

Database of aerial surveys and abundance estimates for beluga whales (*Delphinapterus leucas*) and narwhals (*Monodon monoceros*) in the Canadian Arctic

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and narwhals (*Monodon monoceros*) in the Canadian Arctic

by

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ABSTRACT

Higdon, J.W., and Ferguson, S.H.. 2017. Database of aerial surveys and abundance estimates for beluga whales (*Delphinapterus leucas*) and narwhals (*Monodon monoceros*) in the Canadian Arctic. Can. Tech. Rep. Fish. Aquat. Sci. 3211: v + 48 p.

Information on abundance and trends of Canadian beluga whale (*Delphinapterus leucas*) and narwhal (*Monodon monoceros*) stocks is needed for long-term monitoring and sustainable harvest management. We conducted a literature review of surveys and associated abundance estimates for the beluga and narwhal summer stocks that occur in Canadian Arctic waters. Abundance estimates were compiled by management stock as defined by Fisheries and Oceans Canada (DFO). Some stocks are shared with other countries (i.e., USA [Alaska], Greenland), and some occur in multiple Canadian jurisdictions (e.g., Nunavut and Nunavik). Metadata in the database includes area studied, time frame, survey type, uncorrected and corrected (if available) abundance estimate, measures of variability around the point estimate (confidence intervals, coefficient of variation), types of corrections for availability and perception bias, trends in abundance estimates, and limitations and sources of uncertainty. The database contains 34 records for beluga whale surveys conducted between 1965 and 2015, and 22 records for narwhal surveys conducted between 1975 and 2013. All stocks have been surveyed at least once, and some stocks have been surveyed numerous times (e.g., Admiralty Inlet narwhal, Nunavik beluga stocks). The focus has been on peer-reviewed scientific publications and government documents where the primary goal was abundance estimation. For stocks that are shared with other countries, the database includes Canadian studies only, but estimates generated by research in these other jurisdictions could contribute to trend analyses. Similarly, a number of consulting company reports also detail industry-funded surveys that have been conducted throughout the Canadian Arctic. These surveys are generally conducted for different reasons (impact predictions versus population estimates) and are not peer-reviewed. Example reports from relevant industry-funded studies are noted in the text where appropriate but have not been included in the database (unless they were subsequently published in the primary literature). The addition of these studies could provide additional abundance estimates that may be useful for trend analyses for some stocks. The database is complete to 2015. The database can be updated as future surveys are completed and analyzed.

SOMMAIRE

Higdon, J.W., and Ferguson, S.H. 2017. Base de données des relevés aériens et estimations de l'abondance des bélugas (*Delphinapterus leucas*) et des narvals (*Monodon monoceros*) dans l'Arctique canadien. Can. Tech. Rep. Fish. Aquat. Sci. 3211: v + 48 p.

Il est nécessaire d'obtenir de l'information sur l'abondance et les tendances des stocks de béluga (*Delphinapterus leucas*) et de narvals (*Monodon monoceros*) pour assurer la surveillance à long terme et la gestion durable des prises. Nous avons effectué une analyse documentaire des relevés et des estimations connexes de l'abondance des stocks estivaux de bélugas et de narvals présents dans les eaux canadiennes de l'Arctique. Les estimations de l'abondance ont été compilées par stock de gestion, tels qu'ils sont définis par Pêches et Océans Canada. Certains stocks sont partagés avec d'autres pays (p. ex. États-Unis [Alaska], Groenland), et certains sont présents dans des eaux relevant de plusieurs instances canadiennes (p. ex., Nunavut et Nunavik). Les métadonnées figurant dans la base de données comprennent la zone étudiée, la période, le type de relevé, l'estimation de l'abondance non corrigée et corrigée (si elle est disponible), les mesures de la variabilité entourant l'estimation ponctuelle (intervalles de confiance, coefficient de variation), les types de correction des biais relatifs à la perception et à la disponibilité, les tendances dans les estimations de l'abondance, ainsi que les limites et les sources d'incertitude. La base de données contient 34 dossiers de relevés du béluga effectués entre 1965 et 2015, et 22 dossiers de relevés du narval effectués entre 1975 et 2013. Tous les stocks ont fait l'objet d'au moins un relevé, et certains de plusieurs relevés (p. ex., narval de l'inlet de l'Amirauté, stocks de bélugas du Nunavik). L'accent a été mis sur les publications scientifiques examinées par des pairs et les documents gouvernementaux dont le principal objectif était l'estimation de l'abondance. En ce qui concerne les stocks partagés avec d'autres pays, la base de données comprend seulement des études canadiennes, mais des estimations découlant de recherches menées dans ces autres pays pourraient contribuer aux analyses des tendances. De même, un certain nombre de rapports d'entreprises d'experts-conseils contiennent aussi des détails sur les relevés financés par l'industrie qui ont été menés partout dans l'Arctique canadien. Ces relevés sont effectués pour différentes raisons (prévisions des impacts par rapport aux estimations de la population) et ne sont pas examinés par des pairs. Par exemple, les rapports des études pertinentes financées par l'industrie sont indiqués dans le texte, le cas échéant, mais n'ont pas été inclus dans la base de données (à moins qu'ils aient par la suite été publiés en tant que documents spécialisés). L'ajout de ces études pourrait fournir d'autres estimations de l'abondance pouvant être utiles pour les analyses des tendances pour certains stocks. La base de données s'étend jusqu'en 2015. Elle peut être mise à jour au fur et à mesure que d'autres relevés sont réalisés et analysés.

INTRODUCTION

Information on marine mammal abundance and trends is needed for long-term monitoring of Arctic species and sustainable management of Inuit harvests. To support this, a literature review was conducted to summarize survey-based abundance estimates for beluga (*Delphinapterus leucas*) and narwhal (*Monodon monoceros*), two odontocete species of socioeconomic and cultural importance in the Canadian Arctic.

Stock definitions

Survey estimates are provided by population and stock, as defined in Table 1. Only summer (July-September) surveys are included, to ensure that population estimates are limited to a single stock, which are defined based on summer aggregations (e.g., Turgeon et al. 2012; Heide-Jørgensen et al. 2013a).

COSEWIC (2004a) recognizes seven different beluga populations in Canada, including the St. Lawrence Estuary population which is not considered in this assessment. Definitions and names for Nunavut beluga whale stocks (summer stocks) are from DFO (2010a) and Richard (2010), with James Bay identified as a separate stock (included by COSEWIC 2004a as part of the Western Hudson Bay population) (Figure 1). DFO (2010a) and Richard (2010) also use “Western-Northern-Southern Hudson Bay” in place of Western Hudson Bay (COSEWIC 2004a). There are some suggestions that beluga whales found near the Belcher Islands in southeast Hudson Bay may represent a separate stock (L. Postma, DFO, pers. comm.). This is uncertain however, and there are no survey data available that are specific to the Belcher Islands. The Eastern High Arctic/Baffin Bay stock is also sometimes referred to as the Somerset Island stock. An unknown proportion of this stock is thought to over-winter in the North Water polynya, and there are suggestions that this population may consist of two stocks that share the same summer aggregation areas (de March et al. 2002; COSEWIC 2004a), although this is uncertain. According to Inuit knowledge there are two groups, one that occupies Lancaster Sound and eastern and northern Baffin Island, and another centred around Ellesmere Island (Read and Stephansson 1976; Remnant and Thomas 1992). Winter and spring surveys have been conducted in the North Water polynya and Greenland waters by Canadian and Greenland researchers (e.g., Finley and Renaud 1980; Richard et al. 1998; Heide-Jørgensen et al. 2013b, 2016a), but they are not included in the database.

COSEWIC (2004b) recognized that two distinct narwhal populations exist (Baffin Bay and Hudson Bay populations), but assessed the entire population under a single status rank. Stock definitions for narwhal are from DFO (2010a, 2015) and Richard (2010) and represent summer stocks (Figure 2). A portion of the Baffin Bay narwhal population also summers in West Greenland waters. Four narwhal stocks were included by DFO (2010a) and Richard (2010), but it was recognized that narwhals occur elsewhere in the Canadian High Arctic during summer (e.g., Parry Islands, Jones Sound, Smith Sound) (also see DFO 2012; COSEWIC 2004b). The most recent surveys and associated abundance estimates (Doniol-Valcroze et al. 2015) have included the stocks previously recognized by DFO (2010a) and Richard (2010) in addition to a Smith Sound and a Jones Sound stock (Table 1, Figure 2). The summer aggregations in Inglefield Bredning and Melville Bay in northwest Greenland have been surveyed several times (e.g., Born et al. 1994; Heide-Jørgensen 2004; Heide-Jørgensen et al. 2010). The relationship of these whales to those in Smith Sound and Jones Sound is unclear but thought to be separate based on spring surveys (Heide-Jørgensen et al. 2016a). Further substructure of the lesser-known stocks such as Smith Sound is likely, with the JCNB suggesting Makinson Inlet as a separate stock (JCNB 2015).

Several of the Baffin Bay narwhal stocks (Somerset Island and East Baffin Island stocks) cover large areas and may have further sub-structuring. The Hudson Bay narwhal population (COSEWIC 2004b) is included as a separate summer population (Northern Hudson Bay, DFO 2010a; Richard 2010; Petersen et al. 2011).

A number of these stocks are shared with other countries (e.g., USA [Alaska] and Greenland). This review includes Canadian studies only, broadly defined as those led by Canadian researchers or organizations. Relevant surveys conducted by other jurisdictions are available, and while we have noted some sources in the text, this should not be considered an exhaustive list.

