shaded area represents the 95% confidence interval.*



*Figure 7. Retrospective analysis for the Esquiman stock (2018–2022). The mean Mohn's rho values are shown on each graph.*



*Figure 8. Process error deviation in the Esquiman model. The black line represents the median value of the posterior distribution, while the 95% CI is shown in grey. The solid blue line represents the trend for biomass generated by the deterministic portion of the model, while the dashed blue lines are provided to make the graph easier to read.*



*Figure 9. Kobe-type plot for the base case scenario for the Esquiman stock. The black line shows the*  estimated trajectory (1990–2022) between F/F<sub>MSY</sub> and B/B<sub>MSY</sub>. The grey shaded areas show the *confidence intervals for the terminal year (50%, 80% and 95%). The probabilities of the terminal year being located in one of the quadrants are indicated in the legend.*



*Figure 10. SP-phase-type plot showing the estimated surplus production curve (blue line) and the catch trajectory (black line) as a function of biomass for the base case scenario for the Esquiman stock. The blue dashed lines show the estimated values for maximum sustainable yield.*

#### **Anticosti** PPMR =  $0.761$ <br>PPVR =  $0.441$ PPMR =  $0.585$ <br>PPVR =  $0.277$ PPMR =  $1.24$ <br>PPVR =  $0.424$  $prior$ posterior 150000 50000  $\frac{0.3}{B1/K}$  $250000$   $0.2$   $0.4$   $0.6$   $0.8$   $1.0$   $1.2$  $0.1$  $0.2$  $0.4$  $0.5$ Density  $\mathbf{r}$  $0.0$  $0.5$  $1.5$  $2'0$  $0.00$  $0.01$  $0.02 0.03$  $0.04$  $0.05.00$  $0.20$  $0.30$  $1.0$  $0.10$ sigma2\_obs q sigma2

*Figure 11. Prior distributions (dark grey) and posterior distributions (light grey) of the parameters used in the base model for Anticosti. The parameters examined include carrying capacity (K), intrinsic rate of increase (r), biomass as a proportion of carrying capacity in the first year of the time series (B1/K), the*  biomass index catchability coefficient (q), the process error variance  $\sigma^2_{\eta}$  (sigma2) and the observation error variance  $\sigma_{\varepsilon,t}^2$  (sigma2\_obs). Posterior distributions were plotted using generic kernel densities.



*Figure 12. Total biomass index values observed for the Anticosti stock (white dots and associated error*  bars) and the trajectory estimated by the base model (black line). The shaded areas represent the *posterior distribution of the predicted values (dark grey) and the predictive posterior distribution (PPD) (light grey).*



*Figure 13. Residual diagnostics for the base model for the Anticosti stock, plotted on a log scale of biomass index values. The dot-dash blue line represents the LOESS-smoothed residuals, while the green background represents the results of the Wald-Wolfowitz test. The RMSE corresponds to the mean absolute error in percentage terms.*



*Figure 14. Estimated trajectories for Anticosti stock biomass (Bt) and fishing mortality (Ft), scaled according to the maximum sustainable yield (B/BMSY and F/FMSY). The shaded area represents the 95% confidence interval.*

## **Anticosti**



*Figure 15. Retrospective analysis for the Anticosti stock (2018–2022). The mean Mohn's rho values are shown on each graph.*

## **Anticosti**



*Figure 16. Process error deviation in the Anticosti model. The black line represents the median value of the posterior distribution, while the 95% CI is shown in grey. The solid blue line represents the trend for biomass generated by the deterministic portion of the model, while the dashed blue lines are provided to make the graph easier to read.*



*Figure 17. Kobe-type plot for the base case scenario for the Anticosti stock. The black line shows the*  estimated trajectory (1990–2022) between F/F<sub>MSY</sub> and B/B<sub>MSY</sub>. The grey shaded areas show the *confidence intervals for the terminal year (50%, 80% and 95%). The probabilities of the terminal year being located in one of the quadrants are indicated in the legend.*



*Figure 18. SP-phase-type plot showing the estimated surplus production curve (blue line) and the catch trajectory (black line) as a function of biomass for the base case scenario for the Anticosti stock. The blue dashed lines show the estimated values for maximum sustainable yield.*



*Figure 19. Prior distributions (dark grey) and posterior distributions (light grey) of the parameters used in the base model for Sept-Iles. The parameters examined include carrying capacity (K), intrinsic rate of increase (r), biomass as a proportion of carrying capacity in the first year of the time series (B<sub>1</sub>/K), the biomass index catchability coefficient (q), the process error variance*  $\sigma_{\eta}^2$  *(sigma2) and the observation* error variance  $\sigma^2_{\varepsilon,t}$  (sigma2\_obs). Posterior distributions were plotted using generic kernel densities.



