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**A Biologically-based Escapement Goal  
for Cowichan River Fall Chinook  
Salmon (*Oncorhynchus tshawytscha*)**

**Objectif biologique  
d'échappées pour le saumon  
quinnat (*Oncorhynchus  
tshawytscha*) d'automne de la  
rivière Cowichan**

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## ABSTRACT

This assessment incorporates spawner recruit data available to 2004 and provides a revised biologically-based escapement goal for Cowichan River fall Chinook, a naturally-spawning population in the lower Strait of Georgia.

Based on the Ricker stock recruit model, excluding the 1981-1984 and 1986-1987 brood years, with the survival rate to age 2 as a covariate (log transformed) the biologically-based escapement goal for adult fall Chinook in the Cowichan River was estimated to be 6,514 (90% CI = 4159, 14962). The associated maximum sustainable exploitation rate at  $S_{msy}$  was estimated to be 0.69 (90% CI = 0.52, 0.80).

We recommend that a management plan be established to investigate production potential from escapements exceeding this point and to explore the effect of enhancement on wild stock productivity.

This assessment indicates that productivity and marine survival of the naturally-spawning population has continued to decline while the proportion of hatchery fish in the natural spawning population has increased substantially. At the same time ocean fishery exploitation rates have increased to 70%. Present population sizes are an immediate conservation concern. In 2004, natural escapement was 2226 adult Chinook (2721 total escapement), a similar level only experienced during 1986 -1987 (previous conservation concerns) when survival rates were three to eight times higher.

## RÉSUMÉ

La présente évaluation intègre les données disponibles jusqu'à 2004 sur les recrues reproductrices et présente un objectif biologique révisé d'échappées pour le saumon quinnat d'automne de la rivière Cowichan, une population qui se reproduit naturellement dans la portion inférieure du détroit de Georgia.

D'après le modèle stock-recrues de Ricker, en excluant les générations de 1981 à 1984 et de 1986 à 1987 et en utilisant le taux de survie à 2 ans en tant que covariante (après transformation logarithmique), l'objectif biologique d'échappées pour les saumons quinnats d'automne adultes dans la rivière Cowichan a été estimé à 6,514 (90 % IC = 4159; 14962). À  $S_{msy}$ , on a estimé que le taux maximal d'exploitation durable connexe était de 0,69 (90 % IC = 0,52; 0,80).

Nous recommandons l'établissement d'un plan de gestion en vue d'étudier le potentiel de production des échappées excédant ce point et d'explorer l'effet de la mise en valeur sur la productivité du stock sauvage.

La présente évaluation indique que la productivité et la survie en mer de la population qui se reproduit naturellement a continué de diminuer, même si la proportion de poissons d'écloserie dans cette population a sensiblement augmenté. Parallèlement, le taux d'exploitation des pêches en mer a grimpé à 70 %. La taille actuelle des populations constitue un souci immédiat pour la conservation. En 2004, les échappées naturelles étaient de 2226 saumons quinnats adultes (l'échappée totale était de 2721); des niveaux semblables n'ont été atteints qu'en 1986-1987 (où la conservation était préoccupante), alors que les taux de survie étaient de trois à huit fois plus élevés.

## **INTRODUCTION**

In 1985, a program to increase Chinook production was initiated coastwide through the Pacific Salmon Treaty between the United States and Canada. The program required both countries to stop the decline in escapements to naturally-spawning Chinook stocks and attain escapement goals in selected indicator stocks by 1998 (PSC 1987). To achieve these objectives the Treaty established catch limits in mixed-stock Chinook fisheries, and required that the catch of Chinook in other fisheries be limited by harvest rate controls so that most of the savings from ocean fisheries could be passed through to the spawning grounds. However, to implement this program, escapement goals to restore production were needed for the Canadian Chinook stocks. These were generally not available during the early 1980s and the status of Chinook stocks was uncertain (Healey 1982). Generally, Chinook production was considered to be depressed from past years but the status of individual populations had not been determined. In order to proceed with the Treaty intent to restore Chinook production, Fisheries and Oceans staff were directed to establish interim escapement goals for Canadian Chinook stocks. The recommendation of a Regional workshop in 1982 was to double the spawning escapements observed during a recent period (1979-1982 chosen) and to monitor escapements during the rebuilding period in order to allow determination of biologically-based goals.

The Cowichan River fall Chinook was selected as an indicator stock for Chinook salmon produced naturally in the lower Strait of Georgia. However, by the fall of 1987, spawning escapement to the Cowichan River had decreased to only 15% of its escapement goal. In response to the continued decline in escapement, further conservation measures were taken to reduce harvest rates and enhancement guidelines were implemented to assist recovery (Riddell and Kronlund 1993). Further, an intensive program of escapement enumeration and assessment was established (Nagtegaal et al. 1994a).

### **The Cowichan River**

The Cowichan River flows into Cowichan Bay on the east coast of Vancouver Island, British Columbia, approximately 40 km north of Victoria. The river flows from Cowichan Lake eastward for 50 km into Cowichan Bay. Skutz Falls, 18 km downstream from Cowichan Lake, presented a partial obstruction to salmon migration which was alleviated by fishways constructed in 1956. The Cowichan drainage area is 840 km<sup>2</sup> and carries a mean annual discharge of 55 m<sup>3</sup>/sec. Mean monthly discharges range from 117 m<sup>3</sup>/sec in December to 8.3 m<sup>3</sup>/sec in August. A low-level flow control dam at the outlet of Cowichan Lake, built in 1957, provides a minimum river discharge of 7 m<sup>3</sup>/sec. A fishway in the dam permits fish passage to Cowichan Lake. The Cowichan River system supports Chinook, coho and chum salmon populations. Chinook salmon spawn in the main Cowichan River, principally upstream of Skutz Falls.

### **Interim Escapement Goals**

The 1982 interim escapement goal for the Cowichan River was set at 11,625 adult Chinook (ie. Age 3 and older Chinook). In some past documents the escapement goal for the Cowichan River was stated as 12,500 adult Chinook. This value included escapements recorded in the Koksilah River adjacent to the Cowichan, but that population should not be included in the Cowichan River Chinook goal.

According to the revised 1999 international agreement, additional management actions for a Chinook stock of conservation concern can only be considered when a biologically based escapement goal has been developed and approved by the Chinook Technical Committee (CTC) of the Pacific Salmon Commission (PSC). In 2000, Riddell et al. compiled the information available on the status of the Cowichan Chinook stock, recommended a set of historical escapement values to apply in assessments,

and provided the first assessment of a biologically-based escapement goal for naturally-spawning Chinook in the Cowichan River. They recommended an interim escapement goal of 7,400 (95% confidence intervals: 4,185-18,915). Although this interim goal was accepted by PSARC (May 2000), the subcommittee recommended that an escapement policy be developed that allowed escapements in excess of the goal to further evaluate production potential for the stock and that exploitation rates on the stock should not be increased until productivity rates are known to be increasing. In 2004 a revised goal was proposed but not accepted by PSARC. The PSARC requested a new analysis to decouple the density dependent ocean survival effects from potential hatchery effects (Appendix 1).

## **Objectives**

In this assessment our objectives were to:

- Review and verify historic escapement data,
- Compile additional escapement data available (since 2000) and incorporate in the stock recruitment analysis,
- Investigate the use of juvenile production data to decouple density dependent ocean survival effects from hatchery effects, and
- Provide a revised estimate of a biologically-based escapement goal for naturally-spawning fall Chinook in the Cowichan River.

## **Enhancement of Cowichan River Chinook**

A community economic development program hatchery (CEDP) has been established on the Cowichan River approximately 2 km upstream from Cowichan estuary. The hatchery is managed by the Habitat Enhancement Branch of DFO in conjunction with Cowichan Tribes. Hatchery production of Chinook on the Cowichan River began in 1980 (Cross et. al., 1991). In most years, a proportion of the Chinook produced are nose-tagged with a small coded-wire tag (CWT) for assessment of hatchery production. Recovery information from these tags provides the basis for assessing exploitation rates, distribution, and marine survival for these stocks. Since no naturally-spawning Chinook are tagged, information compiled from the hatchery facility is used to assess both hatchery and naturally-spawning Chinook.

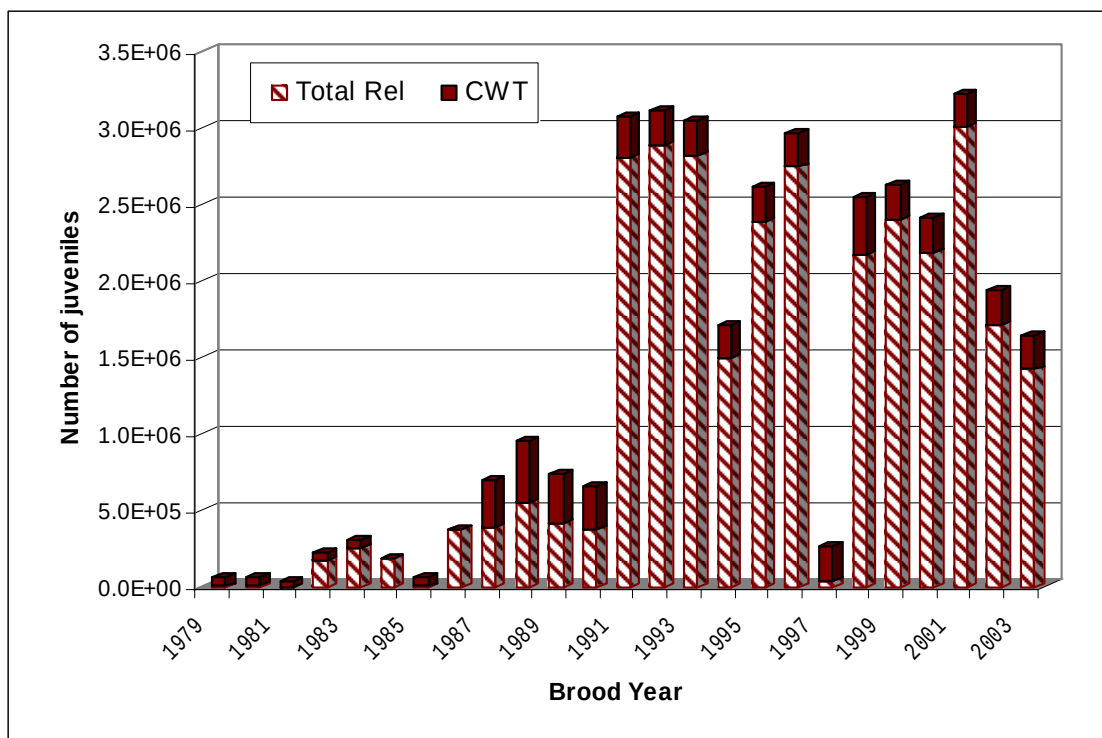
Enhanced production of Cowichan Chinook has increased considerably since the hatchery was established in 1979 (Fig. 1), largely owing to significant expansion of the hatchery facility following the agreement on a conservation plan for lower Strait of Georgia Chinook. Poor escapements observed during 1986 and 1987 indicated a conservation concern for this population and a public consultation process was undertaken. The resulting plan included new management actions in fisheries to reduce exploitation and additional enhancement of Cowichan Chinook to increase the productivity of the stock. The plan provided a commitment that Cowichan fall Chinook salmon were to be managed for natural production, with supplementation from the hatchery occurring under two guidelines:

1. enhanced returns were not to exceed 50% of the total adult escapement goal, once the population achieved its goal; and
2. enhanced production was not to increase beyond the 1987 level until escapement exceeded the 1987 escapement level. The 1987 enhancement production would, however, be maintained if the escapement decreased from the 1987 level.