At a global scale, both species are ranked by IUCN as "Near Threatened" (Jefferson et al. 2012a, b), with unknown population trends. At the National scale, the Eastern Hudson Bay and Ungava Bay beluga whale populations are considered "Endangered" (COSEWIC 2004a). The Cumberland Sound population (stock) is "Threatened", and the Western Hudson Bay and Eastern High Arctic/Baffin Bay populations "Special Concern" (note that COSEWIC's Western Hudson Bay population includes both the Western-Northern-Southern Hudson Bay and James Bay stocks as presently defined by DFO). Only one beluga population (stock), Eastern Beaufort Sea, is considered "Not at Risk". None of these stocks are listed under the Species at Risk Act (SARA) (the St. Lawrence Estuary population is SARA-listed). COSEWIC (2004b) recognized the existence of two narwhal populations, but assessed both together, giving a Canadian status rank of "Special Concern".

Prior database versions

A previous version of the narwhal database (excluding the 2013 High Arctic surveys which were undergoing data analyses) was prepared as a Working Paper for the Joint Commission on Narwhal and Beluga (JCNB) (Higdon and Ferguson 2014), and the compiled data were used in population modelling exercises to establish sustainable harvest levels for narwhal stocks shared between Canada and Greenland (Witting 2016; Watt et al. 2017).

METHODOLOGY

We focused on peer-reviewed scientific publications and government documents where the primary goal was abundance estimation (several theses have been included as well, supervised by federal Government marine mammal scientists). A number of consulting company reports detail industry-funded surveys that have been conducted throughout the Canadian Arctic. These surveys are generally conducted for different reasons (impact predictions versus population estimates) and are not peer-reviewed. Some examples of reports from relevant industry-funded studies are noted in the text but have not been included in this version of the database. Some of these studies could provide additional abundance estimates that may be useful for trend analyses for specific populations or stocks. Some examples are listed in the Discussion.

For each survey, we extracted the following information for the database: source(s), area surveyed, time frame, survey type, uncorrected and corrected (if available) abundance estimate, measures of variability around the point estimate(s) (confidence intervals, coefficient of variation), types of corrections for availability and perception bias, trends in abundance estimates, and limitations and sources of uncertainty.

RESULTS

The abundance estimate database (see Appendix) is current to 2015 surveys and contains 34 records for beluga whale surveys (Figure 3; Tables 2 and A1) and 22 records for narwhal surveys (Tables 3 and A2). Surveys were conducted between 1965 and 2015 for beluga, and from 1975 to 2013 for narwhal. All abundance estimates for beluga and narwhal stocks in the database come from aerial surveys (strip, line, and photographic transect methods), which are the most commonly used methods to estimate marine mammal abundance and/or density. Photo-identification of individual narwhals is possible (e.g., Auger-Méthé et al. 2010, 2011), but the method has never been used to estimate population size. Genetic capture-mark-recapture (CMR) population estimates have been produced for bowhead whales (*Balaena mysticetus*) (Petersen et al. 2014; Frasier et al. 2015), but this technique has not been used on any beluga or narwhal stocks.

All of the stocks identified in Table 1 have been surveyed at least once (one survey, in 2013, for the Jones Sound and Smith Sound narwhal summer stocks, Table 3). Abundance estimates are reported by stock, and in many cases have multiple entries from the same publication. Examples include surveys that estimated abundance of both narwhal and beluga (Smith et al. 1985; Innes et al. 2002) or surveys of multiple beluga whale (Smith and Hammill 1986; Kingsley 2000; Gosselin et al. 2002, 2009, 2013, 2017; Gosselin 2005) or narwhal (Richard et al. 1994, 2010; Doniol-Valcroze et al. 2015) stocks. Multi-species winter surveys have also been conducted in West Greenland (e.g., Heide-Jørgensen et al. 1993; Heide-Jørgensen and Acquarone 2002), but they are not included in the database for reasons previously discussed.

DISCUSSION

Beluga whale surveys and abundance estimates

All beluga stocks have been surveyed at least twice (Tables 2 and A1). Two surveys for the Eastern Beaufort Sea summer stock are included, and the most recent one was conducted in the 1990s. The population estimate for this stock is therefore dated. A number of other aerial surveys have been conducted in parts of the range of this stock, for example the many Mackenzie Delta surveys sponsored by industry (F.F. Slaney & Company Limited 1975; Fraker 1976, 1977; Fraker and Fraker 1979, 1981). These surveys were subsequently used by Government staff in a variety of assessment reports (e.g., Sergeant and Hoek 1974; Fraker et al. 1978; Harwood et al. 2014), but they were designed to study whale distribution, and not estimate abundance, and were limited to the estuary area which includes only part of the stock in summer (Loseto et al. 2006). Government-led surveys have also provided information on beluga habitat use and relative abundance in the offshore region (Harwood and Kingsley 2013; Hornby et al. 2014, 2016), as have some industry-led efforts (Davis and Evans 1982), but these studies have not reported population estimates for the stock. All of these studies, and work conducted in Alaska (e.g., Braham et al. 1984), could provide additional information that may be useful for trend analysis and population assessment.

There have been no recent surveys for the Ungava Bay beluga whale summer stock, but evidence suggests that it is either extinct (COSEWC 2004a) or extremely small (mean estimate of 32 individuals, 95% CI 0–94) (Doniol-Valcroze and Hammill 2012). The other two Nunavik stocks (i.e. those surveyed by DFO Quebec), Eastern Hudson Bay and James Bay, have been surveyed on multiple occasions ($n = 7$) and recent (2011, 2015) estimates are available (see Gosselin et al. 2017

for discussion on trends in these two stocks). Some industry-sponsored surveys have also been conducted in Eastern Hudson Bay (Finley et al. 1982). The Cumberland Sound beluga stock has also been surveyed seven times, most recently in 2014. There is a long history of population estimates for this stock, with the first survey conducted in 1967 (Tables 2 and A1). Some information is also available from industry-sponsored surveys conducted from 1977 to 1979 (MacLaren Atlantic Ltd. 1978; MacLaren Marex Inc. 1979, 1980).

The wide-ranging Eastern High Arctic-Baffin Bay beluga stock has been surveyed (in Canadian waters) on three occasions from the 1970s to the 1990s (Tables 2 and A1). The current estimate is therefore dated and a new survey should be conducted soon. Animals in this stock summer throughout much of the Canadian Arctic Archipelago and along the eastern coast of Baffin Island (Smith and Martin 1994; Richard et al. 2001). Given this wide distribution, securing a reliable population estimate may be difficult. Surveys should focus on the High Arctic estuaries where belugas aggregate in the summer. Beluga whales in this stock do not concentrate around river estuaries to the same extent as whales in some other regions, but they do aggregate in Creswell Bay, Cunningham Inlet, and Elwin Bay (Smith et al. 1985; Richard et al. 2001; Innes et al. 2002). As noted above, some research and traditional knowledge has suggested additional substructure in this summer stock (Read and Stephansson 1976; Remnant and Thomas 1992; de March et al. 2002; COSEWIC 2004a), but available data are insufficient to justify splitting into multiple units (also see Heide-Jørgensen et al. 2003, who argued that any differentiation between Canadian and Greenland samples is a sampling artifact). Additional information on stock abundance available from West Greenland coastal surveys (Heide-Jørgensen et al. 2016b), winter-spring surveys of the North Water polynya (Finley and Renaud 1980; Richard et al. 1998; Heide-Jørgensen et al. 2013b, 2016a), and from extensive industry-sponsored surveys in the mid- to late-1970s (Finley et al. 1974; Davis et al. 1975; Finley 1976; Koski and Davis 1979, 1980; Koski 1980a, b) may be useful for trend analysis and stock assessment.

The large Western-Northern-Southern Hudson Bay beluga stock has also been surveyed three times (Tables 2 and A1), and a recent estimate (2015) is available. Surveys of this stock have concentrated on the three Manitoba river estuaries (Seal, Churchill and Nelson) where the whales aggregate in summer. The 2015 survey planned to cover areas to the east (Ontario coastline) and north (Nunavut), but delays caused by poor weather forced the survey team to concentrate on the core area (Matthews et al. 2017).

Narwhal surveys and abundance estimates

Most narwhal stocks have been surveyed at least twice - the two exceptions are Jones Sound and Smith Sound, which were first surveyed in 2013 (some additional information on the Jones Sound narwhal stock may be available in industry-sponsored surveys conducted by Koski and Davis (1979, 1980). Only two narwhal stocks have been surveyed at least 5 times - Somerset Island and Admiralty Inlet. No stocks have been surveyed as often as the most-surveyed beluga whale stocks ($n = 7$, see Tables 2 and 3).

The Somerset Island stock is the largest narwhal summer stock, in both area and number of whales. The summering area includes Prince Regent Inlet and the Gulf of Boothia, Peel Sound, Barrow Strait, and northern Foxe Basin, and in recent years the summer distribution has occasionally extended further west to the Cambridge Bay area (DFO 2013). This stock, or portions thereof, has been surveyed in 1981, 1984, 1996, 2002-2004 and 2013 with variable coverage, but no surveys have covered the entire stock area (and northern Foxe Basin has never been surveyed for narwhal). Industry-sponsored surveys of this stock (Peel Sound, particularly) were also conducted between

1974 and 1979 (Finley et al. 1974; Davis et al. 1975; Finley 1976; Koski 1980a). The most recent survey, in 2013, focused on the summer core area (Doniol-Valcroze et al. 2015, see Figure 2). Narwhals in this stock may have further sub-structuring, but no data are yet available. Narwhals are also known to occur in the Parry Islands area during summer, but no surveys have been conducted and the stock affinity of these animals is unknown (Richard 2010).

Admiralty Inlet has been surveyed more than any other narwhal summer stock, with six estimates between 1975-1976 and 2013. Industry-sponsored surveys of the Admiralty Inlet stock were also conducted in 1978 and 1979 (Koski and Davis 1979; Koski 1980b), and these data may also be of use for stock assessment work.

