



# 2022 SURVEY

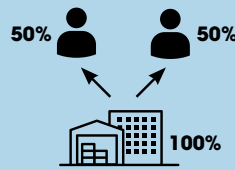
## Gathering Beneficial Ownership Information from Licence Holders



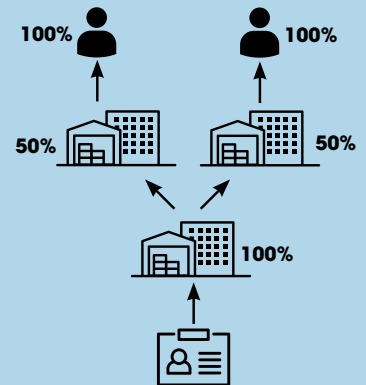
### SECTION B Multiple individuals or organizations

Is the licence holder 100% owned by MORE THAN ONE:

• Person



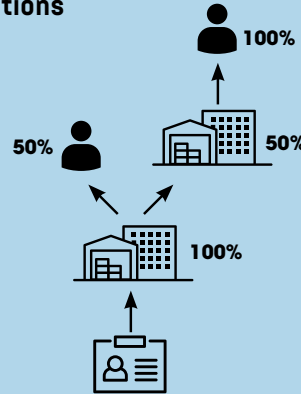
• Wholly owned organization



OR

OR

• A combination of persons and wholly owned organizations



IF YES

IF NO

You're a multi-tiered organization. Continue to Section C.

We need:

- Name of (each) person and/or wholly owned organization
- Citizenship of (each) person and/or country of incorporation
- Name of (each) organization's sole owner
- Percentage of the licence held by (each) person and/or organization with 10% ownership or more
- Existing debts that are convertible to equity

**CLICK SUBMIT**  
to complete the survey

### SECTION A Identification

To ensure that we have accurate information on licence holders, we need:

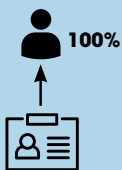
- Name of the licence holder
- Fisher Identification Number (FIN)
- Business Number (BN)

### SECTION B Individual or single organization

This section will help us identify independent and simple corporate structures.

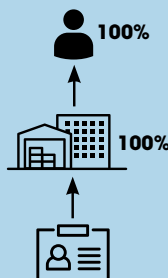
Is the licence 100% held by ONE:

• Person



OR

• Wholly owned organization



IF YES

IF NO

**ATLANTIC, QUEBEC & ARCTIC LICENCES\***

**PACIFIC PARTY-BASED LICENCES**

\*Mid-shore, offshore and exempted fleet licence holders.

## SECTION C Multi-tiered organizations

This section is intended to gather personal information on all **BENEFICIAL OWNERS** of commercial fishing licences, including those employing complex corporate structures.

**Beneficial owners** are individuals who directly or indirectly own or control, in whole or in part, a corporation or an entity other than a corporation.

In the case of a trust, **beneficial owners** are the trustees, the known beneficiaries, and the settlors of the trust. If the trust is a widely held trust or a publicly traded trust, beneficial owners are the trustees and all persons who own or control, directly or indirectly, 10% or more of the units of the trust.

**Beneficial owners cannot be other corporations, trusts or other entities.**

They must be the individuals who are the owners or controllers of these corporations, trusts or other entities.

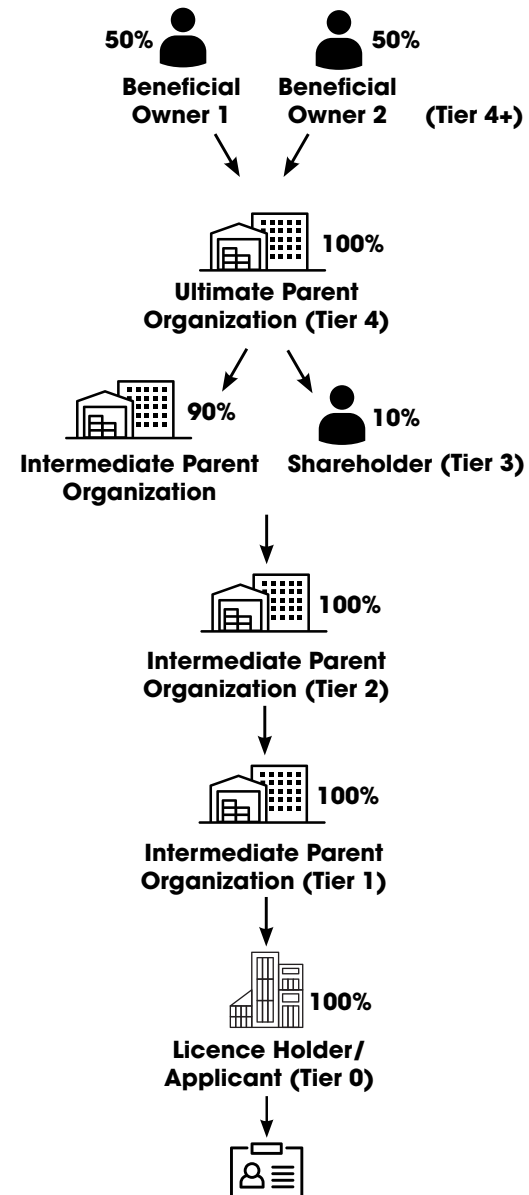
For each beneficial owner, including foreign individuals and organizations, we need:

- Full name of each person and/or organization
- Citizenship of each person and/or country of incorporation
- Ownership percentage of each person and/or organization with 10% ownership or more
- Tier of ownership (indirect owners only)

The above information is also needed if:

- Any foreign individual holds an **EXECUTIVE POSITION**, such as member of the Board of Directors, within any organization in your corporate structure
- Your organization is a related party, in **WHOLE** or **PART**, to any foreign interests in the fishing industry
- Any shareholder and/or Board of Director member and/or officer of your organization **OWNS**, holds a **POSITION** with and/or serves as a **CONSULTANT** for any foreign organization within the fishing industry
- Your organization has any **INDEBTEDNESS, LIABILITIES** and/or **OBLIGATIONS** owed to a foreign or domestic organization or individual for the purpose of carrying out your fishing enterprise's activities, and whether any debt is convertible to equity

## OWNERSHIP STRUCTURE EXAMPLE



WHEN  
DONE

**CLICK SUBMIT**  
to complete the survey