Two qualifiers were placed on the second item. Transplanting of surplus Chinook eggs from other stocks to accelerate the enhanced production in the indicator stocks was not acceptable. Other innovative

techniques such as sea pen rearing of smolts could be applied to help accelerate the attainment of egg capacities.

Since 1990, the enhanced contribution to the naturally-spawning population, has averaged 31% but ranged as high as 72% (2002) based on the incidence of coded-wire tagged (adipose fin-clipped) Chinook recovered in the escapement. Another estimate based on otolith microstructure, suggests the proportion of hatchery Chinook in the Cowichan River is greater than that estimated by CWTs, as high as 60% in 1992 and 1993 (Zane Zhang pers com). In either case, it is clear that enhancement contributed to recent annual escapements.



**Figure 1. Numbers of Chinook tagged and total releases from the Cowichan CEDP facility since 1979.**

## Methods

### Enumeration of Spawning Escapements

Prior to 1980, escapement was estimated based on stream walks, index sites and anecdotal information from various sources. From 1980 to 1990, fishery officers estimated total escapement in the Cowichan River on the basis of regularly scheduled swim surveys, observation of spawning ground index sites, and aerial counts (helicopter) of spawners during peak spawning periods. Attempts were made to keep the timing and application of these techniques consistent from year to year (see Escapement Summary in Appendix 2).

During swim surveys, only the upper river (Lake Cowichan to an area referred to as "Three Firs" which is approximately 6 km upstream from Skutz Falls) was generally surveyed since few fish had been observed below this area. Counts were usually made by 2-3 swimmers equipped with snorkelling gear accompanied



by another person in a canoe or boat. The upper stretch of the river was divided into segments (usually associated with pools) and counts recorded by segment. Total numbers of adult and jack Chinook were discussed by the swimmers at the end of each of these segments before the final tally was recorded by the boat operator. The swim survey count was then extrapolated for the whole river on the basis of the area sampled, date, past observations, discussions with Cowichan Indian Band members, and local knowledge (T. Fields, Fishery Officer, Duncan, pers. comm.).

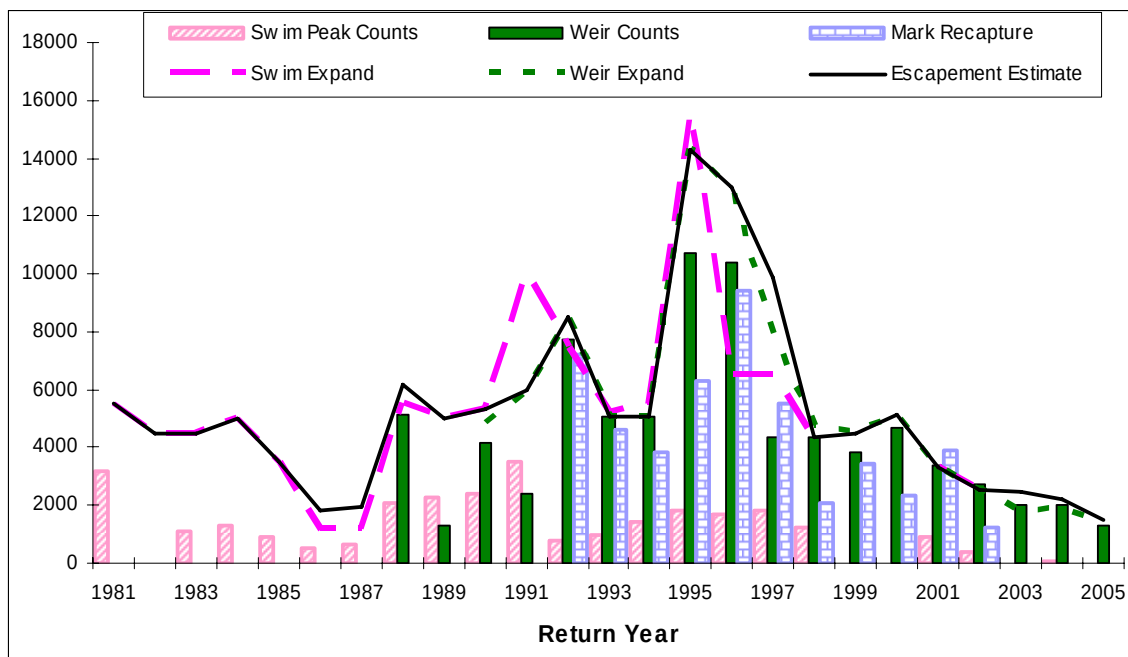
Early in the season (July-Sept) swim counts were generally multiplied by a factor of approximately 4.8 to get an estimate of the number of fish in the entire river. This expansion factor was based on the proportion of river observed relative to the total length of the river and the assumption that at this time of the season fish were not distributed evenly throughout the river. Towards the end of the season, upper river visual counts were expanded to total escapement using a factor less than 4.8 assuming that by this time most of the fish had moved into the upper section of the river. The quality of the data collected was variable and dependent to a great extent on water conditions (depth and clarity). When the opportunity arose and water conditions were good, a helicopter flight was used to count Chinook on the spawning grounds during peak periods and this count was used to augment the swim survey data.

Since 1990, swim surveys have been conducted in conjunction with Cowichan Tribes Aboriginal Fisheries Management to estimate the spawning population of Chinook (Paige 1992, 1995). The swims continued to be made in the upper section of the river but with less consistency. Counts were recorded by pool/riffle and then compiled by river section. When possible the same swim team was used for each survey to maintain consistency in counting procedures. Swim counts were intended to be expanded by a factor of approximately 3.4 to derive an escapement estimate. This expansion factor was to be consistently applied to all swim counts with no adjustments made for run timing or changes in the distribution of Chinook in the river. Since the late 1990s, swim surveys have not consistently been done, expansion factors inconsistently applied, and spawner index sites have not been referred to. Final DFO escapement estimates have been based primarily on fence counts and expansions based on cumulative run timing to account for periods of high flow when the fence could not be operated.

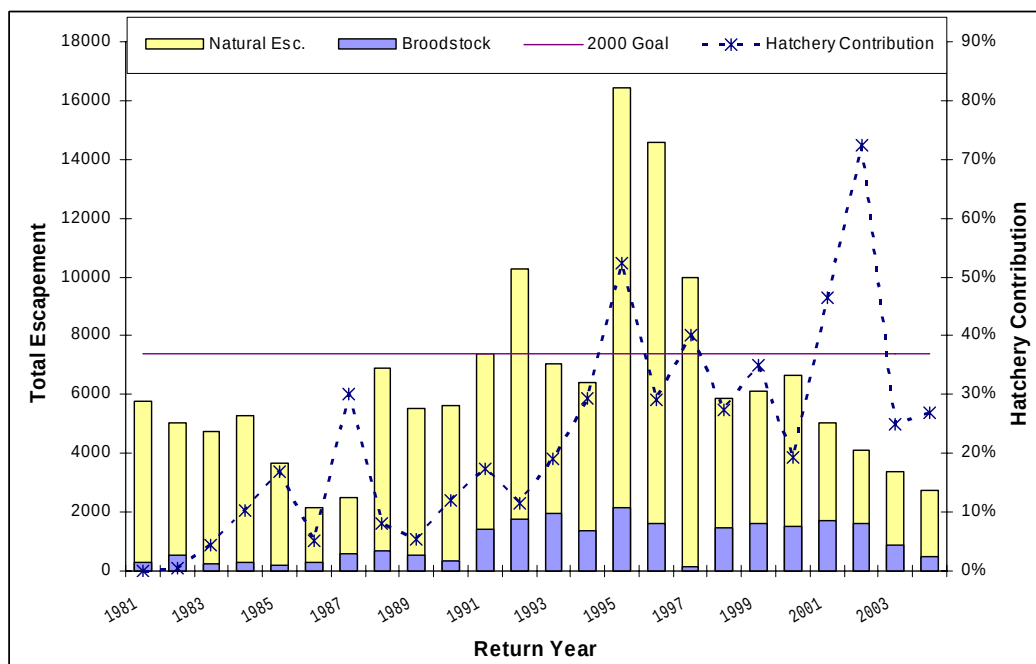
In 1988, an enumeration fence was constructed at a site approximately 5 km. upstream of the estuary and well below the traditional Chinook spawning grounds (Nagtegaal et al. 1994a). The fence has generally been operational from the end of August until the end of October. All species were counted by personnel that maintain the facility 24 hours per day. In some years, to augment the fence count, a carcass mark-recapture program involving the tagging and subsequent recovery of Chinook jack and adult carcasses has been conducted on the spawning grounds. Adult Chinook salmon escapement estimates were also generated from the carcass mark-recapture data using the Petersen model (Chapman modification) stratified by sex and river section (Sykes and Botsford 1986).

Details of escapement monitoring programs since 1988 are contained in annual reports prepared by Nagtegaal and others (1994a, 1994b, 1994c, 1995a, 1995b, 1996, 1998a, 1998b, 1999). Observed and expanded spawner estimates for each survey method, snorkel surveys, weir counts, and carcass mark-recapture programs, are presented in figure 2 for comparison.

Chinook escapement has fluctuated from lows of 2100 - 2500 Chinook in 1986 and 1987 to over 16,000 in 1995, the largest escapement recorded for the past 20 years (Figure 3, also see Appendix 2 for enumeration results by method).

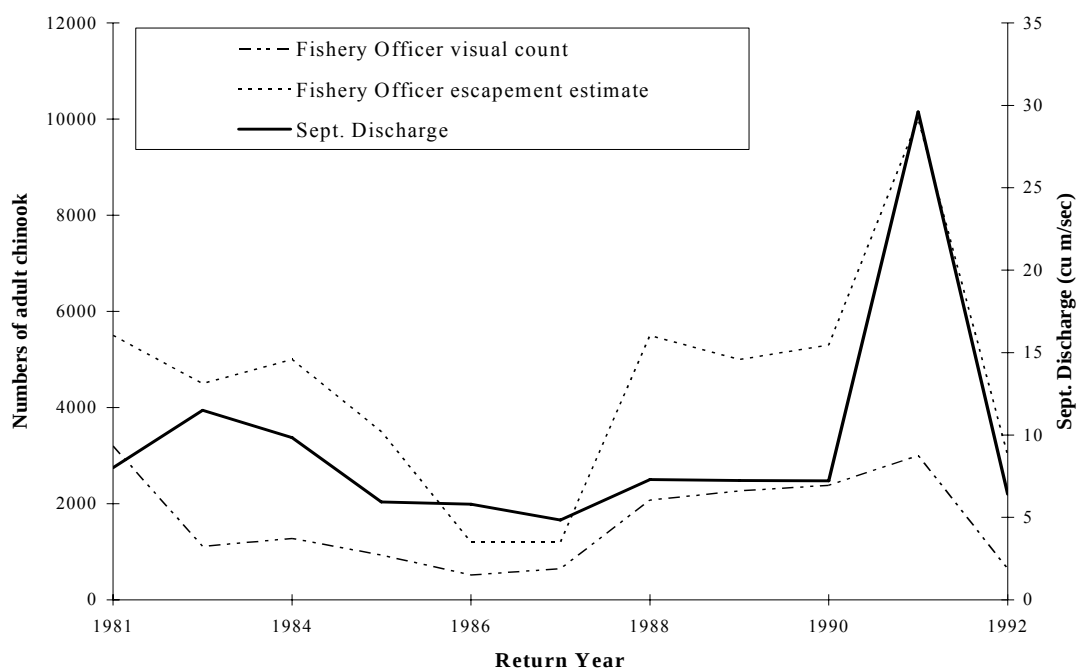


**Figure 2. Comparison of observed and expanded spawner estimates from snorkel surveys, weir counts, and the carcass mark-recapture program.**



**Figure 3. Estimated spawning escapements of the Cowichan River fall Chinook and comparison with interim escapement goal established in 2000 (dashed line). Bar height determined by the sum of the number of natural spawners plus the brood stock removed for use in the hatchery.**

To better understand the relative value and accuracy of past Fishery Officer visual escapement estimates, we compared these visual estimates, in particular swim survey counts and extrapolated escapement estimates, to fence counts. Assumptions concerning the distribution of Chinook in the river at the time of the survey are the basis for expanding these counts to estimate total escapement. Fishery Officers adopted a strategy that consistent expansion factors were to be applied to swim survey counts from the upper river, to estimate the total numbers of Chinook spawners. In 1991, it became apparent that during high water flow conditions in early fall, expansions based on swim survey results over-estimated total escapement (Nagtegaal et al. 1994b). The results of the 1992 swim surveys supported the hypothesis that during low water flow conditions in the late fall, expansions based on swim survey results under-estimate the numbers of spawners. Similarly, Lister et al. (1981) reported Cowichan Chinook migration coincided with significant increases in river discharge. The standardized swim survey strategy adopted by Fishery Officers has likely led to annual inaccuracy due to incorrect estimation of spawners because the distribution of fish in the river was affected by the flow (Fig. 4). If we apply this approach to past Fishery Officer visual escapement estimates (see Appendix 2), then the very low estimate of spawners in 1986 and 1987 were likely an under-estimate, and the estimate in 1991 was likely an over-estimate.