The Eclipse Sound stock has been surveyed three times in a ca. two decade period, between 1984 and 2013 (Tables 3 and A2). Recent satellite-tagging data has shown that there is a greater degree of within- and between-year range overlap between Eclipse Sound and Admiralty Inlet than had been documented in past studies (Watt et al. 2012). A 2016 survey of Eclipse Sound was conducted by DFO but has not yet been analyzed (DFO, unpublished data). Industry-sponsored surveys to support the oil and gas industry were conducted in this area in 1978 and 1979 (Koski and Davis 1979, 1980), and more recently (2006-2008, 2013-2015) in support of the Mary River Iron mine project (BIMC 2012, 2016), which could potentially provide useful data for stock assessment and trend analysis.

The eastern coastline of Baffin Island (East Baffin Island summer stock) was systematically surveyed for the first time in 2003, and was surveyed again in 2013. The East Baffin Island narwhal summer stock covers a large area and may also have further sub-structuring (Higdon and Ferguson 2014). No tagging has ever been conducted in the summer range of this stock and movements are therefore not understood (Watt et al. 2017).

Systematic surveys of the Northern Hudson Bay narwhal stock/population summer range, or parts thereof, have been conducted four times between 1982-1984 and 2011 (Tables 3 and A2). Industry sponsored aerial surveys have also been conducted in Hudson Strait (Koski and Davis 1994; Elliott et al. 2013). These surveys have occurred outside the summer season but would presumably include animals from this summer stock only, because the other narwhal stocks over-winter in Baffin Bay (Heide-Jørgensen et al. 2013a). Tagged animals from this stock have also wintered in Davis Strait, east of Hudson Strait (Westdal et al. 2010), suggesting that these surveys may not cover the entire over-wintering population, and this would have to be considered if these data were to be used for trend analysis. However, a recent change in winter distribution from Davis Strait to Hudson Strait has been suggested based on feeding habits (Watt and Ferguson 2015).

SUMMARY AND CONCLUSIONS

We constructed a database of aerial surveys and associated population estimates for beluga whale and narwhal stocks in the Canadian Arctic. The database contains a total of 56 records (34 beluga estimates and 22 narwhal estimates) and is current to surveys conducted in 2015 (the database can be updated as future surveys are completed and analyzed). All recognized summer stocks have been surveyed at least once, but estimates for several beluga stocks are dated and should be updated. The database has focused on peer-reviewed scientific publications and government documents where the primary goal was abundance estimation. Only Canadian studies are included, and for shared stocks there are studies from other jurisdictions that could be useful for trend analyses and stock assessments. Consulting company reports on industry-funded surveys are also

available. Some examples are noted in the text, and these may also provide additional useful data for sustainable management.

The database provides a useful data summary that can contribute to a variety of conservation and management efforts, including assessments of impacts of industrial development such as oil and gas exploration (e.g., Heide-Jørgensen et al. 2013c; Reeves et al. 2014); assessment of species and stock status at local, national and international scales (e.g., the Global Review of Monodontids (GROM) being undertaken by NAMMCO, NAMMCO 2017); assessing recovery from and resilience to past over-exploitation (Wade et al. 2012); and to meeting international management obligations regarding hunt sustainability (e.g, CITES assessments for narwhal, DFO 2010b, 2012).

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Table 1. Stocks of narwhals and beluga whales occurring in Canadian Arctic waters.

Species	Stock
Beluga (<i>Delphinapterus leucas</i>)	Eastern Hudson Bay (EHB)
	Ungava Bay (UB)
	Cumberland Sound (CS)
	Eastern High Arctic-Baffin Bay (EHA-BB) ¹
	Western-Northern-Southern Hudson Bay (WNSHB)
	James Bay (JB)
	Eastern Beaufort Sea (EBS)
Narwhal (<i>Monodon monoceros</i>) ²	Jones Sound (JS)
	Smith Sound (SS)
	Somerset Island (SI)
	Admiralty Inlet (AI)
	Eclipse Sound (ES)
	East Baffin Island (EBI)
	Northern Hudson Bay (NHB)

¹ Also known as the Somerset Island beluga whale stock

² Narwhal also occur in the Parry Channel area, but no survey results are available

Table 2. Number of beluga whale surveys (and associated abundance estimates) in the Canadian Arctic, by summer stock, per decade.

Beluga whale stock	Decade						Total
	1960	1970	1980	1990	2000	2010	
Eastern Hudson Bay			1	1	3	2	7
Ungava Bay			1	1	2		4
Cumberland Sound	1		2	2	1	1	7
Eastern High Arctic-Baffin Bay		1	1	1			3
Western-Northern-Southern Hudson Bay	1		1		1	1	4
James Bay			1	1	3	2	7
Eastern Beaufort Sea			1	1			2
Total	2	1	8	7	10	6	34

Table 3. Number of narwhal surveys (and associated abundance estimates) in the Canadian Arctic, by summer stock, per decade.

Narwhal stock	Decade						Total
	1960	1970	1980	1990	2000	2010	
Jones Sound						1	1
Smith Sound						1	1
Somerset Island			2	1	1	1	5
Admiralty Inlet		1	2		1	2	6
Eclipse Sound			1		1	1	3
East Baffin Island					1	1	2
Northern Hudson Bay			1		2	1	4
Total		1	6	1	6	8	22

Figure 1. Summer ranges of beluga (*Delphinapterus leucas*) stocks in the Canadian Arctic.

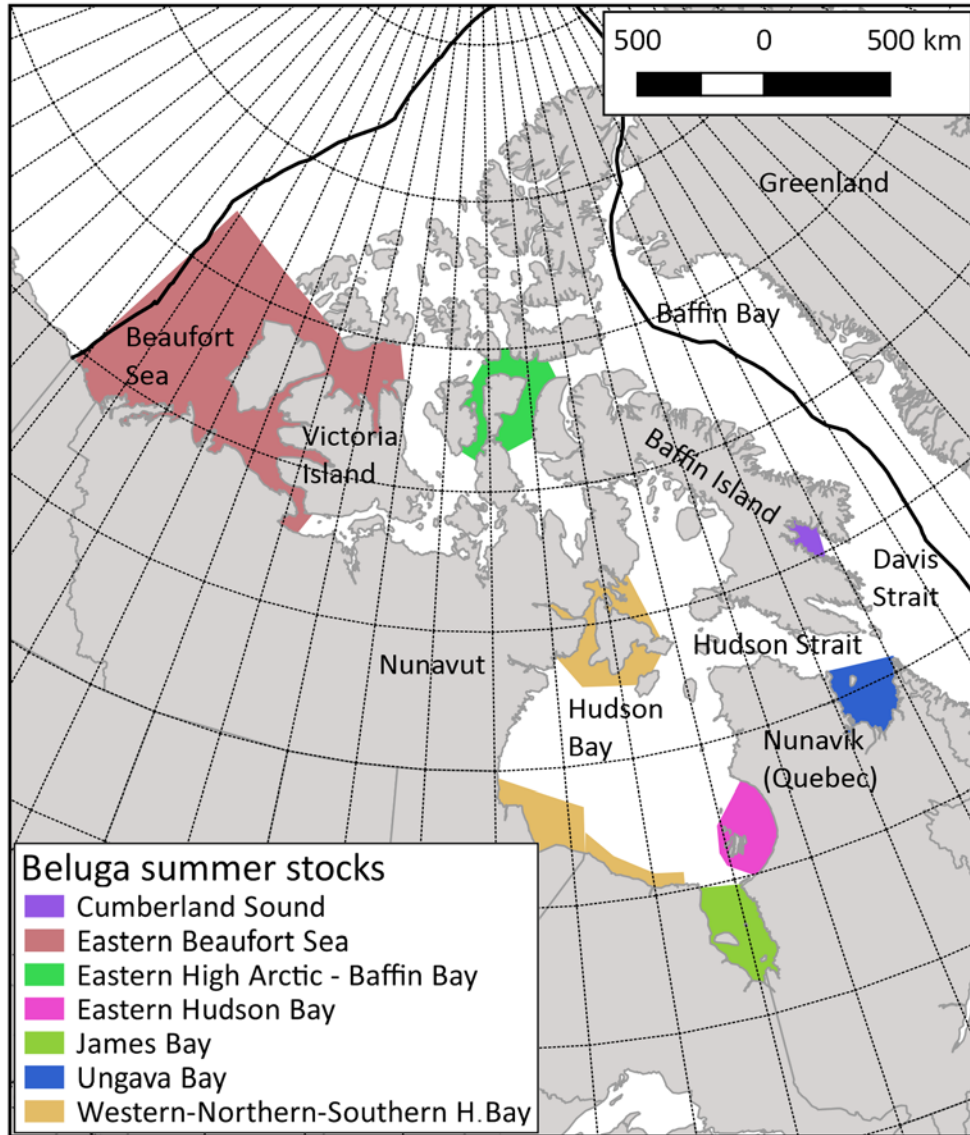


Figure 2. Summer ranges of narwhal (*Monodon monoceros*) stocks in the Canadian Arctic. For Somerset Island stock, the map shows the overall stock range (stippled green) and the early August concentration area (solid green) where aerial surveys have focused. Some West Greenland stocks (that do not range into Canadian waters) not shown.