*Figure 20. Total biomass index values observed for the Sept-Iles stock (white dots and associated error bars) and the trajectory estimated by the base model (black line). The shaded areas represent the posterior distribution of the predicted values (dark grey) and the predictive posterior distribution (PPD) (light grey).*



*Figure 21. Residual diagnostics for the base model for the Sept-Iles stock, plotted on a log scale of biomass index values. The dot-dash blue line represents the LOESS-smoothed residuals, while the green background represents the results of the Wald-Wolfowitz test. The RMSE corresponds to the mean absolute error in percentage terms.*



*Figure 22. Estimated trajectories for Sept-Iles stock biomass (Bt) and fishing mortality (Ft), scaled according to the maximum sustainable yield (B/BMSY and F/FMSY). The shaded area represents the 95% confidence interval.*



*Figure 23. Retrospective analysis for the Sept-Iles stock (2018–2022). The mean Mohn's rho values are shown on each graph.*



*Figure 24. Process error deviation in the Sept-Iles model. The black line represents the median value of the posterior distribution, while the 95% CI is shown in grey. The solid blue line represents the trend for biomass generated by the deterministic portion of the model, while the dashed blue lines are provided to make the graph easier to read.*



*Figure 25. Kobe-type plot for the base case scenario for the Sept-Iles stock. The black line shows the*  estimated trajectory (1990–2022) between F/F<sub>MSY</sub> and B/B<sub>MSY</sub>. The grey shaded areas show the *confidence intervals for the terminal year (50%, 80% and 95%). The probabilities of the terminal year being located in one of the quadrants are indicated in the legend.*



*Figure 26. SP-phase-type plot showing the estimated surplus production curve (blue line) and the catch trajectory (black line) as a function of biomass for the base case scenario for the Sept-Iles stock. The blue dashed lines show the estimated values for maximum sustainable yield.*

## **Estuary**



*Figure 27. Prior distributions (dark grey) and posterior distributions (light grey) of the parameters used in the base model for Estuary. The parameters examined include carrying capacity (K), intrinsic rate of increase (r), biomass as a proportion of carrying capacity in the first year of the time series (B<sub>1</sub>/K), the* biomass index catchability coefficient (q), the process error variance  $\sigma^2_{\eta}$  (sigma2) and the observation error variance  $\sigma^2_{\varepsilon,t}$  (sigma2\_obs). Posterior distributions were plotted using generic kernel densities.



*Figure 28. Total biomass index values observed for the Estuary stock (white dots and associated error*  bars) and the trajectory estimated by the base model (black line). The shaded areas represent the *posterior distribution of the predicted values (dark grey) and the predictive posterior distribution (PPD) (light grey).*



*Figure 29. Residual diagnostics for the base model for the Estuary stock, plotted on a log scale of biomass index values. The dot-dash blue line represents the LOESS-smoothed residuals, while the green background represents the results of the Wald-Wolfowitz test. The RMSE corresponds to the mean absolute error in percentage terms.*



*Figure 30. Estimated trajectories for Estuary stock biomass (Bt) and fishing mortality (Ft), scaled according to the maximum sustainable yield (B/BMSY and F/FMSY). The shaded area represents the 95% confidence interval.*





*Figure 31. Retrospective analysis for the Estuary stock (2018–2022). The mean Mohn's rho values are shown on each graph.*



*Figure 32. Process error deviation in the Estuary model. The black line represents the median value of the posterior distribution, while the 95% CI is shown in grey. The solid blue line represents the trend for biomass generated by the deterministic portion of the model, while the dashed blue lines are provided to make the graph easier to read.*

#### **Estuary**



*Figure 33. Kobe-type plot for the base case scenario for the Estuary stock. The black line shows the*  estimated trajectory (2008–2022) between F/F<sub>MSY</sub> and B/B<sub>MSY</sub>. The grey shaded areas show the *confidence intervals for the terminal year (50%, 80% and 95%). The probabilities of the terminal year being located in one of the quadrants are indicated in the legend.*



*Figure 34. SP-phase-type plot showing the estimated surplus production curve (blue line) and the catch trajectory (black line) as a function of biomass for the base case scenario for the Estuary stock. The blue dashed lines show the estimated values for maximum sustainable yield.*



 $B_1/K = 0.7$  $\frac{1}{3}$ <u>r\_sd\_low</u> <u>s10</u>  $s<sub>4</sub>$ r sd high s11 1982-2022 1990-2005  $s<sub>12</sub>$ <u>s5</u> K\_low K\_high s6  $s<sub>13</sub>$ 2005-2022

*Figure 35. Posterior distributions for the various parameters estimated and derived by the model according to the different sensitivity tests compared with the base model for the Esquiman stock. The points represent median values and the horizontal lines, the 95% CI. The various scenarios (s) are described in the legend. The distributions shown include the intrinsic rate of increase (r), carrying capacity (K), catchability coefficient (q), biomass as a proportion of carrying capacity in the first year of the time*  series (B<sub>1</sub>/K), process error variance  $\sigma_\eta$  and  $\sigma_\eta^2$  (process error and sigma^2 proc respectively), estimated observation error variance  $\sigma_{est}^2$  (sigma^2 obs), biomass at MSY (B<sub>MSY</sub>), exploitation rate at MSY (F<sub>MSY</sub>), *maximum sustainable yield (MSY), and lastly the relative biomass and relative exploitation rate in the terminal year (t) (Bt/BMSY and Ft/FMSY respectively). See the text for more details.*