**Figure 4. Comparison of Fishery Officer swim survey peak counts, final estimated total spawning escapement and discharge for September in the Cowichan River.**

### Native Fishery

Although more poorly evaluated, the terminal native fishery has been considered minimal relative to the total catch of Cowichan fall Chinook in ocean fisheries. Chinook are caught for food and ceremonial purposes using a variety of traditional techniques. The Cowichan Tribes Band, comprised of approximately 600 family units, resides on reserve land that encompasses much of the area surrounding the lower reaches of the Cowichan River. The native fishery for Chinook takes place in the form of a spear fishery during the months of June-October.

Prior to 1983, the native food fishery was monitored by the Department. Fishery Officers would estimate total catch on the basis of observations and discussions with local native groups. Since 1983, the Cowichan Tribes established the Cowichan River Management Unit (CRMU) to enforce conservation by-laws on the reserve. The amount of time and resources spent on monitoring the native food fish catch varies from year to year. The River Management Team regularly patrolled the food fishery and collected data to estimate total food fish catch, however their first priority was enforcement. Routine patrols for these activities did not always coincide with the activities of the spear fishermen, who accounted for virtually all the Chinook native food catch.

In 1990, a systematic approach was developed by the Cowichan Tribes Aboriginal Fisheries Management program to monitor the fishery more closely and to better estimate the native food fish catch (Paige 1992, 1995). This approach involved recording catch and effort by management zone within the native fishing boundaries. A crew of four observers patrolled the fishery on a daily basis and interviewed fishermen for numbers caught by area and total time spent fishing. In this way, weekly estimates of catch per unit effort (CPUE) were obtained. CPUE was adjusted for daily changes in fishing effort and differences in effort among fishing zones. These data were then extrapolated over time and area to estimate total catch by week. Since 1988, an observer was employed intermittently by DFO to independently collect catch and biological data from the in-river Chinook spear fishery. Since we are not given the opportunity to directly assess catch estimation procedures developed by the Cowichan Tribes, no comments can be made regarding the methodologies used. At best these two estimates of native catch provide a range of the actual food fish catch (Nagtegaal et al. 1995).

## **Seal Predation**

Although seal predation was not directly assessed in this study, others have examined the impact of seals on salmon in Cowichan Bay. An estimated 23% (Sept.) to 48% (Nov.) of the harbour seal's diet in Cowichan Bay was comprised of adult salmon (Bigg et. al. 1990). In 1988, the number of seals gradually increased from a low of 30 in April to a peak of about 100 in December. Olesiuk et al. (1990) estimated that harbour seals consume an estimated 9 tonnes of salmon annually in Cowichan Bay. Seals targetted primarily on Chinook and chum salmon. Based on these data, consumption of Chinook salmon could potentially range from 100 to 500 adults. These data were collected in 1988 when low flows in the Cowichan River persisted until the end of October. Predation likely increases with the time Chinook salmon remain in the estuary. These impacts are not accounted for in this assessment but will have slightly reduced the productivity rates estimated in this paper.

## **Biological Characteristics**

Biological data for Chinook were typically collected from three sources: 1) hatchery broodstock sampling, 2) spawning ground sampling, and 3) random sampling of the native food fishery (Nagtegaal et al. 1994a). Cowichan hatchery staff randomly sample approximately 25% of the Chinook collected for broodstock and then selectively sample all remaining adipose-clipped Chinook. As part of the carcass mark-recapture program all Chinook recovered on the spawning ground are sampled. A biological observer interviews native fishers and a random sample of Chinook caught in the native food fishery are sampled. Since this is a voluntary program, sampling is dependent on the approval of the fisher and as such may introduce some sampling bias. Available Chinook are sampled for length, sex, age, and presence/absence of adipose fin-clip.

Chinook from the Cowichan R. stock mature primarily as 3 and 4 year old fish; 5 year olds usually comprise less than 2% of the returning adults. Age composition of returning spawners varies

considerably among years and is in a large part indicated by the numbers of returning Age-2 male Chinook (“jacks”). The proportion of females by age in the escapement has remained consistent among years. Age structure in the total terminal run since 1982 is presented in Appendix 3.

## CWT and Cohort Analysis

Estimating production for wild populations of Chinook salmon is dependent on information derived from hatchery indicator stocks. Ocean exploitation, terminal harvest rates, maturation rates and survival rates were estimated and inferred for brood years 1981 to 2000, using a cohort reconstruction procedure based on CWT recoveries of Cowichan River hatchery production.

Annual CWT recoveries from ocean fisheries are maintained in the Mark-Recovery database at the Pacific Biological Station. Annual CWT recoveries from the hatchery and river are maintained in a Salmonid Enhancement Program database (Habitat and Enhancement Branch, Vancouver, B.C.). For the Cowichan River, in-river recoveries are maintained by return year, sampling location, and tag code (Appendix 4). The estimated number of each tag code recovered was based on sample sizes (broodstock, Native catch, deadpitch by location) and the estimated number of Chinook in each location. For example, in broodstock samples the expansion for each tag recovered (i.e., observed recovery) was normally one since all fish were examined for tags. However, the upper river deadpitch sampling examines only a portion of the estimated return. The estimated number of tags is then determined by dividing the observed recoveries in a sample by the portion of the escapement sampled. Estimated recoveries by tag code were determined within sample location and summed over locations. The estimated annual recoveries in the total return to the Cowichan River provided the escapement data used in calculating cohort analyses for each brood year with adequate tagging. The list of tag codes used by brood year is provided in Appendix 5.

The cohort model used is documented in Appendix 2 of Starr and Argue (1991) and as modified by the Chinook Technical Committee (CTC) of the Pacific Salmon Commission (PSC, TCCHINOOK (99)-2. The cohort model was modified by the CTC to account for the Chinook non-retention fisheries implemented in Canada during 1996. Modifications are documented in Appendix G of TCCHINOOK (99)-2.

Two refinements to the cohort analysis procedures were implemented to more accurately determine impacts on this stock. These were: definition of terminal sport recoveries (so that these do not inflate the estimated cohort sizes by age), and implementation of revised incidental mortality rates as reported by the PSC (1997). Tags from the Cowichan River that were recovered after the first statistical week in August and in Statistical areas (Areas 17-10 through 17-17, Areas 18 and 19A, and sub-areas 29-45 and 29-25) were included as terminal sport recoveries. Incidental mortality rates applied were:

| Gear:                    | Sub-Legal sized Chinook | Legal sized Chinook |
|--------------------------|-------------------------|---------------------|
| Troll (1973-1997)        | 27.2%                   | 22.8%               |
| Troll (1998-current)     | 23.6%                   | 20.1%               |
| Sport (1973-1980)        | 39.1%                   | 39.1%               |
| Sport (1980-current)     | 19.2%                   | 19.2%               |
| Net (gillnet plus seine) | 90% (not changed)       | 90%                 |

For each brood year, cohort analysis provides information on:

- a) annual distribution of catch and total fishing mortalities by fishery and age;
- b) cohort size and survival rate by age (1-natural mortality rate at age  $i$ ); and
- c) ocean (catch or total fishing mortality) and total exploitation rates by fishery and age.

Further, in this assessment, results of the cohort analyses are applied to the age-structured terminal return to estimate the total (hatchery and wild) production from each brood year. The process reconstructs total production from a brood year by expanding the observed terminal run-at-age back through terminal fisheries and ocean fisheries using age and fishery-specific exploitation rates. In order to reduce the variation attributed to return of Jacks and changes in fishery regulations (eg. size limit effects by age), the measure of total production applied in this assessment is the production of Age-3 and older mature Chinook expected in the absence of fishing. Production of Age-2 Chinook is included but is expressed as the expected number of mature Chinook based on Age-2 Adult Equivalence (AEQ) factors estimated in the cohort analysis (i.e., the probability that an Age-2 Chinook would survive to spawn if it were not caught).

### Production Function Analysis

To analyze the stock and recruitment data, the two-parameter Ricker model (Ricker 1975) with multiplicative, log-normally distributed error was utilized. The log-linearized form of Ricker's model has the form of:

$$\log(R_t/S_t) = \log(\alpha) - \beta S_t + \varepsilon \quad \text{equation 1}$$

where  $R_t$  is the production in year class  $t$ ,  $S_t$  is the number of spawners that produced them,  $\alpha$  is the density independent productivity parameter,  $\beta$  the density-dependent parameter, and  $\varepsilon$  represents process error with mean 0 and variance  $\sigma_\varepsilon^2$ .

In the case where additional information is available, such as environmental variables or fishery management interventions, the additional information can be modeled by incorporating covariates to the Stock Recruit (S-R) model. The inclusion of a covariate should strengthen the estimated production relationship and improve the model fit. For instance, marine survival rates undoubtedly affect production by a year class. Production can be simultaneously regressed against both spawning abundance and brood marine survival rates (or their indices). The covariate can be added in a multiplicative fashion as a competitive factor in establishing production:

$$\log(R_t/S_t) = \log(\alpha) - \beta S_t + \gamma M_t + \varepsilon \quad \text{equation 2}$$

where  $M_t$  is the vector of covariates, such as the survival rate experienced by year class  $t$ . If  $M_t$  is an index, such as survival rates, the covariate is the log transform  $\ln(M_t + 1)$ .

$$\log(R_t/S_t) = \log(\alpha) - \beta S_t + \gamma \log(M_t) + \varepsilon \quad \text{equation 3}$$

If there is a strong correlation between variation in survival rates and production, and a weak correlation between spawning abundance and these rates, the estimated relationship between production and spawning abundance will be strengthened and the model fit improved. The formulation above is relevant when covariates play no density dependent role in the production relationship.