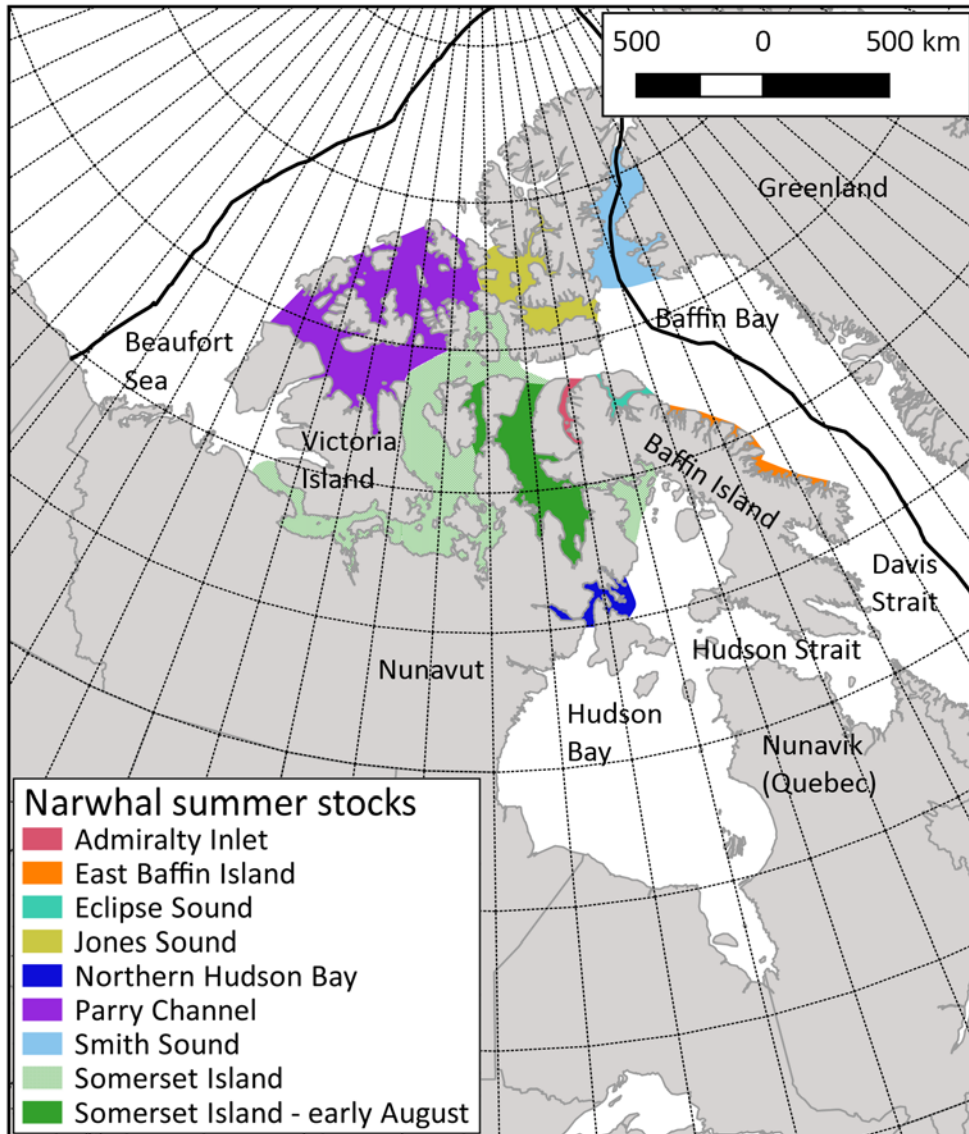
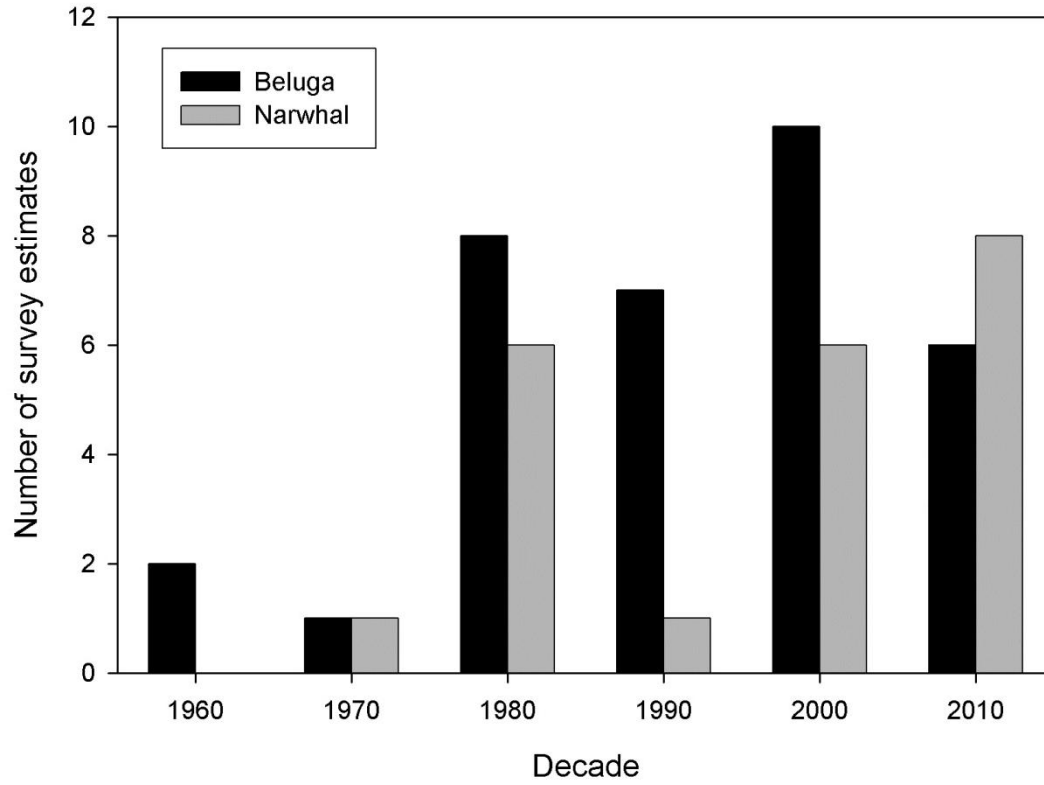


Figure 3. Number of beluga (*Delphinapterus leucas*) and narwhal (*Monodon monoceros*) surveys and associated population estimates in the Canadian Arctic, for all summer stocks, per decade.



Appendix - Database of aerial surveys for beluga whales and narwhal in the Canadian Arctic

Table A1. Database of beluga whale (*Delphinapterus leucas*) aerial surveys and associated abundance estimates, by summer stock, in the Canadian Arctic.

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
1	Western-Northern-Southern Hudson Bay	Nelson River, MB to Arviat, NU	1965 (July)	Aerial survey (airplane)	Strip transect (227 m per side)	n/a	ca. 10,000 (8,713 in Sergeant 1981)	None	None	n/a	n/a	Visual count only. No corrections or analyses, no measure of variance.	Sergeant 1973, 1981
2	Cumberland Sound	Clearwater Fiord	1967 (August)	Aerial survey (platform not stated)	Other count in C. Fiord (visual)	Declined (from historic, due to over-harvest)	476, 455 (2 consecutive counts)	769	Availability bias (cliff based obs. of diving behaviour); corrections for newborns and yearlings assumed missed due to small size and dark coloration.	n/a	n/a	Visual count only. Count limited to C. Fiord, no measure of variance.	Brodie 1971; Brodie et al. 1981
3	E High Arctic-Baffin Bay	Lancaster Sound	1973 (August)	Aerial survey (platform not stated)	Not stated but focus on river mouths and bays	n/a	at least 10,000	None	None (?)	n/a	n/a	No details on survey design reported by Sergeant and Brodie (1975), but survey reported as incomplete: "Incomplete surveys by Sergeant (unpublished and by Mr J. D. Heyland of the Quebec Wildlife Service showed at least 10,000 animals at river mouths and bays in early August 1973", cited as Sergeant (unpublished data).	Sergeant (unpublished data) in Brodie (1975)
4	E High Arctic-Baffin Bay	Lancaster Sound, Barrow Strait, Peel Sound, and Prince Regent Inlet	1981 (July-August)	Aerial survey (helicopter)	Strip transect (800 m total), photographic group counts (estuary aggregations)	n/a	8,304 (July); 3,702 (August) (plus 2,064 belugas from off-transect locations and estuarine aggregations in July; 5,100 in August)	None	Corrected for ice cover, and weighted for transect area; but no correction for availability bias. (August)	95% CI: 4,214-16,497 (July); 1,670-8,967 (August)	n/a	No correction for availability bias.	Smith et al. 1985

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
5	Cumberland Sound	Cumberland Sound, concentrated on Clearwater Fiord	1980-82 (August) (highest single estimate 1980)	Aerial survey (airplane) (also -counts 1979, 1982-84)	Strip cliff photo in Fiord)	Uncertain, but no major decline since 1967	25 (Clearwater Fiord) plus 541 + 500+ (other areas)	541 +	Counts in clear water uncorrected, n/a (highest counts in silted water corrected for year submerged whales and neonate-yearlings (as per Brodie 1971)	n/a (highest count per year ranged from 411 of 25 whales, one to 500+)	Two groups (one of 25 whales, one to 500+)	Systematic transect design used only in Clearwater Fiord and only for 1982 photo surveys (lower estimate than 1980). Flight paths arbitrarily chosen to cover as much area as possible within coastal zones of the sound identified by local people as occupied by belugas.	Richard and Orr 1986
6	Eastern Beaufort Sea	SE Beaufort Sea from Alaska-Yukon border east to Cape Bathurst from mainland coast seaward to 9+/10 pack-ice	1984 (July-August)	Aerial survey (airplane)	Strip transect (800 m per side) ((data re-analyzed during 1992 workshop using line-transect analyses - see Duval 1993)	n/a	7,081 (10,519 using line-transect methods)	17,702 (21,000 using line-transect methods)	Corrected for whales missed by observers using info from July 5 survey when both observers used bubble windows on left side of aircraft (correction factor of 2.5 used to get estimate of number of whales, used to calculate upper limit of population estimate) (Line transect analysis -corrected using line transect sightability function and conservative estimate of 50% of the animals being below the surface)	SE = 1,584 (uncorrected estimate); 95% CI = 3,335 - 10,827 (uncorrected estimate) (SE = 1,478)	218 sightings (383 on-transect, 36 sightings (73 off-transect)	Population estimate not a program objective. Effective transect width not adjusted (narrowed) to reflect decreased detectability of whales along outer portions of the transect strip because distance measurements were not available for half of the sightings. The number of whales on-transect was probably underestimated, and densities calculated on the basis of the 1600 m transect strip are conservative. Conservative estimate due to limited survey coverage (particularly inshore areas which were not included - unknown but substantial numbers of animals remained in the inshore areas at the time of the survey).	Norton and Harwood 1985 (Duval 1993 for line-transect analysis)
7	Ungava Bay	Whole Ungava Bay	of 1985 (July-August)	Aerial survey (airplane)	Strip transect (2 km total) n/a	n/a	No estimate (no whales seen)	No estimate	n/a	n/a	None	No whales observed.	Smith and Hammill 1986; Hammill et al. 2004