*Figure 36. Comparison of B/BMSY trajectories in the base model (line with white dots and 95% CI) and sensitivity tests s1–s10 (coloured lines) for the Esquiman stock.*

**Anticosti** 





*Figure 37. Posterior distributions for the various parameters estimated and derived by the model according to the different sensitivity tests compared with the base model for the Anticosti stock. The points represent median values and the horizontal lines, the 95% CI. The various scenarios (s) are described in the legend. The distributions shown include the intrinsic rate of increase (r), carrying capacity (K), catchability coefficient (q), biomass as a proportion of carrying capacity in the first year of the time*  series (B<sub>1</sub>/K), process error variance  $\sigma_\eta$  and  $\sigma_\eta^2$  (process error and sigma^2 proc respectively), estimated observation error variance  $\sigma_{est}^2$  (sigma^2 obs), biomass at MSY (B<sub>MSY</sub>), exploitation rate at MSY (F<sub>MSY</sub>), *maximum sustainable yield (MSY), and lastly the relative biomass and relative exploitation rate in the terminal year (t) (Bt/BMSY and Ft/FMSY respectively). See the text for more details.* 

## **Anticosti**





*Figure 38. Comparison of B/BMSY trajectories in the base model (line with white dots and 95% CI) and sensitivity tests s1–s10 (coloured lines) for the Anticosti stock.*





Legend



*Figure 39. Posterior distributions for the various parameters estimated and derived by the model according to the different sensitivity tests compared with the base model for the Sept-Iles stock. The points represent median values and the horizontal lines, the 95% CI. The various scenarios (s) are described in the legend. The distributions shown include the intrinsic rate of increase (r), carrying capacity (K), catchability coefficient (q), biomass as a proportion of carrying capacity in the first year of the time* series (B<sub>1</sub>/K), process error variance  $\sigma_\eta$  and  $\sigma_\eta^2$  (process error and sigma^2 proc respectively), estimated observation error variance  $\sigma_{est}^2$  (sigma^2 obs), biomass at MSY (B<sub>MSY</sub>), exploitation rate at MSY (F<sub>MSY</sub>), *maximum sustainable yield (MSY), and lastly the relative biomass and relative exploitation rate in the terminal year (t) (Bt/BMSY and Ft/FMSY respectively). See the text for more details.*





*Figure 40. Comparison of B/BMSY trajectories in the base model (line with white dots and 95% CI) and sensitivity tests s1–s10 (coloured lines) for the Sept-Iles stock.*







*Figure 41. Posterior distributions for the various parameters estimated and derived by the model according to the different sensitivity tests compared with the base model for the Estuary stock. The points represent median values and the horizontal lines, the 95% CI. The various scenarios (s) are described in the legend. The distributions shown include the intrinsic rate of increase (r), carrying capacity (K), catchability coefficient (q), biomass as a proportion of carrying capacity in the first year of the time series*  (B<sub>1</sub>/K), process error variance  $\sigma_\eta$  and  $\sigma_\eta^2$  (process error and sigma^2 proc respectively), estimated observation error variance  $\sigma_{est}^2$  (sigma^2 obs), biomass at MSY (B<sub>MSY</sub>), exploitation rate at MSY (F<sub>MSY</sub>), *maximum sustainable yield (MSY), and lastly the relative biomass and relative exploitation rate in the terminal year (t) (B<sub>t</sub>/B<sub>MSY</sub> and F<sub>t</sub>/F<sub>MSY</sub> respectively). See the text for more details.* 

## **Estuary**





*Figure 42. Comparison of B/BMSY trajectories in the base model (line with white dots and 95% CI) and sensitivity tests s1–s10 (coloured lines) for the Estuary stock.*



*Figure 43. Posterior distributions of the various parameters for the Esquiman stock estimated by the model using the different sensitivity tests versus those provided by the base model.*



*Figure 44. Comparison of the B/BMSY and F/FMSY trajectories provided by the JABBA base model for the Esquiman stock (blue line and shaded area for 95% CI) and those provided by the SPiCT, BSM and CMSY++ models.*

## Anticosti



*Figure 45. Posterior distributions of the various parameters for the Anticosti stock estimated by the model using the different sensitivity tests versus those provided by the base model.*



*Figure 46. Comparison of the B/BMSY and F/FMSY trajectories provided by the JABBA base model for the Anticosti stock (blue line and shaded area for 95% CI) and those provided by the SPiCT, BSM and CMSY++ models.*



*Figure 47. Posterior distributions of the various parameters for the Sept-Iles stock estimated by the model using the different sensitivity tests versus those provided by the base model.*



*Figure 48. Comparison of the B/BMSY and F/FMSY trajectories provided by the JABBA base model for the Sept-Iles stock (blue line and shaded area for 95% CI) and those provided by the SPiCT, BSM and CMSY++ models.*



*Figure 49. Posterior distributions of the various parameters for the Estuary stock estimated by the model using the different sensitivity tests versus those provided by the base model.*



*Figure 50. Comparison of the B/BMSY and F/FMSY trajectories provided by the JABBA base model for the Estuary stock (blue line and shaded area for 95% CI) and those provided by the SPiCT, BSM and CMSY++ models.*