For each model, diagnostics for homogeneity of variance and normality, and time series autocorrelation in model residuals were conducted. After the model was fitted and tested, it was used to make inference for the fishery management parameters;  $\alpha$ ,  $\beta$ ,  $S_{msy}$  (spawner abundance that produces maximum sustained yield) and  $U_{msy}$  (the exploitation rate associated with  $S_{msy}$ ). In this assessment, the parameter  $S_{msy}$  was estimated using two methods:

1. the approximations from Hilborn and Walters (1992)  
(i.e.)  $S_{msy} = a(0.5 - 0.07a)/b$  and  $U_{msy} = a(0.5 - 0.07a)$ , and
2.  $S_{msy}$  was obtained by iteratively solving for the transcendental function:

$$1 = (1 - \beta S_{msy}) \exp(\ln \alpha) \exp(-\beta S_{msy}) \exp(\sigma_e^2/2) \quad (\text{Ricker model})$$

or

$$1 = (1 - \beta S_{msy}) \exp(\ln \alpha) \exp(\sum_i \gamma_i \bar{X}_i) \exp(-\beta S_{msy}) \exp(\sigma_e^2/2) \quad (\text{Ricker \& covariate model})$$

Uncertainty about these parameters was investigated using non-parametric bootstrapping of the residuals from the regression. Using the bootstrap procedure, 1000 new samples of the residuals, each of the same size as the observed data, were drawn with replacement from the model residuals. A new set of dependent variables are generated by adding the residuals from the original regression to the predicted recruitment values from the S-R function. In this manner a new data set is created comprised of the original values of the independent variables (spawners and covariates) and simulated values for production. The new production values are then regressed against the original values of the independent variables to produce a new, simulated vector of parameter estimates. All bootstrap data sets that produced a determinate solution for  $S_{msy}$  by the iterative estimation procedure were included in the statistical summaries of the bootstrap distributions.

The 90% confidence interval can be obtained from the 5% and 95% quartiles from the ordered bootstrap sample ( $n=1000$ ), with the exception that the upper and lower 0.5% of the samples were omitted due to their extreme values.

## **Spawner / Smolt Relationship**

Modeled results for the production function are confounded with trends in marine survival and/or increasing interactions between hatchery-produced and naturally-produced Chinook in the spawning population. In order to remove the effect of marine survival an alternative approach explored the relationship between spawner abundance and wild smolt production. A wild smolt production index was estimated from total wild adult production and CWT estimates of smolt to adult survival. The wild smolt production index was substituted for recruitment in the two parameter Ricker model, and as such survival rates were incorporated directly rather than as a covariate. The  $S_{msy}$  was obtained by iteratively solving for the transcendental function.

## Results

### Terminal Run and Age Structure

The total terminal return, including spawning escapement, brood stock, and Native catches are documented in Table 1, and escapement plotted in Figure 3. Acquiring an accurate value for annual total terminal runs has proven to be a difficult job. Each year presented different problems and substantial professional judgement was needed to determine the escapement level even when we conducted fence counts and/or mark-recapture programs. Appendix 2 presents the historical record of the spawning escapement data available and Appendix 2c provides our recommended values that we have applied in this assessment.

**Table 1. Summary of total terminal run estimated for fall Chinook salmon to the Cowichan River. (see footnotes for sources of this data and additional comments).**

| Return<br>Year | Natural Spawners <sup>a</sup> |       | Brood stock <sup>b</sup> |       | Native Fishery <sup>c</sup> |       | Terminal Run |       |
|----------------|-------------------------------|-------|--------------------------|-------|-----------------------------|-------|--------------|-------|
|                | Age3+                         | Jacks | Age3+                    | Jacks | Age3+                       | Jacks | Age3+        | Jacks |
| 1981           | 5500                          | unk   | 282                      |       | 1500                        | 1500  | 7282         | 1500  |
| 1982           | 4500                          | 2000  | 534                      | 15    | 1000                        | 1000  | 6034         | 3015  |
| 1983           | 4500                          | 5460  | 242                      | 15    | 250                         | 1000  | 4992         | 6475  |
| 1984           | 5000                          | 4042  | 278                      | 15    | 355                         | 700   | 5633         | 4757  |
| 1985           | 3500                          | 2200  | 175                      | 15    | 1000                        | 1000  | 4675         | 3215  |
| 1986           | 1832                          | 5890  | 315                      | 15    | 800                         | 800   | 2947         | 6705  |
| 1987           | 1937                          | 2085  | 582                      | 15    | 800                         | 800   | 3319         | 2900  |
| 1988           | 6200                          | 4216  | 678                      | 71    | 681                         | 450   | 7559         | 4737  |
| 1989           | 5000                          | 995   | 535                      | 94    | 1055                        | 250   | 6590         | 1339  |
| 1990           | 5300                          | 15198 | 326                      | 8     | 820                         | 150   | 6446         | 15356 |
| 1991           | 6000                          | 1341  | 1408                     | 347   | 250                         | 70    | 8100         | 1758  |
| 1992           | 8500                          | 4589  | 1750                     | 89    | 260                         | 12    | 10510        | 4690  |
| 1993           | 5058                          | 5765  | 1972                     | 228   | 295                         | 22    | 7325         | 6015  |
| 1994           | 5050                          | 13345 | 1357                     | 145   | 345                         | 227   | 6752         | 13717 |
| 1995           | 14300                         | 10517 | 2149                     | 512   | 533                         | 120   | 16982        | 11149 |
| 1996           | 12980                         | 6483  | 1616                     | 258   | 810                         | 150   | 15406        | 6891  |
| 1997           | 9845                          | 6771  | 128                      | 110   | 191                         | 0     | 10164        | 6881  |
| 1998           | 4371                          | 3065  | 1487                     | 201   | 1073                        | 0     | 6931         | 3266  |
| 1999           | 4500                          | 1380  | 1610                     | 13    | 233                         | 89    | 6343         | 1482  |
| 2000           | 5109                          | 1879  | 1529                     | 43    | 89                          | 0     | 6727         | 1922  |
| 2001           | 3282                          | 1626  | 1732                     | 0     | 918                         | 120   | 5932         | 1746  |
| 2002           | 2505                          | 1677  | 1610                     | 6     | 1500                        | 0     | 5615         | 1683  |
| 2003           | 2494                          | 1822  | 862                      | 14    | 825                         | 0     | 4181         | 1836  |
| 2004           | 2226                          | 1503  | 495                      | 20    | 320                         | 4     | 3041         | 1527  |

Footnotes:

- Natural spawning values and basis from Appendix 2 of this report.
- Brood stock values are hatchery records, 1981-1987 data provided by Barry Cordocedo (S.E.P., pers. comm.). Adult records in these years included Jacks but reliable records of the number of Jacks were not available. Usually only 10-15 Jacks were collected each year with the exception of the first few years of operation.
- 1981-1987 data for Native catches provided by Fishery Officer records, 1983-1987 data based on combined surveys of the Fishery Officers and the Cowichan Band Management Unit. Data for 1988 and onward from contract observers and/or survey results provided by the Cowichan Band Management Unit. Values for Jack Chinook previous to 1988 are of unknown accuracy.



Escapements between 1985 and 1994 were only slightly better than those observed prior to the 1985 PST. The lowest escapements on record, 2147 and 2519 adult spawners (biased low) were observed in 1986 and 1987, respectively. In 1995 and 1996 spawning escapements doubled those previously observed. Unfortunately, the increases observed in 1995 and 1996 have not been maintained in recent years. Escapements in the last seven years have not achieved the existing escapement goal (7400). Age structure in the total terminal run is provided in Appendix 3.

## **Results of the Cohort Analysis**

### **Catch Distribution**

To calculate the annual distribution of total fishing mortalities, each brood year contributing to an annual catch must be represented by CWT groups. For the Cowichan Chinook stock, this limits the information for years prior to 1991, due to the absence of tagging in the 1984 and 1986 brood years. However, the majority of fishing mortality on this stock consistently occurred in the Strait of Georgia sport fishery. On average, total mortality of Cowichan Chinook in that sport fishery accounted for 34% of the annual production (total fishing mortality plus escapement) for this stock. Approximately 75% of this mortality occurs in the northern portion of the Strait of Georgia (Pacific Fishery Management Areas 13-16). When the Strait of Georgia troll fishery was operating, it also had significant catch of the stock, followed then by the southern BC net fisheries (this catch predominately occurred in the Johnstone Strait nets). Annual distributions of total fishing mortality, as a portion of the annual production, on Cowichan River fall Chinook are documented in Appendix 6.

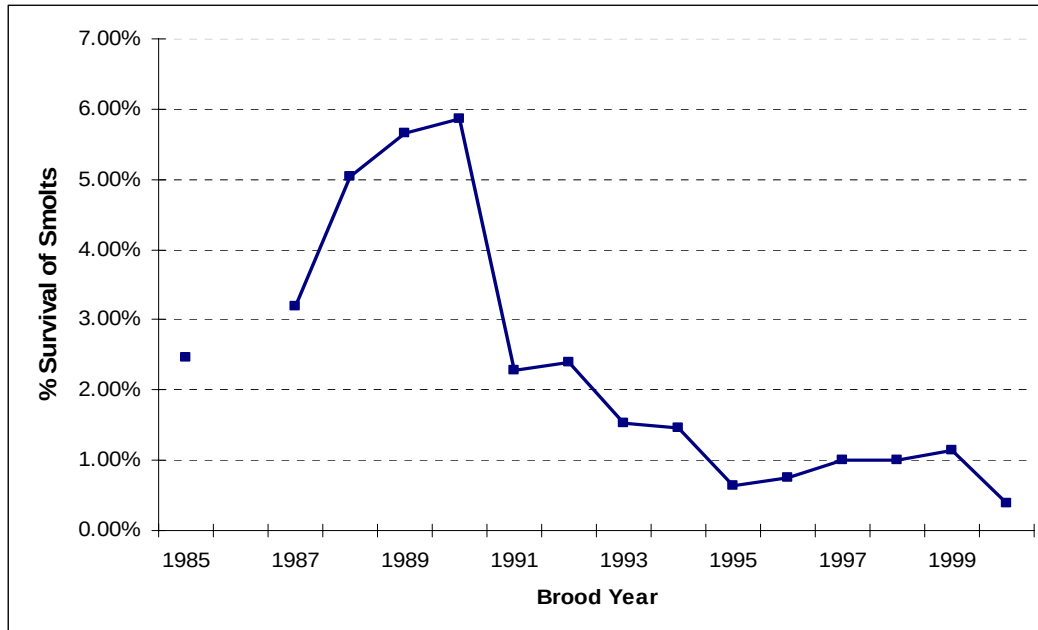
### **Trends in Marine Survival Rates**

Survival rates are estimated as the estimated tags in the Age-2 pre-fishery recruitment divided by the CWT tags released. The estimated survival rate for Cowichan Chinook released from the hatchery has varied between <1% to about 6% since the 1985 brood year (Figure 5). Since 1995 survival rates have on average been less than 1%.