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
8	Eastern Hudson Bay	EHB arc offshore to the Belcher Islands	1985 (July-August)	Aerial survey (airplane)	Strip transect (2 km total) (photos of aggregations)	n/a	968 (additional 474 whales counted in traditional coastal concentration, added to survey estimate)	None (but see Gosselin et al. 2013 - corrected for availability bias and beluga counted in estuaries, but not perception bias)	None	95% CI: 650-1,430 (1,124-1,904 when inshore sightings added); SE = 165	205 animals (number sightings stated)	Estimates on conservative side as there was no correction for animals not missed because they were underwater.	Smith and Hammill 1986; Hammill et al. 2004
9	James Bay	Whole of James Bay	1985 (July-August)	Aerial survey (airplane)	Strip transect (2 km total) (photos of aggregations)	n/a	1213	None (but see Gosselin et al. (2013), all stock abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	None	95% CI (740-1,970); SE = 290	131 animals (number sightings stated)	Estimates on conservative side as there was no correction for animals not missed because they were underwater.	Smith and Hammill 1986; Hammill et al. 2004
10	Western-Northern-Southern Hudson Bay	MB coastline, also northern HB from Rankin Inlet to 67 North latitude, and southern HB area (Cape Henrietta Maria to near ON-MB border)	1981-1988 (March, July-August) (1987 estimate, except northern Hudson Bay - 1982) (estimate from 1987, except northern HB - 1982)	Aerial survey (airplane)	Strip (800 m per side) and photo (high concentration areas)	Historic information not precise enough to determine trends	23,000 (11,300 in Churchill-Sea 1 stratum, 11,700 in Nelson stratum) (plus 1000 in NHB 1982) (another 1,300 seen along Ontario coast)	None	None	95% CI 11,000-56,500 (95% CI 621-1,627 for NHB 1982)	1,184 + 1,209 animals counted (number observations not stated)	No correction for availability bias.	Richard et al. 1990; Richard 1991

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
11	Cumberland Sound	Cumberland Sound (also Frobisher Bay)	1985-1986 (August) (estimates reported both years)	Aerial survey for (airplane)	Strip (800 m per side) and photo (high concentration areas)	Not stated	1985: 398 photographed in Clearwater Fiord (+9 near mouth of Frobisher Bay); 1986: 442 photographed near mouth of C. Fiord (+ 43 by cliff-based crew + 2 seen in C. Sound - 487 total)	None	None	n/a	1 in 1985 (all whales seen together), 3 groups in 1986	No corrections. Systematic survey design, but unable to derive population estimate due to extreme clumping of relatively small population.	Richard et al. 1990; Richard 1991a
12	Cumberland Sound	C. Fiord plus North stratum	1990	Aerial survey (airplane)	Strip (800 m per side) and photo (C. Fiord)	Not stated	459 (C. Fiord), (North stratum)	1087 (C. Fiord), (North)	Corrected for availability using satellite-tag data.	for bias corrected CV = 0.077 n/a for C. Fiord; 95 percentile of bootstrapped estimate: 989-1202		Surface counts in Clearwater Fiord calculated from photo mosaic, some issues with plane drift during photo surveys.	Baratin 2001; DFO 2002a,b, 2005; Richard and Baratin 2002; Richard 2013

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
13	Eastern Beaufort Sea	Mackenzie estuary, SE Beaufort Sea, west Amundsen Gulf	1992 (July)	Aerial survey (airplane)	Strip (400 m/side, estuary stratum) and line (offshore stratum) n/a	n/a	15,307 (using data collected by primary observers only)	19629	Corrected using non-duplicate sightings made by secondary observers to adjust for missed-at-surface and about-to-surface beluga. Corrected for availability (i.e., at-surface only).	95% CI = 12,305 (SE whales) = 1501; Corrected 95% CI = 24,125	Primary observers - 253 groups (404 in estuary, 251 -groups (414 in offshore secondary observer sightings as duplicates, which produced an adjustment factor that was an underestimate. Negatively biased due to non-accounting for belugas far below the surface and not available for sighting by either primary or secondary observer and beluga outside of the survey area. respectively)	Harwood and Norton 1996; Harwood et al. 1996	
14	Ungava Bay	Whole Ungava Bay	of 1993 (July and August)	Aerial survey (airplane)	Strip (600 m per side) (but sightings so few that all were recorded, on- or off-effort and on- or off-transect) n/a	n/a	38 and 50 whales in July and August	None	None	Approximate 90% upper CLs of 119 and 157 whales	None	Few sightings made on transect survey, none within designed strip (maximum daily count 20 individuals). Sightings made outside transect strip converted to population estimate by assuming effective strip width based on Kingsley statistics from other line-transect surveys that used similar platforms. No SE calculated, but an upper CL on the number of groups calculated. Estimates and confidence limits are imprecise and do not account for the uncertainty of mean group size or for the known lower bound on numbers.	Kingsley 2000; Hammill et al. 2004

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
15	Eastern Hudson Bay	EHB arc offshore to Belcher Islands	1993 (August)	Aerial survey (airplane)	Line	Stable (similar to 1985 estimate)	1014	None (added whales seen during coastal surveys) (also see Gosselin et al. (2013), all six stock abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	None (estimate uncorrected diving and observer errors)	SE=421; Error coefficients of variation (ECV) up to 86% (northern stratum of widely spaced transects) (ECV of overall estimate 23% - common sighting curve)	63 sightings (150 whales)	Surface whales only, no correction for diving whales or observer errors. Effective number of sightings less than recommended minimum so sample-size bias in the estimate using standard jack-knife bias reduction.	Kingsley et al. 2004
16	James Bay	Whole of James Bay	1993 (August)	Aerial survey (airplane)	Line	Increase (3X higher) from 1985, possibly related to differences in ice cover between surveys	3141	None (but see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	None (estimate uncorrected diving and observer errors)	SE=787; Error coefficients of variation (ECV) = 25% (estimate of overall estimate 23% - common sighting curve)	123 sightings (295 whales)	Surface whales only, no correction for diving whales or observer errors. Effective number of sightings less than recommended minimum so sample-size bias in the estimate using standard jack-knife bias reduction. Used a common sighting curve to reduce estimated SE for individual strata.	Kingsley et al. 2004

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
17	E High Arctic-Baffin Bay	Prince Regent Inlet, Barrow Strait, Sound	1996 (31 July to 3 August)	Aerial survey (airplane)	Line of concentration areas	(photos than 1985 survey, but differences in coverage and survey timing)	9,577 (including photographic survey counts)	Corrected for missing data on distance to sightings = 10,347 (CV=0.28); corrected for submerged whales = 18,930 (CV=0.28); addition of estuaries, corrected for inshore availability factor = 21,213	Corrected for perception availability and distances (fully-corrected)	Uncorrected for bias, 10,985 missing (fully-corrected estimate)	CI: 120 sightings (average group size 1.64)	Never surveyed entire summer	Innes et al. 2002
18	Cumberland Sound	C. Fiord plus North and West strata	1999	Aerial survey (airplane)	Strip (800 m per side) and photo (C. from 1990 Fiord)	Increase (C. from 1990)	Replicate 1: 720 (C. Fiord), 213 (North stratum); Replicate 2: 777 (C. Fiord), 60 (North stratum)	1: 1704 C. Fiord, 503 N (2,207); 2: 1834 + 142 (1977)	Corrected for availability using satellite-tag data.	Replicate 1: No CV for C. Fiord, CV = 0.367 (North) (uncorrected); replicate 2: No CV for C. Fiord, CV = 0.768 (North); corrected CV = 0.077 n/a and 0.371 (replicate 1), 0.77 and 0.770 (replicate 2); 95 percentile of bootstrapped estimate: 1,877-2,554; 1,837-2,197	Low overlap in photos did not allow precise identification of duplicate sightings between consecutive frames.	Baratin 2001; DFO 2002a; Richard 2013	

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
19	Ungava Bay	Whole Ungava Bay	of 2001	Aerial survey (airplane)	Line	n/a	No estimate (no whales seen)	n/a	n/a	n/a	None	No whales observed.	Gosselin et al. 2002; Hammill et al. 2004
20	Eastern Hudson Bay	EHB arc offshore to Belcher Islands	2001 (August)	Aerial survey (airplane)	Line (also analyzed using strip-transect methods)	Almost 50% decline since 1985	1155	1,195 (SE = 507) (index estimate with addition of 39 whales observed in estuaries) (but see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	None (estimate uncorrected for diving and observer errors)	SE = 507	64 (160 whales, mean group size 2.5)	Surface estimate only.	Gosselin et al. 2002; Hammill et al. 2004

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
21	James Bay	Whole of James Bay	2001 (August)	Aerial survey (airplane)	Line (also analyzed using strip-transect methods)	Fourfold increase since 1985	7901	None (but see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	None (estimate uncorrected for diving and observer errors).	SE = 1,744	306 (557 whales, mean group size 1.82)	Surface estimate only.	Gosselin et al. 2002; Hammill et al. 2004
22	Eastern Hudson Bay	Eastern Hudson Bay arc	2004 (August-Sept.)	Aerial survey (airplane)	Line	44% higher than 2001 estimate, but falls within 95% CI of both 1993 and 2001 surveys	2040	Five belugas in Nastapoka estuary added to estimated number in offshore - index of 2045 (also see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	No corrections for detection or availability bias, 51,047 animals from 3,977 (1,052 estuary added to systematic estimate)	CI: (CV = 12.0%) - detected in southern stratum (93 clusters with distance in southern Hudson Bay averaged 1.817 (CV = 13.1%))	1 group of 6 detected in northern stratum, 103 clusters with mean of 2.087 important delays between adjacent lines. Variation between survey estimates illustrates difficulty of estimating abundance of small clumped populations. Changes in survey protocol (reduction of altitude, delays, different observer teams) might have affected sampling efficiency between years.	Gosselin et al. 2005	

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)	
23	James Bay	James Bay	2004 (August-Sept.)	Aerial survey (airplane)	Line	Decline from 2001 estimate	3993	3,998 (also see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	Five belugas in Moose River added to estimated number of animals at surface in systematic survey to provide abundance index of 3,998 (also see Gosselin et al. (2013), all six population abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	No corrections for detection or availability bias, animals from estuary added to systematic estimate	95% 52,374 (2,379)	251 groups	No corrections for availability or within truncation detection biases. Local weather distances of 100 m to 1400 m, lines were surveyed and imposed important delays between adjacent lines. Variation between survey estimates illustrates difficulty of estimating abundance of small clumped populations. Changes in survey protocol (reduction of altitude, delays, different observer teams) might have affected sampling efficiency between years.	Gosselin 2005

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
24	Western-Northern-Southern Hudson Bay	western and southern Hudson Bay (Thlewiaza estuary near Cape Henrietta Maria at mouth of James Bay)	2004 (July-August)	Aerial survey (airplane)	Line photo	Stable (no detectable change since 1987 surveys)	27,200 (Churchill-Seal and Nelson areas)	57300 (Churchill-Seal and Nelson areas)	Corrected for availability bias (correction factor obtained from target experiment and beluga observations conducted in similarly-silted waters of St. Lawrence).	95% C.L.: averaged 37,700-87,100	73 in Churchill stratum, 613 and 917 Nelson stratum (2 days - data input from both sides of survey track when it calculates estimate from estimated effective western transects, 53 in eastern stratum (directly-estimated to occur along photocoast, but difficult to assign to a single stock due to lack of identity information, also possible that some moved out of stratum into Ontario coast between survey days).	Recorder malfunction caused loss of data on left side during the first transect, so estimate could only be obtained from the right observer's sighting data, multiplier of two applied to the density calculation because Distance software assumes stratum (2 days - data input from both sides of survey track when it calculates estimate from estimated effective western transects, strip width. Conservative estimate because additional 7,000 + whales estimated to occur along Ontario coast, but difficult to assign to a single stock due to lack of stock identity information, also possible that some moved out of Nelson stratum into Ontario coast between survey days).	Richard 2005
25	Ungava Bay	Ungava Bay	2008	Aerial survey (airplane)	Line	n/a	No estimate (no whales seen)	n/a	n/a	n/a	None	No whales observed.	Gosselin et al. 2009
26	Eastern Hudson Bay	EHB arc offshore to the Belcher Islands	2008 (July-August)	Aerial survey (airplane)	Line	Decline from last estimate.	1,237; 15 in the Richmond Gulf; 13 in low coverage area. Total surface estimate = 1,265	1,797 and 652 for first and second surveys, weighted average = 1,237; 15 in the Richmond Gulf; 13 in low coverage area. Total beluga counted in = 1,265 but not for perception bias)	None (estimate uncorrected for diving and observer errors).	CV = 0.27 on first survey of first and second surveys, CV = 0.46 for average size of weighted average; CV = 1.03 for Richmond Gulf; overall CV for total animal seen in estimate = 0.45, 95 CI for overall area; one cluster of 3 beluga whales detected on effort in Richmond Gulf.	2.8 times more whales detected on first survey of first and second surveys, CV = 0.46 for average size of weighted average; CV = 1.03 for Richmond Gulf; overall CV for total animal seen in estimate = 0.45, 95 CI for overall area; one cluster of 3 beluga whales detected on effort in Richmond Gulf.	Abundance indices not corrected for availability of diving animals nor for observer perception.	Gosselin et al. 2009

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
27	James Bay	Whole of James Bay	2008 (July)	Aerial survey (airplane)	Line	Significantly higher estimate than last survey.	9292	None (but see Gosselin et al. (2013), all six abundance estimates corrected for availability bias and beluga counted in estuaries, but not for perception bias)	(estimate uncorrected for diving and observer errors)	CV = 0.64	214 clusters and 853 individuals (average size 3.99 (CV 0.31))	High CV associated with high clumping of whales. Abundance indices not corrected for availability of diving animals nor for observer perception.	Gosselin et al. 2009
28	Cumberland Sound	C. Fiord plus North and West strata	2009	Aerial survey (airplane)	Line (but insufficient sightings for M-R analyzed using 800 strip per side and photo (C. Fiord)	Decrease from 1999, but sampling error a likely factor.	118 (C. Fiord), 190 (North stratum), 25 (West stratum)	(C. Fiord), 279 (C. Fiord), 450 (North), 59 (West) (total 788)	Corrected availability using satellite-tag data.	No CV for C. Fiord, CV = 0.660 (North) and 0.245 (West) (uncorrected for); corrected bias estimate CV = 0.077, 0.662, 0.432 (overall CV = 0.513); 95% of bootstrapped estimate: 279 - 1,600	n/a	Low overlap in photos did not allow precise identification of duplicate sightings between consecutive frames. Sampling error unaccounted for by survey results (comparison of population estimates implies that population changed with rates of increase or total mortality that are unrealistic).	Richard 2013

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
29	Eastern Hudson Bay	EHB arc offshore to the Belcher Islands	2011 (July-August)	Aerial survey (airplane)	Line	Higher than 2008 estimate, lower than 2004 estimate	1433	3351	Corrected for availability bias but not perception bias (single platform) and individuals counted during survey	surface estimate CV = 47.1%; high-coverage estimate CV = 48.9%; 95% CI = 1,552 – 7,855	65 groups, 210 indices for beluga whales stratum (63 groups within distances) (no coverage area observed in lower coverage areas)	No corrections for perception bias (single platform). Belugas have clumped distribution and abundance indices for small populations may be strongly influenced by the detection of a small number of large groups, (63 increasing sampling effort could reduce clumping problem, but the second survey planned for the high coverage area could not be completed in 2011. Survey suffered from several logistical problems (mostly a combination of plane maintenance and bad weather) that resulted in numerous interruptions and delays.	Gosselin et al. 2013
30	James Bay	Whole of James Bay	2011 (July-August)	Aerial survey (airplane)	Line	Higher than 2004 estimate, lower than 2008 estimate	7154	14967	Corrected for availability bias but not perception bias (single platform).	surface estimate CV = 27.3%; high-coverage estimate CV = 30.2%, 95% CI = 8,316 – 26,939	183 clusters, 615 individuals (173 groups within distances)	No corrections for perception bias (single platform).	Gosselin et al. 2013

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
31	Cumberland Sound	C. Fiord plus North and West strata	2014 (August)	Aerial survey (airplane)	Line insufficient beluga sightings analyzed strip per side and photo Fiord)	Estimate higher but insufficiently different so from 2009 estimate (Bayesian population model suggests stock may be declining)	North stratum replicate 1, 2 = 389, 41 (78 (10 groups), 8548; (5 groups) photo observed); CF photo surveys 1-4 = 285-598 (total # in = 603; Sum photos)	North Stratum replicate 1, 2 = 867, 103, replicate 1, 2 weighted = 389, 41 (78 average = 8548; photo surveys average of four surveys = 603; Sum visual and photo surveys = 1151	Corrected availability bias not perception bias	North Stratum replicate 1, 2: CV = 0.48, 0.57, weighted average CV = 0.45, 95% CI = 240- 1,256; CF photo for surveys, average of four surveys: CV = 0.076, 95% CI = 519-699; Sum averaged visual and photo surveys: CV = 0.214, 95% CI = 761-1744)	5 of observed; CF bias corrected using limited data (3 and Hammill 2016 for model-based survey estimates)	Estimates from the four photo surveys differed - unclear if difference is due to communal behaviour affecting collective availability at surface or movement of animals out of CF. Availability see Marcoux et al. 2016 (and Hammill 2016 for survey time. No correction for perception bias, visual survey estimates) assumed observers detected all animals from 100 m to 600 m of track line.	Marcoux et al. 2016 (and Hammill 2016 for survey time)