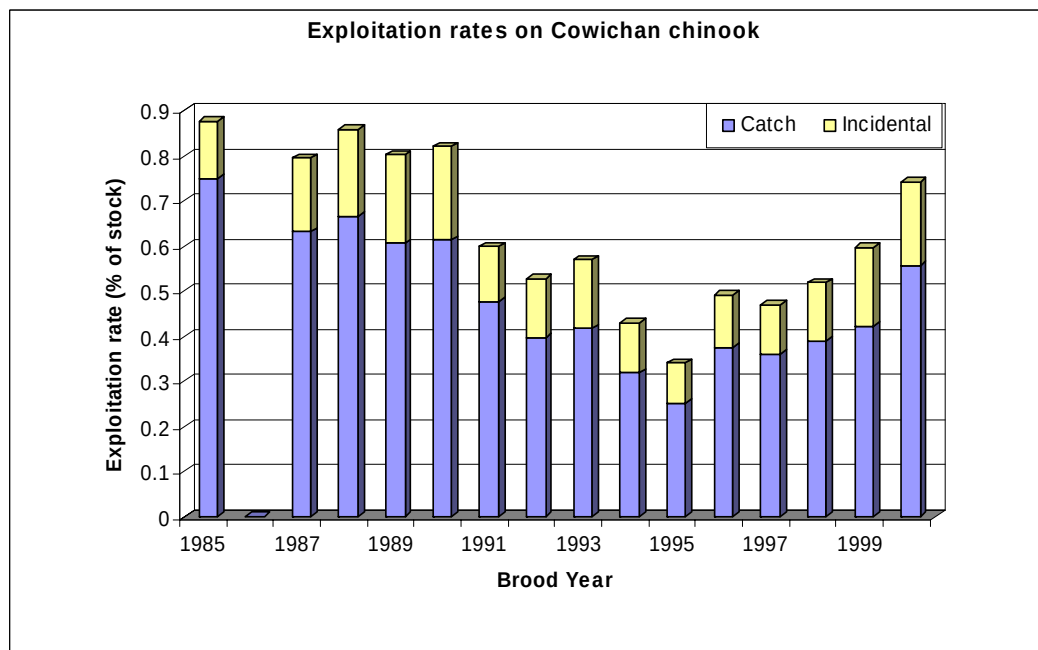
### **Brood Exploitation Rates**

Total exploitation rate (figure 6) is reported as the sum of reported catch and incidental mortality associated with fishing. Values are expressed in Adult Equivalence (the proportion of fish of a given age that in the absence of fishing would leave the ocean and return to the terminal area and spawn) and for both estimates the denominator includes total fishing mortality and total spawning escapement.

Exploitation rates on Cowichan Chinook reached a high of 88% for the 1985 brood year. As a result of conservation measures to reduce harvest rates on coho in the late 1990s exploitation rates on Cowichan fall Chinook were reduced to 30%. However exploitation rates on brood years following 1995 have gradually increased to over 70% (Figure 6) likely as a result of changing fishery dynamics due to loss of coho opportunity. Sport fishing pressure has been redirected to Chinook in the Northern Georgia Strait, west coast Vancouver Island, southern US, and the terminal area. Commercial fishing patterns were altered to avoid Thompson coho and WCVI Chinook stocks of concern. Consequently, while the Georgia Strait sport fishery continues to have the highest impact on Cowichan Chinook (since the late 1990's) other fisheries; Southern US net, terminal sport, WCVI troll and WCVI sport have increased harvest rates (Appendix 6). On average, incidental mortality has accounted for 24% of the total AEQ fishing mortality but reached a high of 29% in brood year 1999.



**Figure 5. Estimated marine survival rates for Cowichan fall Chinook released from the Cowichan hatchery, brood years 1985 – 2000.**



**Figure 6. Total fishing mortality (catch and incidental mortality, AEQ total exploitation rates) on Cowichan fall Chinook salmon, for brood years with coded-wire tags and quantitative escapement sampling.**

## Results of the Production Function Analyses

Chinook production that has resulted from the naturally-spawning component of this population (since the 1981 brood year) has been estimated based on:

- annual estimates of the age-structured terminal run,
- exploitation rates and maturity rates by age, adult equivalence factors; each estimated via the cohort analysis,
- and annual estimates of the contribution of hatchery fish to the fish that spawn naturally.

A summary table of the numbers of spawners, estimated total production, brood year specific marine survival rates, and percentage of the spawners attributed to hatchery production is presented in Appendix 7. Data and the analyses are available in Excel 2002 workbooks and can be acquired from A. Tompkins (250-729-8382 or e-mail: tompkinsa@pac.dfo-mpo.gc.ca).

Conducting a stock-recruitment analysis on this data set involves a relatively short time series and the data contain a substantial amount of uncertainty. For example, we have not been able to quantify measurement error for this time series of data since various methods have been employed and estimates of recruits per spawner vary by over 25 times. Consequently, we assessed the results of three combinations of models and two data sets in order to examine an appropriate escapement goal for this stock (at this time).

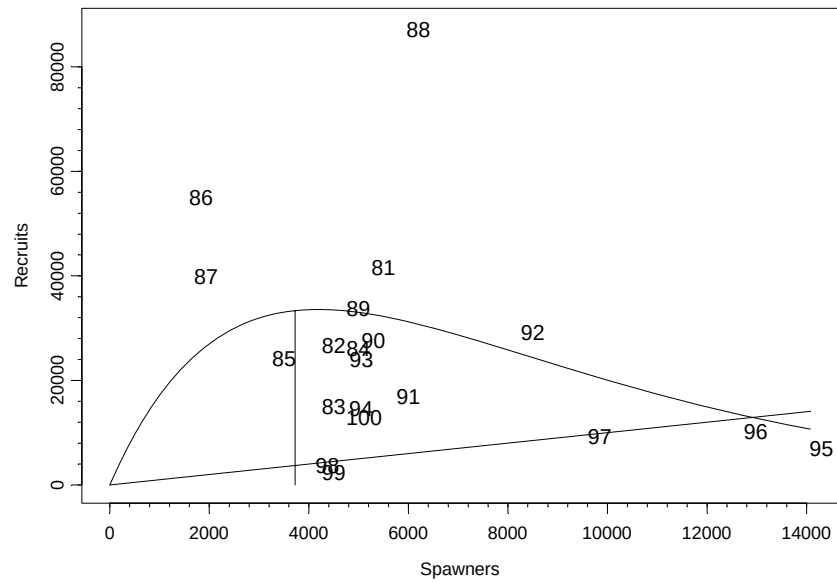
Our initial assessment involved the Ricker stock/recruitment relationship (equation 1). The plot of the simple Ricker function clearly indicates the variability in this data and the clumping of most of the data in a limited range of the spawning escapement range (Figure 7).

As marine survival rates affect production and given the extent of hatchery production involved with this population, we also examined the influence of two covariates, marine survival (SR) and the proportion of hatchery fish in the natural spawning population (pHat, equation 3). Initially, we examined whether pHat was linearly related to recruits per spawner (Figure 8). The linear regression with the proportion hatchery was significant ( $p = 0.006$ ,  $R^2 = 0.489$ ), and each co-efficient in the regression was significant. The regression equation was:

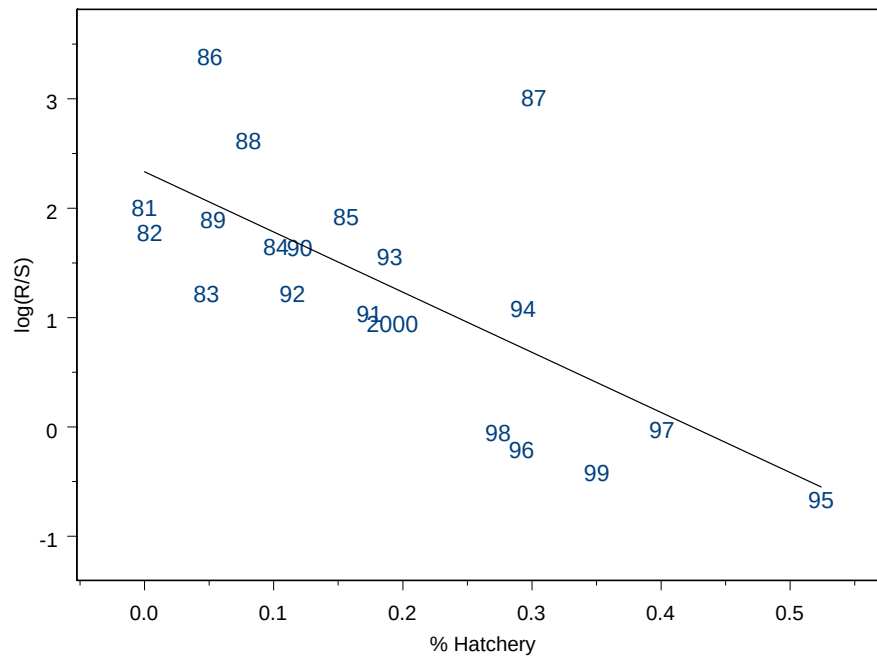
$$\text{Log}(R/S) = 2.333 - 5.50 \text{ pHat}$$

The parameter values and measures of fit (probability values included in the brackets) for the Ricker model and the Ricker model plus marine survival or proportion hatchery as a covariate (equation 3) are presented in the following table.

| Parameter estimates from models fitted to complete time series: Brood Years 1981-2000 |                   |                    |                     |          |       |               |           |           |
|---------------------------------------------------------------------------------------|-------------------|--------------------|---------------------|----------|-------|---------------|-----------|-----------|
| Model                                                                                 | Log( $\alpha$ )   | $\beta$            | $\gamma$            | $\sigma$ | $R^2$ | Model p-value | $S_{msy}$ | $U_{msy}$ |
| Ricker Only                                                                           | 2.73<br>(0.000)   | 0.0002<br>(0.0009) |                     | 0.84     | 0.46  | 0.0009        | 3,724     | 0.89      |
| Ricker +Survival Rate                                                                 | 2.252<br>(0.000)  | 0.0002<br>(0.106)  | 0.5611<br>(0.0054)  | 0.68     | 0.67  | 0.0001        | 5,127     | 0.81      |
| Ricker + pHat                                                                         | 2.225<br>(0.0000) | 0.0002<br>(0.0221) | -0.5183<br>(0.0166) | 0.73     | 0.62  | 0.0003        | 5,281     | 0.81      |



**Figure 7. Ricker stock/recruitment relationship for the production data presented in Appendix 7. The solid vertical line indicates the location of the estimated optimal escapement value.**



**Figure 8. Linear regression of  $\log(\text{Recruits}/\text{Spawner})$  versus proportion hatchery in the natural spawning population, data in summary Appendix 7. Symbols indicate brood year.**

Although the Ricker model provides a statistically significant fit to these data, the fitted regression only accounted for 46% of the variation in recruits per spawner. The parameter values for the estimated function were unrealistic for a naturally spawning Chinook population. The estimated productivity for the stock would be almost 15 mature adults produced per spawner, the estimate of optimal spawners would be 3724, and the sustainable exploitation rate for maximum sustained yield (MSY) would be almost 90%! Furthermore, a plot of the residuals against brood year revealed serial correlation in the time series indicative of non-stationary production (Appendix 8a). Both autocorrelation and partial autocorrelation functions detected lag 1 autocorrelation. The presence of autocorrelation in the residuals resulting from the Ricker function suggested the model was not completely specified and estimates of  $S_{msy}$  had the potential for time-series bias.

The Ricker model plus either covariate provided a better fit than the Ricker model alone. Ricker with survival rate or proportion hatchery respectively, performed similarly ( $R^2 = .67$  and  $.62$ ) and provided similar estimates of optimal spawners (5100 and 5300).

### **Data Issues:**

The data contain a substantial amount of uncertainty, particularly in the early years. Inspection of Figure 7 and review of the historical escapement data set suggested the early data points (1981-1984, 1986-1987) should be re-evaluated for inclusion in the stock recruit analysis. We concluded these data points should be precluded from this assessment based on the following rationale:

1. Prior to 1988 total escapement estimates were based on visual counts (swims or aerial surveys). Sampling of river spawners was not initiated until 1988 the same year fence counts were established. Consequently recovery of escapement CWTs for natural spawners prior to 1988 are not available. Estimates of escapement for these years are either 4500 or 5000, were generated with information from other stocks, or are believed to be biased low. Because many of the escapements after this period center about 5000, escapements from 1981-84 represent redundant information at best. Statistics given for early brood years in Appendix 7 likely contribute more measurement error than information.
2. Also, hatchery releases from the 1984 and 1986 brood years were not tagged, so there is no direct information on survival or exploitation rates for those broods. Survival rates were estimated based on observed tags and cohort analysis from other years. The absence of coded-wire tagging of the 1984 and 1986 brood years reduces the accuracy of hatchery contributions to the natural spawning population from these broods (estimates were made by averaging the year before and after by age-class).
3. The accuracy of the spawner enumerations during 1986-1987 is likely the poorest in our data record (see Appendix 2). Extreme low flows for all of September and October limited movement of Chinook upstream. Enumeration was then limited by heavy flows in November. However, we can not preclude that Chinook were able to migrate and spawn in these November conditions. The standardized swim survey employed by the fishery officers has likely led to incorrect estimation of spawners because the distribution of fish was affected by the flow, underestimating escapement during low water flow conditions and overestimating during high water flow conditions.
4. The parameters estimates when the data points are included are simply unrealistic for a natural population of salmon.