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
32	Western-Northern-Southern Hudson Bay	Similar to 2004, 5 strata (3 visual, 2 photo) of high use areas around 3 estuaries (Seal, Churchill and Nelson rivers), planned survey further north and east not completed	2015 (August)	Aerial survey (airplane)	Line photo	Near-surface estimate similar (slightly higher) than 2004 for the five strata surveyed, similar coverage and effort. Application of different availability bias and correction factors limits direct comparison of estimates in 2004 and 2015, and comparison of both surveys to the 1987 survey is further complicated by different survey area coverage.	Total 43,256 (52 to 18,748 in 5 strata)	= Total 54,473 (64 to 23,248 in the 5 strata)	= Corrected availability bias (using concurrent dive data) but not perception bias	CV = 0.143 for combined uncorrected estimate (0.226 to 0.982 per stratum); CV = 0.098 for combined corrected estimate (0.04 to 0.977 per stratum), 95% CI = 44,988–65,957	443 groups detected on surface photo counts of 1,855 and 16,249 whales (2 strata)	Photo surveys completely covered high density aggregations, but poor weather conditions prevented replicated surveys of all but Churchill River photo stratum. Poor weather conditions also prevented a complete survey of the Churchill High Density strata on any one day, results from two different days combined. Poor weather also caused gaps of several days between surveys of adjacent strata. Killer whales present north of the study area may have influenced beluga movements. Survey originally included a low density stratum extending along coast to James Bay and survey of northern Hudson Bay, but poor weather conditions forced cancellation of these strata. No correction for perception bias as only observations made by the two front (experienced) observers analyzed.	KillerMatthews et al. 2017

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
33	James Bay	James Bay	2015 (July-August)	Aerial survey (airplane)	Line	2015 abundance index is median value of 7 surveys flown since 1985, slight decline from 2011 index but not significant	5,074	10,615	Not corrected for perception bias, corrections applied for availability bias using proportion of time visible from aerial platform in the St Lawrence estuary.	95% CI = 3,354-7,676 uncorrected estimate; CV = 0.25, and EHB corrected in estimate	Total of 324 groups/890 individuals detected while JB and EHB combined. 202 groups, 17,432 individuals for (not all included in ESHW calculations)	Some delays/gaps in survey completion due to weather. Availability bias correction factor used was developed to correct photographic surveys of St Lawrence estuary beluga and assumes instantaneous observation of the whales. However, these surveys are visual surveys flown using fixed wing aircraft and availability correction factor will likely be lower (will not increase the abundance as much) because any given point at the surface of the water remains in the observer field of view for a variable amount of time during a visual survey. No correction for perception bias that would also increase the abundance estimates applied - more detailed information on diving behaviour of belugas is needed. Double platform sampling should be implemented for visual surveys in the future to estimate a correction factor for perception bias.	Gosselin et al. 2017

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
34	Eastern Hudson Bay	Eastern Hudson Bay arc	2015 (August-Sept.)	Aerial survey (airplane)	Line	2015 abundance index similar in both value and CV to 2011 index	1,746	3,819 (including count of 167 belugas in the Little Whale River estuary)	Not corrected for perception bias, corrections applied for availability bias using proportion of time beluga remain visible from an aerial survey platform estimated in the St Lawrence estuary.	95% CI: 760-4,009 uncorrected estimate; CV = 0.43 and 95% CI = 1,664-8,765 for corrected estimate	Total of 324 groups/890 individuals detected while effort - JB and EHB combined. EHB: 369 belugas detected during first replicate of high coverage area, number counted on second pass of high coverage area was much lower - 89 individuals. Total 122 groups/458 individuals - 0 + (58/43 +18 - 2 replicates) +1 +0	Some delays/gaps in survey completion due to weather. Second survey of high coverage area of EHB provided lower density and abundance estimates than first survey, mainly due to detection of two large groups and some other larger groups on the first pass, as encounter rate on second survey was higher than on first survey. Differences in the two surveys an example of how clumping in beluga distribution may influence estimation of density and abundance. Also see above re: availability bias correction factor.	Gosselin et al. 2017

Table A2. Database of narwhal (*Monodon monoceros*) aerial surveys and associated abundance estimates, by summer stock, in the Canadian Arctic.

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate (by strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
1	Admiralty Inlet	Admiralty Inlet	1975-76 (July-August) (estimate from 1975)	Aerial survey (helicopter)	Strip transect (400/side)	n/a	9,683	n/a	n/a	SE = 2089	1198 (number of whales, observations)	Seven systematic surveys flown, no complete coverage in any one survey. Population estimates varied widely = 443 - 9,683 narwhals. High variability in number of narwhal between transects of equal length. No correction factors used, estimates negatively biased.	Fallis et al. 1983
2	Somerset Island	Barrow Strait, Prince Regent Inlet	1981 (July-August) (recon surveys from 1974-1982)	Aerial survey (helicopter)	Strip transect (400/side)	n/a	BS: 335, 10807 (August surveys)	PRI: n/a	n/a	SE = 128 (BS), (PRI); 95% CI = BS: 150-747 (BS), 8,885-13,14 4 (PRI)	(Not clear from reporting)	Survey precision affected by whale density and amount of clumping. Also surveyed Lancaster Sound, no narwhal in August; July survey found more narwhal in Barrow Strait than in August. Large numbers of narwhals were seen off-transect in Peel Sound. Pop. size range: 0 - 10,807	Smith et al. 1985

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate strata if applicable)	Corrected (by estimate)	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
3	Northern Hudson Bay	Repulse Frozen Gore Bay, Lyon Inlet, the northern part of Welcome Sound, and Duke of York Bay	1982-1984 (July)	Aerial survey (airplane)	Strip (600 m) and photos	n/a	1,355 (most precise estimate)	n/a	n/a	90% CI = 1000-1900; 95% CI = 910-2100; CV = 30, 31%		No corrections for availability bias and surveys limited to open water or areas with 2/10ths ice or less, estimate negatively biased. Four systematic surveys in total, different stratification in different years. Complete survey coverage, but variable across years. Four July surveys: population estimate ranged between 1,038 and 1,517, varying degree of precision.	Richard 1991b
4	Admiralty Inlet	Admiralty Inlet	1984 (August)	Aerial survey (airplane)	Photo	Lower estimate than previous survey	5,556	n/a	n/a	CV = 22%; 90% CL = 3759-8213	469 (number of whales, observations)	No corrections for submerged animals that are visible on the film; sources of error will cause an under estimation of true population size.	Richard et al. 1994
5	Somerset Island	Peel Prince Inlet	1984 (August)	Aerial survey (airplane)	Photo	PRI estimate similar to 1981 study.	PS I - 847, PS II - 854, PRI I - 5,461, PRI II - 4,293. Total: 1701 BS; 9,754 PRI	n/a	n/a	CV: PS I - 26%, PS II - 23%, PRI I - 29%, PRI II - 17%; 90% CI: PS I - 531-1352, PS II - 498-1464, PRI I - 3139-9500, PRI II - 2918-6316		No corrections for submerged animals that are visible on the film; sources of error will cause an under estimation of true population size. Some survey areas (Peel Sound, Prince Regent Inlet) divided into two strata that were flown on different days.	Richard et al. 1994

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate (by strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
6	Eclipse Sound	Navy Board Inlet, Eclipse Sound, western Pond Inlet	1984 (August)	Aerial survey (airplane)	Photo	n/a	Eclipse I: 0 (no whales seen), Eclipse II: 543, Eclipse III: 675	n/a	n/a	CV: Eclipse I: 0 (no whales seen), Eclipse II: 59%, Eclipse III: n/a (only 1 transect); 90% CI: Eclipse I: no whales seen; Eclipse II: 166-1784; Eclipse III: n/a (only 1 transect)	Number of whale, Eclipse I: 0, Eclipse II: 111, Eclipse III: 102	No corrections for submerged animals, photo readers may miss some narwhals that are visible on the film; sources of error will cause an under estimation of true population size. Eclipse Sound divided into 3 strata, flown over two days. Some indication of movement from stratum II to stratum III during Eclipse Sound survey, could have led to double-counting and biased estimate. However, the small number of narwhals involved would result in little bias. Southern part of Eclipse Sound, Milne Inlet and adjacent bays and fjords (Tremblay Sound, Tay Sound, Paquet Sound, Oliver Sound) were not surveyed.	Richard et al. 1994
7	Admiralty Inlet	Admiralty Inlet	1983-85	Aerial survey (airplane)	Photo	Similar to other survey (see above)	5,619 1984 (see above)	n/a	n/a	SE = 0.43; 95% CI: 2,819-11,200	872 counted on photos	Negatively biased - counting bias, no corrections for submerged animals, sightability issues, weather limitations on camera system (best around midday). Only one survey of entire study area, in 1984 - flown at half designed coverage. Population estimate range: 2,256 - 5,619.	Dueck 1989
8	Somerset Island	Prince Inlet, Strait and Sound (three strata)	Regent Barrow (July-August) 1996	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling)	PS similar to 1984 estimate, similar to other estimates, BS estimate much higher than previous survey	No uncorrected (surface) estimates reported in PRI	45,358 total (5,898 BS; 34,159 in PRI)	Missing distances, perception bias	CV = 0.35 (total), 0.38 (CV=0.25), 0.35 (CV=0.25)	143 sightings (9 missing distance), avg. group size 2.08	Precision of corrected abundance estimate affected by high variability of estimate most influenced by low precision on availability bias (52%), sighting rate was second largest contributor to the variance (40%), detection probability contributed 8% to variance	Innes et al. 2002
9	Northern Hudson Bay	Same as 1984 northern Inlet and Channel	July 2000 plus Lyon Foxe	Aerial survey (airplane)	Strip photo (effective width 600 m/side for visual, 1.34 km for photos)	Similar to 1984 survey	Photo = 1,778; visual = 1,195	n/a	Not corrected	95% CI: 1688-2015 (photo), 1094-6190 (visual)	n/a	Used both visual trip transects and photographs - no significant differences in estimate. Photo coverage much higher, and estimate more precise.	Bourassa 2003