For these reasons, and since four additional brood years: 1997 to 2000, have become available since the existing interim goal was established, brood years 1981-1984, and 1986-1987 were excluded from the second data set.

## Revised Dataset

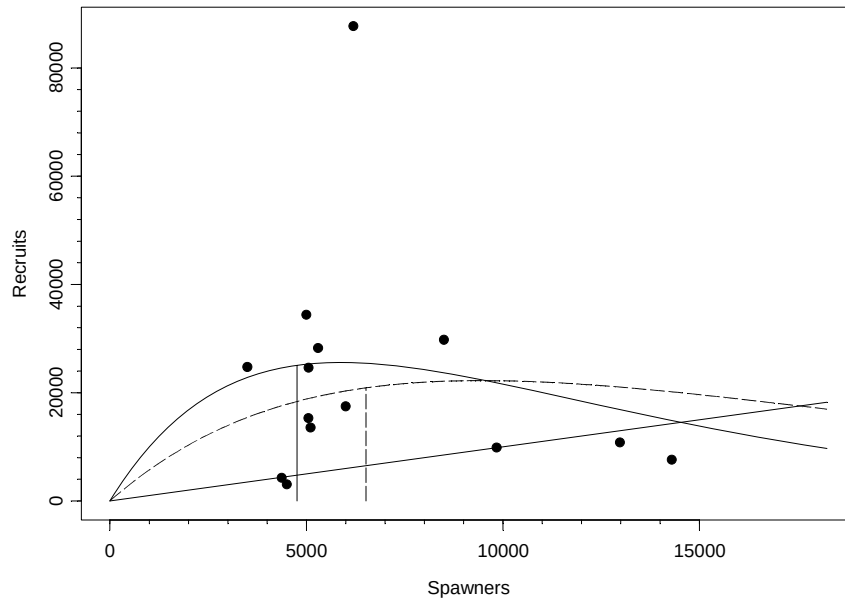
Removing the 1981-1984 and 1986-1987 brood years from this analysis produced variable results. Only the model with  $p\hat{H}$  as a covariate showed an improved fit ( $R^2=0.79$ ) but the resulting estimate,  $S_{msy}=20,903$ , was unrealistic given the historic recorded range of adult spawner abundance. The Ricker model performed poorer using the revised dataset. The model with survival rate as a covariate was basically unchanged in explaining variance in production ( $R^2 = 0.66$ ) but the residual standard error was reduced ( $\sigma = .41$ ). The Ricker model with survival rate as a covariate was selected as the model that best fit the revised dataset because it consistently behaved well for all measures of performance: estimating parameters, explaining variance in production, reducing residual standard error and eliminating time series autocorrelation (Appendix 8b).

| Parameter estimates from models fitted to reduced time series: Brood Years 1985, 1988-2000 |                           |                   |                     |          |       |                  |           |           |
|--------------------------------------------------------------------------------------------|---------------------------|-------------------|---------------------|----------|-------|------------------|-----------|-----------|
| Model                                                                                      | Log( $\alpha$ )<br>(prob) | $\beta$<br>(prob) | $\gamma$<br>(prob)  | $\sigma$ | $R^2$ | Model<br>p-value | $S_{msy}$ | $U_{msy}$ |
| Ricker<br>Only                                                                             | 2.09<br>(0.003)           | 0.0002<br>(0.037) |                     | 0.87     | 0.31  | 0.04             | 4,763     | 0.81      |
| Ricker<br>+Survival Rate                                                                   | 1.65<br>(0.003)           | 0.0001<br>(0.089) | 0.64<br>(0.006)     | 0.41     | 0.66  | 0.003            | 6,514     | 0.69      |
| Ricker<br>+ $p\hat{H}$                                                                     | 1.1<br>(0.01)             | 0.0000<br>(0.64)  | -0.8504<br>(0.0004) | 0.51     | 0.79  | 0.0002           | 20,903    | 0.51      |

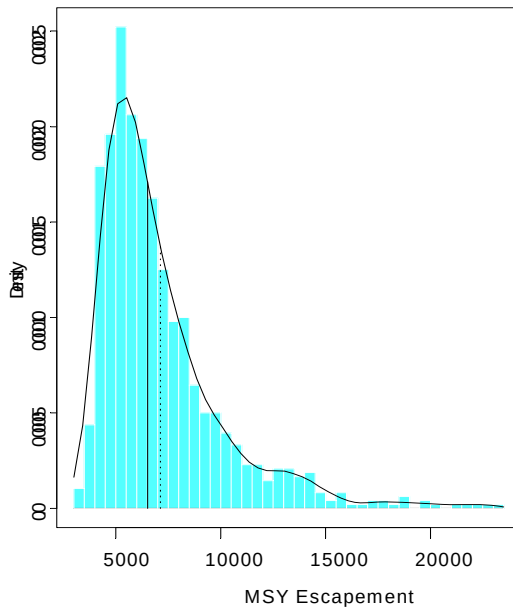
Figure 9 compares the fit of the Ricker model to the fit of S/R model with stock and survival rate as a covariate. In the covariate model the optimal spawning stock size = 6,514 and the maximum sustainable exploitation rate = 0.66.

To quantify the uncertainty in the parameters estimated from the Ricker function with survival rate as the covariate (equation 3), bootstrapping of the residuals of the fitted model was conducted. For each simulation a vector of residuals was drawn randomly with replacement from the set of residuals from the original regression and added to the predicted production values ( $R$ ). A new dataset was created comprised of the original values for the independent variables (spawning abundance and covariate) and the simulated values for production. Production was then regressed against the independent variables to produce a new vector of parameter estimates. One thousand bootstrap simulations were performed to generate distributions for the optimum escapement goal ( $S_{msy}$ , Figure 10a) and the maximum sustained exploitation rates at  $S_{msy}$  ( $U_{msy}$ , Figure 10b). All bootstrap data sets that produced a determinate solution for  $S_{msy}$  by the iterative estimation procedure were included in the statistical summaries of the bootstrap distributions. In these simulations a few extreme values occurred at each end of the distributions. Statistics in the table below excluded the upper and lower 0.05% of the values ( $N=985$ ).

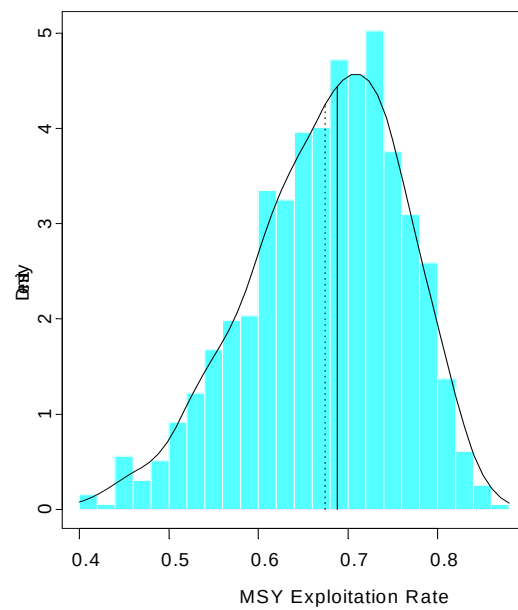
| MSY       | Model<br>Estimate | Bootstrap<br>Mean | Bootstrap<br>StDev | Bootstrap<br>CI |
|-----------|-------------------|-------------------|--------------------|-----------------|
| $S_{msy}$ | 6514              | 7922              | 6434               | (4159, 14962)   |
| $U_{msy}$ | 0.69              | 0.67              | 0.085              | ( 0.52, 0.80 )  |



**Figure 9. Ricker stock/recruitment plots for Ricker model (Stock only, solid line) and the S/R model with Stock and  $\log(SR + 1)$  as the covariate (dashed line).**



**Figure 10a.  $S_{msy}$ ;**



**Figure 10b.  $U_{msy}$**

In the plots of these bootstrap simulations, the vertical solid line is the observed (parameters from the fitted model) and the dashed lines are the mean from the bootstrapped samples.

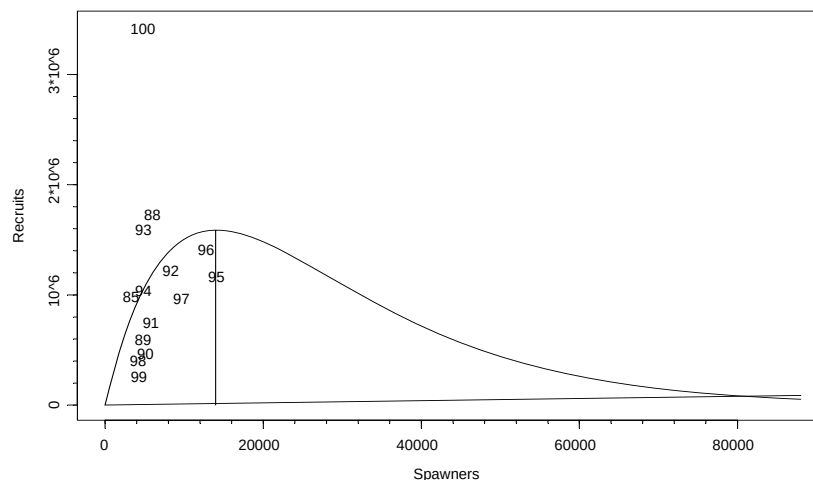
Based on the Ricker model, excluding the 1981-1984 and 1986-1987 brood years, with the survival rate to age 2 as a covariate (log transformed, equation3) the biologically-based escapement goal for adult fall Chinook in the Cowichan River was estimated to be 6,514 (90% CI = 4159, 14962). The associated maximum sustainable exploitation rate at  $S_{msy}$  was estimated to be 0.69 (90% CI = 0.52, 0.80). These results are consistent with those reported by Riddell et al. in 2000 (model estimate 6573, bootstrap mean 7405). However in the previous analysis, the bootstrap mean was incorrectly adopted as the escapement goal. The original model estimate, not the bootstrap mean, is the preferred estimate of spawning abundance that produces MSY, even though the former statistic contains some uncorrected bias (Efron and Tibshirani 1993, TCChinook (99)-3). The difference between the bootstrap mean and the original estimate is itself an estimate of the bias in the original estimate. That bias is positive, so using the bootstrap mean would potentially double the bias.

### Smolt Production

When the wild smolt production index was substituted for recruits in the Ricker stock recruit function the estimated optimal escapement value was almost 14,000 spawners. This value is more than twice the estimate based on adult production produced by the Ricker model with survival rate as a covariate. The model accounts for only 13% of the variation and productivity was constant over the range of spawner abundance observed ( $p=0.21$ ).