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate (strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
10	Admiralty Inlet	Admiralty Inlet	2003-04 (August) (estimate from 2003)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling)	Lower than previous surveys	1,857	5,362	Fully corrected availability perception bias	for SE = 2681; and CV = 0.50; 95% CI: group size 5.0, 1920-12199	8 sightings with distance (average behaviour of animals. Biases reduced by 2010 correction, but estimates quite low in precision (i.e., high variance), in large part because of large variations in encounter rate from one transect to the next. Surface estimate in 2004 much lower, corrected estimate not reported.	Richard et al.	
11	Somerset Island	Prince Regent Inlet, Gulf of Boothia, Barrow Strait	2002, 2004 (August) (estimates for both years - different areas)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling)	PRI: Lower than previous estimates, but significant variability; GB: n/a (first time surveyed); BS: between two previous estimates	PRI 2002: 7,429; GB 2002: 2,407; BS 2004: 963	PRI 2002: 6,770 (sum 27,656); BS 2004: 2,925	Fully corrected availability perception bias	for SE = 14,939 and (PRI + GB); CV = 0.71 PRI, 0.30 GB, 0.46 BS; 95% PRI: 4805-59157; GB: 3638-11862; Sum: 9080-66061; BS: 1140-6270	94 sightings within 2002, BS in 2004. Peel Sound not surveyed (due to weather). average group size 1.8; GB 10 3.0 BS 11 1.4 average group	Richard et al.	
12	Eclipse Sound	2 strata in 2002, 4 in 2004 - Navy Board-Milne Inlet, Eclipse Sound, Pond Inlet, Eclipse Sound bays	2002, 2004 (August) (estimate from 2004)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling)	Much higher than 1984 estimate (but comparison difficult due to methodologi cal differences)	6,677	20,225	Fully corrected availability perception bias	for CV = 0.36 and (surface); 95% CI: group size 2.6; 55 9471-37096, SE = 7285 estimate) sightings distance, avg w/2002; 6,677 (0.36) in 2004). w/2002; 6,677 distance, avg group size 1.8 (< 0.17)	144 sightings w/ Different survey design (different strata, etc.) in different years. Adjustment for unequal coverage in fiords (fiords were flown along a single transect down the middle). Surface estimate higher in 2002, but less precise (7,578 (1.26) in 2002; 6,677 (0.36) in 2004).	Richard et al.	
13	East Baffin Island	East Baffin coast, unable to get as far South as Cumberland Pen.	2003 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling)	n/a (first time surveyed)	3,487	10,073	Fully corrected availability perception bias	for SE = 3,123 and (corrected estimate); CV = 0.27 (surface), 0.31 (corrected); 95% CI: 5333-17474 (corrected)	181 sightings with distance, average group size 1.5	Richard et al. 2010	

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate (by strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
14	Northern Hudson Bay	Repulse Frozen Gore Bay, Lyon Inlet, northern part of Roes Welcome Sound (did not survey Duke of York Bay)	2008	Aerial survey (airplane)	Photo	Much lower than previous surveys, but numerous problems and delays with survey	610 (645 in un-stratified case, but much larger variance)	n/a	Not corrected	CV = 30% for un-stratified estimate (versus 37% and 108% for two-stratum estimates); 95% CI: 377-988	56 whales counted in total	Numerous problems and delays due to camera malfunction, sea ice conditions, and poor weather. Two strata, containing contiguous water bodies, more or less divided by Vansittart Island (but stratification did not improve precision, and final estimate not stratified - better precision). Estimate highly negatively biased.	Richard 2010
15	Admiralty Inlet	Admiralty Inlet	2010	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and photo surveys of large aggregations	Similar to 1980s estimates, higher than 2003 survey (which was negatively biased)	Survey (photos visual): 7,799; Survey (visual only): Survey 4,704	1 Survey (photos visual): 224,398; 2 (visual only): 13,729; average using effort-weigh ted mean: 18,049	1 Fully corrected for availability and perception bias	CV = 0.25 and (survey 1), visual 2); 95% CI: 166 in Survey 2 11,613-28,0 53 (effort-weigh ted mean)	407 groups in Survey 1, negatively biased Survey 2. Bias caused by animal movement cannot be quantified, but abundance estimate for Survey 1 may have been positively biased as most animals were moving in same direction as plane while the opposite situation may have occurred during Survey 2, possibly causing a negative bias. Final abundance estimate calculated by combining the estimates from Survey 1 (Visual and Photo) and Survey 2 (Visual only) using a mean weighted by effort	Non-random movement of narwhals may have positively biased Survey 1 and negatively biased Survey 2. Bias caused by animal movement cannot be quantified, but abundance estimate for Survey 1 may have been positively biased as most animals were moving in same direction as plane while the opposite situation may have occurred during Survey 2, possibly causing a negative bias. Final abundance estimate calculated by combining the estimates from Survey 1 (Visual and Photo) and Survey 2 (Visual only) using a mean weighted by effort	Asselin and Richard 2011
16	Northern Hudson Bay	Repulse Frozen Wager Roes Sound, Lyon Inlet, Gore Bay and parts of Foxe Channel and Foxe Basin	2011 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and photos (directly below aircraft)	Increase (but larger survey area and fully corrected)	4,452	12,485	Fully corrected for availability and perception bias	CV = 0.26; and 95% CI: distance 7515-20743	114 sightings	Total surface estimate calculated by summing the individual estimates from all strata flown from 14 to 17 August. May be slightly negatively biased (some narwhals seen by Inuit further south, outside survey zone).	Asselin et al. 2012

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate (by strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
17	Somerset Island	Presumed areas of Prince Regent Inlet, Peel Sound and Gulf of Boothia	core 2013 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	Increase over previous survey (but uncertain due to large area in and variation previous survey (total variation by strata)	GB stratum = 49,768 7,335; PRI stratum = 3,627; PSHI stratum = 5,781; PSLO stratum = 179 (total = 16,921)		Corrected for perception availability bias	both CV = 0.20 and (uncorrected corrected estimates)	GB stratum = 81; PRI stratum = 88; PSHI stratum = 165; PSLO stratum = 4 (total = 338 sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated.	Doniol-Valcro ze et al. 2015
18	Admiralty Inlet	Admiralty Inlet	2013 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	Increase over previous survey (similar magnitude as in decrease in ES)	AIH stratum = 35,043 535; AIL = 11,237 (offshore total = 11,772); AI in fiords = 143 (total = 11,915)		Corrected for perception availability bias	both CV = 0.42 and (uncorrected corrected estimates)	AIH stratum = 26; AIL = 220 (offshore total = 246); AI 132 (total = 478 unique sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated. Sightings were extremely clustered which increased uncertainty around estimate (CVs) and could introduce bias. Movements between ES and AI stocks add uncertainty.	Doniol-Valcro ze et al. 2015
19	Eclipse Sound	Navy Board Inlet, Eclipse Sound, Pond Inlet	2013 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	Decrease over previous survey (similar magnitude as increase in AI)	ESH stratum 10,489 = 16; ESL stratum = 2,415 (offshore total as = 2,431); ES in fiords = 1,135 (total = 3,566)		Corrected for perception availability bias	both CV = 0.24 and (uncorrected corrected estimates)	ESH stratum = 2; ESL stratum = 68 (offshore total = 70); ES 673 (total = 743 unique sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated. Sightings were extremely clustered which increased uncertainty around estimate (CVs) and could introduce bias. Movements between ES and AI stocks add uncertainty.	Doniol-Valcro ze et al. 2015
20	East Baffin Island	East Baffin Island coast including Cumberland Sound	2013 (August)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	Increase over previous survey (but uncertain due to large area in and variation previous survey (total variation by strata)	EBO stratum 17,555 = 122; EB fiords = 3,799 (total = 3,921)		Corrected for perception availability bias	both CV = 0.63 and 0.35 (uncorrected for offshore and fiords); 0.35 (corrected pooled)	EBO stratum = 3; EB fiords = 773 (total = 776 unique sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated.	Doniol-Valcro ze et al. 2015

ID	Stock	Survey area	Study year(s) (month(s))	Platform type	Study design	Observed trend	Uncorrected estimate strata if applicable)	Corrected estimate	Type of correction	Variance	No. of primary observations	Limitations and biases	Source(s)
21	Jones Sound	Jones eastern Norwegian Bay	2013 (August) (reconnaissance surveys in 2012)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	n/a (first time surveyed)	JS stratum = 12,694 940; NB stratum = 3,331 (offshore total = 4,271); JS fiords = 45 (total = 4,316)		Corrected for perception availability bias	both CV = 0.32 and (uncorrected estimate); 0.33 (corrected estimate)	JS stratum = 16; NB stratum = 41 (offshore total = 57); JS fiords = 24 (total = 81 unique sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated. Relatively large numbers found in Norwegian Bay, only a few narwhals seen in the Jones Sound stratum where most hunting takes place due to proximity to Grise Fiord.	Doniol-Valcroze et al. 2015
22	Smith Sound	Smith (eastern Ellesmere coast)	2013 (August) (reconnaissance surveys in 2012)	Aerial survey (airplane)	Line (double-platfo rm w/ distance sampling) and spatial modelling in narrow fiords	n/a (first time surveyed)	SS stratum = 16,360 3,647; SS fiords = 1,916 (total = 5,563)		Corrected for perception availability bias	both CV = 0.65 and (uncorrected corrected estimates)	SS stratum = 76; SS fiords = 165 (total = 241 unique sightings)	Proportion of sightings made by both front and rear observers was relatively low, resulting in low detection probability and large precision bias correction, and estimates might have been inflated. Area could not be surveyed completely due to unfavorable weather conditions, density estimate based on relatively few lines in northern part of stratum, cannot be extrapolated to entire stratum, should be considered a minimum estimate. Stock may be further sub-divided once more information is available on movements, relationship with other stocks is unclear.	Doniol-Valcroze et al. 2015

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