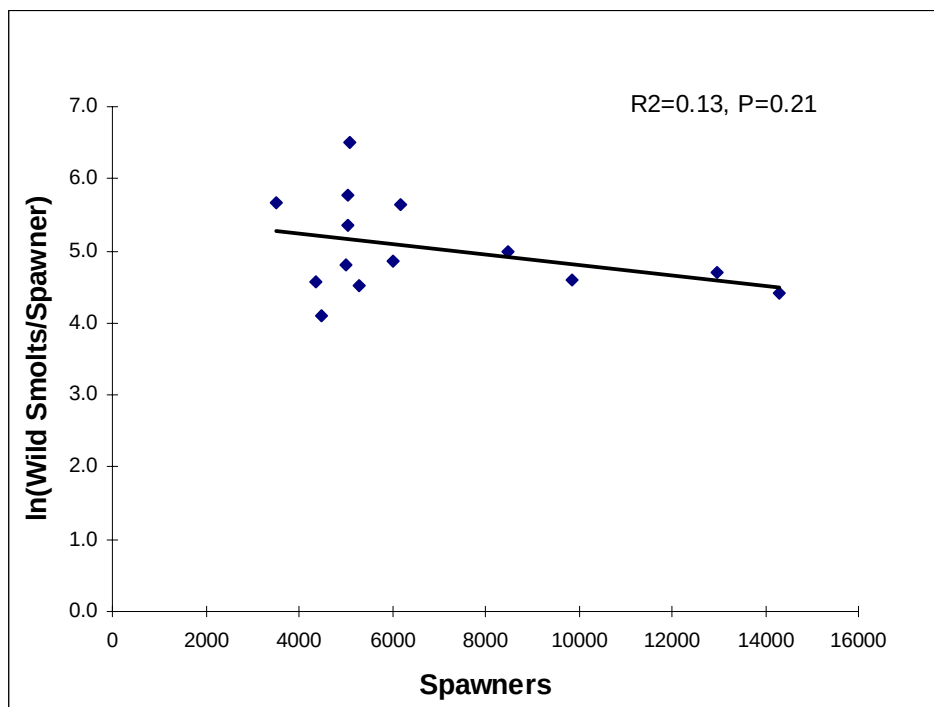
| Model                | Log( $\alpha$ ) | $\beta$       | $\sigma$ | $R^2$ | Model p-value | $S_{msy}$ |
|----------------------|-----------------|---------------|----------|-------|---------------|-----------|
| Ricker (probability) | 5.52 (0.000)    | 0.0001 (0.21) | 0.41     | 0.13  | 0.21          | 13981     |

Inspection of Figure 11 shows a wide range in wild smolt production at escapements in the range of 4000-5000. Productivity of natural spawners ranged from 60 to 665 smolts per spawner ( $\ln(\text{smolts per spawner}) = 4.1-6.5$ ). Productivity was not significantly related to spawner abundance (Figure 12). However the relationship between marine survival and total smolt production had a significant negative slope (Figure 13).

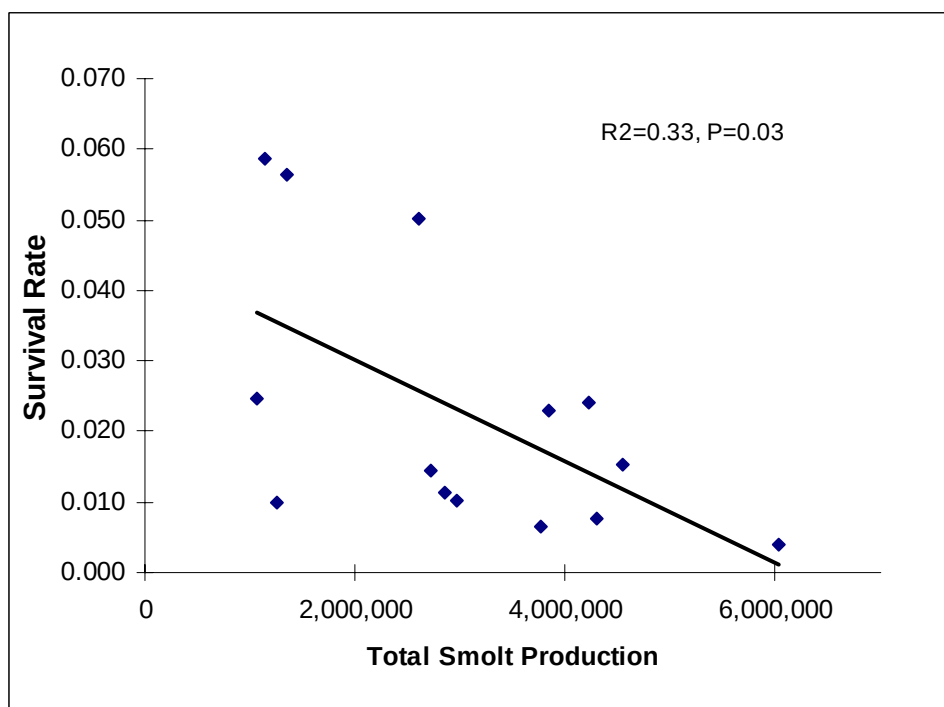


**Figure 11. Ricker stock/recruitment relationship for wild smolt production data presented in Appendix 9. The solid vertical line indicates the location of the estimated optimal escapement value.**





**Figure 12. Productivity of natural spawners over range of observed spawner abundance.**



**Figure 13. Survival rate versus total smolt production (hatchery releases and wild production).**

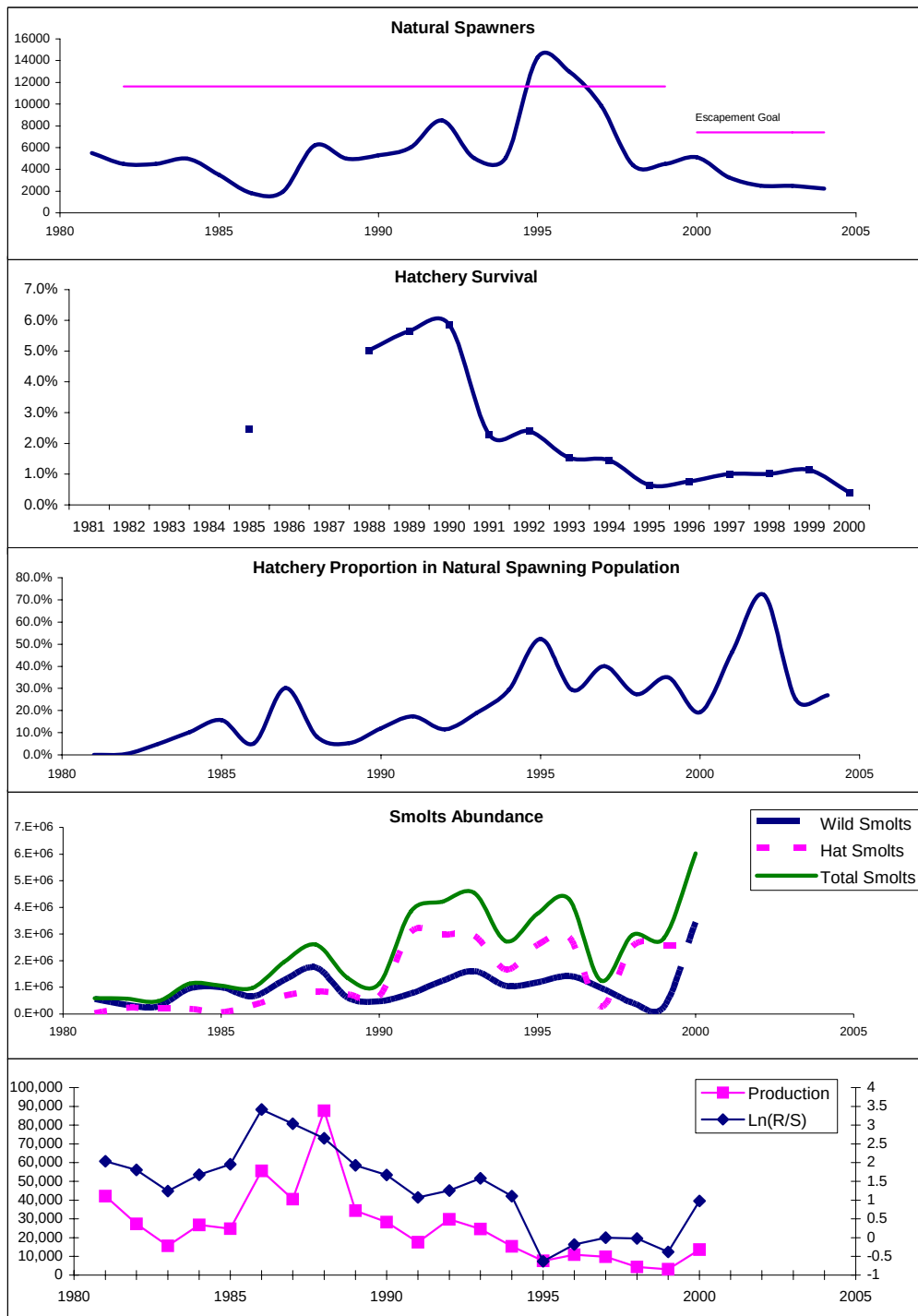
## Discussion

This assessment incorporated spawner recruit data available to 2004 and reevaluated the interim biologically-based escapement goal for Cowichan River fall Chinook, a naturally-spawning population in the lower Strait of Georgia. As previously noted this stock is not solely a natural population but is supplemented with production from the Cowichan CEDP hatchery. Production from this facility has contributed to increased numbers of Chinook spawning naturally and enabled the annual tagging of Chinook for assessment, but it also complicates interpretation and application of this assessment. For example, this assessment indicates that productivity of the naturally-spawning population decreased for brood years of larger spawning population sizes (1995 and 1996). For those years, we also noted that the proportion of hatchery fish in the natural spawning population had increased considerably and that marine survival for hatchery fish (produced in the same brood years) had decreased substantially (Figure 14). The low hatchery release from the 1997 brood year did not result in increased survival but the first brood year with reduced hatchery contribution (age 3 fish in 2000) showed increased natural productivity. Consequently, we are left with the uncertainty that our results may be consistent with a density-dependent production function, or confounded with trends in marine survival and/or increasing interactions between hatchery-produced and naturally-produced Chinook in the spawning population or as smolts in the estuary.

The determination of an optimal escapement goal is limited by the data available. Although 20 years of stock recruit data are available (1981 to 2000) only 14 years provide meaningful data. Data for brood years with the greatest uncertainty, 1981-1984, and 1986-1987, were left out of this current analysis. Since the interim escapement goal was established in 2000 four additional brood years (1997 - 2000) have been documented and utilized in this stock recruit analysis. Based on these revised data the optimal escapement was estimated to be 6,514 naturally-spawning adult Chinook (90% confidence interval 4200 to 15000). This estimate is similar to the results from the previous assessment (Riddell et al. 2000 model estimate) and consistent with the escapement goal of ~6600 developed by Parken et al 2004, using a habitat based model.

However, the data contain a substantial amount of uncertainty that can't be quantified. There are several indications that given the limitations in the data this estimate may underestimate the potential production from this stock:

1. An alternative method, substituting smolt production for recruits in the stock recruit function (incorporates CWT estimates of smolt-to adult survival directly in the estimate rather than as a covariate) suggests the estimate of  $S_{msy}$  is ~14,000. In the range of spawners observed, there was no effect of spawner abundance on wild smolt production.
2. The lack of density dependence on production is supported by the assessment of downstream juvenile migrations conducted in the Cowichan River (Nagtegaal et al. 1997a). The brood years of largest escapements, 1995 and 1997, resulted in some of the largest egg/fry survivals (8-12%, range 1.5 to 12.7%, Appendix 10) measured since 1990. Both years involved numbers of females exceeding our estimated goal. It should also be noted that the 1995 brood year experienced the lowest productivity (0.53) and the second lowest marine survival (0.64) in this time series. This suggests that some factor in the early marine environment may also be influential and that further investigation is required.
3. A preliminary assessment of spawning habitat indicates that, in the upper river alone, there is approximately 140,000 square meters of available spawning area (D. Nagtegaal, pers. comm.). The quality of this area has not been fully assessed, but conservative application of area per redd and/or suitability of habitats would still indicate that the numbers of females supported could be 6000-8000.



**Figure 14. 1981-2000 Time series of Cowichan Chinook data: spawner abundance, hatchery survival rates, proportion hatchery in the natural spawning population, smolt abundance, and productivity (adults per spawner).**

4. The contrast in observed spawning abundance at 4.1 is at the low end of the range for reliable estimates of  $S_{msy}$  and most of the observed escapements were between 4,000-6,000 spawners. When contrast is small, the estimate of  $S_{msy}$  may be determined by process error, an extreme environmental event, or measurement error, not by the underlying relationship between spawner abundance and production. When stock recruit analysis is based on a short time series, with little contrast in spawning stock sizes and non-representative sampling at low spawning stock sizes, the resulting estimates tend to overestimate  $U_{msy}$  and underestimate  $S_{msy}$ .

Comparison of ratios of wild / hatchery Chinook causes additional concern. Estimating production for the wild population relies on information derived from the tagged hatchery population. The results from cohort analysis using CWT hatchery fish (exploitation and maturation rates) are applied to the age-structured terminal wild return to estimate total production. Wild smolt abundance was subsequently derived from reconstructed production and hatchery survival rates. However if we compare the ratio of wild to hatchery abundance as smolts relative to adult return, the ratio at return is much higher (Appendix 9). The data cast doubt on our assumption that hatchery fish represent the wild population, or alternatively, suggest our sampling program may be biased (underestimating hatchery contribution in the terminal return).

A cautionary approach is recommended for the management of this stock. Present population sizes are a conservation concern, and recall that a significant portion of the return is from hatchery production. At the present poor marine survival rates (i.e., the last six brood year's survival to Age 2 recruitment was 1% or less), Cowichan fall Chinook salmon will not be able to replace spawning populations. If poor survivals persist, the number of naturally-spawning fish in this population will continue to decline. In 2004, total escapement was 2721 adult Chinook (2226 natural spawning, 495 broodstock subsequently lost), a level similar to that experienced in 1986-1987 when survival rates were three to eight times higher. At the same time ocean fishery exploitation rates have increased to 70%. Preliminary reports for 2005 escapement indicate approximately 2500 adult spawners (1600 natural spawners, 900 hatchery brood stock) and 1000 jacks (S. Baillie pers.comm.). The current trends in escapement and fishery exploitation on this population should be assessed to prevent a serious conservation issue.

## Recommendations

These authors recommend:

1. The biologically-based escapement goal for Cowichan fall Chinook should be revised from 7,400 to 6,500 naturally-spawning adult Chinook (90% CI =4159, 14962). The latter value is based on the mean value of the bootstrap simulations for the Ricker model plus survival rate (log transformed) used as a covariate.
2. The present programs of coded-wire tagging and intensive escapement monitoring should be maintained, both to continue to examine production dynamics in this stock and to monitor the developing conservation risk (given present poor marine survivals).
3. An independent estimate of the enhanced component of the return (other than CWT based estimate) should be carried out to verify the hatchery contribution to the terminal run.
4. The current level of hatchery production should be evaluated given the observed high contribution of hatchery origin fish to the spawning population.
5. Monitoring of juvenile freshwater survival should be re-established since it provides an independent measure of stock productivity.
6. Since 1995, brood year exploitation rates on this stock have steadily increased while escapements have continued to decline to well below the escapement goal. It is recommended that extreme caution

be exercised when planning fisheries that significantly impact this stock, until such time as productivity rates are known to be increasing.

7. A management plan should be established to investigate the production potential from escapements exceeding this point estimate (6500) and the effects of enhancement on wild stock productivity.

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## **Appendix 1. Summary Recommendations PSARC Salmon Subcommittee meeting October 19 – 20, 2004, Nanaimo, B.C**

### **S2004-08 A biologically based escapement goal for Cowichan River fall Chinook Salmon – Update.**

A. Tompkins, B. Riddell, D. Nagtegaal.

#### **Subcommittee Discussion**

The assessment was an update of existing methodology using data available to 2003. In keeping with the PSARC terms-of-reference dealing with updates, no pre-meeting reviews were obtained. An interim escapement goal of 7,400 (95% C.I. 4,185- 18,915) was accepted by PSARC in May 2000 based on available stock-recruitment data. Consistent with the original report, the present analysis used spawning stock size and two covariates (marine survival and hatchery contribution to the natural spawners) to assess impacts on recruitment and recommended an escapement goal of 8,600 spawners (90% CI=5,004-28,386).

The review by the Subcommittee identified two concerns with the present analysis. First, an alternative analysis of the data was suggested that includes CWT estimates of smolt-to-adult survival directly in the estimate of recruitment rather than as a covariate. This would allow an evaluation of the effect of the hatchery covariate independent of potential density-dependant marine effects. Since 1990, the enhanced contribution to the naturally-spawning population has averaged 35% but ranged as high as 70% (2002) based on the incidence of coded wire tagged Chinook recovered in the escapement.

Second, the Subcommittee identified a statistical issue that needs to be resolved when estimating point estimates of  $S_{msy}$  from stock-recruitment analysis with log-normal errors. The working paper estimate of the mean  $S_{msy}$  based on the standard back-transformation to correct for bias was 8,600 spawners. The mean of the distribution of  $S_{msy}$  based on bootstrapped sampling of the residuals was 12,000 spawners. The Subcommittee could not conclude which method was superior and suggested that this needs to be resolved before acceptance of the proposed  $S_{msy}$  target. Some Subcommittee members argued for establishing guidelines for conducting stock assessments that would deal with these sorts of statistical issues and, more generally, the relevance of advice based on the median or mean of parameter estimates.

Although the analysis was not a report on stock status, the data presented in the paper showed that recent wild Chinook escapement estimates have dropped to near record lows and exploitation rate estimates have concurrently increased. The Subcommittee concluded that based on the information in the paper, the status of Cowichan Chinook is poor. One meeting participant with a habitat perspective argued that spawning success of Chinook entering the Cowichan River might be improved through flow regulation of the river. The Subcommittee noted that the Cowichan River stock is a prominent component of the Lower Strait of Georgia (LSG) indicator and warrants a priority assessment of the stock status of LSG Chinook. The Subcommittee further noted that the last LSG assessment was done in 1998.

#### **Subcommittee Conclusions**

- The revised escapement goal provided in the Update was not accepted.
- The Subcommittee concluded that a re-analysis of the stock-recruitment data be undertaken to decouple density dependent ocean survival effects from potential hatchery effects.
- The statistical issue identified by the Subcommittee for estimating point estimates of  $S_{msy}$  or other metrics derived from log-normal distributions should be resolved.

- Although the Update was not a report on stock status of Cowichan River Chinook, the recent historical low escapements are cause for conservation concern.
- The present CWT and escapement monitoring should continue.

### **Subcommittee Recommendations**

1. The Subcommittee recommended that a new stock-recruitment analysis that allows a direct assessment of hatchery effects on natural production be carried out and reported in a future PSARC working paper.
2. The Subcommittee recommended that the request for advice include input from the Ocean - Habitat Sector to consider alternative habitat-based mitigation methods for increasing spawning success such as water flow management.
3. The Subcommittee recommended that the stock status of Lower Strait of Georgia Chinook be undertaken as soon as possible given the recent historical low Chinook escapement to the Cowichan River.

## Appendix 2. Basis of naturally-spawning escapement estimates for the Cowichan River Fall Chinook, 1981-2000.

Appendix 2a. Swim survey or Fishery Officer estimates (survey S = swim, H = Helicopter, F = Fixed wing overflight)

| Year | # of Surveys | Survey Dates & Cnt.<br>(Peak count of Age 3+) |                | Expanded # Chinook | Expan. factor | Final Esc. Estimate | Comments:                                                                                       |
|------|--------------|-----------------------------------------------|----------------|--------------------|---------------|---------------------|-------------------------------------------------------------------------------------------------|
| 1981 | 4 S, 1H      | Oct. 23                                       | 3200           | 5000               | 1.56          | 5500                | Total escapement noted to likely be low                                                         |
| 1982 | 2S, 1H, 1F   | Nov. 08                                       | no value given | 4000               |               | 4500                | Based on F survey, uncertain value, basis of expansion unknown                                  |
| 1983 | 6S           | Oct. 25                                       | 1113           | 4500               | 4.04          | 4500                | Good flows for surveys                                                                          |
| 1984 | 9S           | Oct. 23                                       | 1300           | 5000               | 3.85          | 5000                | High flows, adult counts uncertain                                                              |
| 1985 | 8S           | Oct. 31                                       | 934            | 3500               | 3.75          | 3500                | High flows, poor visibility                                                                     |
| 1986 | 6S, 1H       | Nov. 08                                       | 491            | 1200               | 2.44          | 1200                | Steady low flows all fall, passage efficiency unknown, relatively low expansion factor applied  |
| 1987 | 5S           | Nov. 06                                       | 649            | 1200               | 1.85          | 1200                | Lowest recorded fall flows, passage efficiency unknown, relatively low expansion factor applied |
| 1988 | 5S           | Oct. 14                                       | 2076           | 4000               | 1.93          | 5500                | Good agreement between surveys                                                                  |
| 1989 | 5S           | Nov. 01                                       | 2267           | 5000               | 2.21          | 5000                | Low flows, good counting until late October                                                     |
| 1990 | 4S           | Oct. 19                                       | 2382           | 5000               | 2.10          | 5300                | Good agreement between surveys                                                                  |
| 1991 | 4S           | Oct. 31                                       | 3502           | 9000               | 2.57          | 10000               | Major flood very early, expansions uncertain                                                    |
| 1992 | 8S           | Oct. 27                                       | 797            | 4500               | 5.65          | 7500                | Officers adjusted upwards based on fence counts, due to high flows in late Oct.                 |
| 1993 | 5S           | Nov. 04                                       | 987            | 3355               | 3.40          | 5200                | First year of Cowichan River Management surveys                                                 |
| 1994 | 5S           | Oct. 26                                       | 1450           | 4930               | 3.40          | 5500                | Surveys by Cowichan River Management program                                                    |
| 1995 | 2S           | Oct. 25                                       | 1798           | 6653               | 3.70          | 15500               | Major flood, counts uncertain                                                                   |
| 1996 | 6S           | Oct. 22                                       | 1699           | 5776               | 3.4           | 6500                | Major flood, counts uncertain                                                                   |
| 1997 | 5S           | Oct. 23                                       | 1831           | 6225               | 3.4           | 6500                | Major flood in mid-Oct., counts uncertain                                                       |
| 1998 | 4S           | Oct. 26                                       | 1260           | 4284               | 3.4           | 4284                | Low flows through October, then above average in Nov.                                           |
| 1999 | 1S           | early Oct.                                    |                |                    |               |                     | no estimates made due to limited surveys                                                        |
| 2000 | 1S           | Sept. 13                                      | 25             | 85                 | 3.4           |                     | no estimates made due to limited surveys                                                        |
| 2001 | 3S           | Oct. 23                                       | 940            | 3196               | 3.4           | 3282                | Low flows, good counting until late October                                                     |

| Year | # of Surveys | Survey Dates & Cnt.<br>(Peak count of Age 3+) |     | Expanded<br># Chinook | Expan.<br>factor | Final Esc.<br>Estimate | Comments:                                   |
|------|--------------|-----------------------------------------------|-----|-----------------------|------------------|------------------------|---------------------------------------------|
| 2002 | 1S           | Oct. 25                                       | 418 | 1421                  | 3.4              | 2505                   | Low flows, good counting until late October |
| 2003 |              |                                               |     |                       |                  |                        | No swim surveys conducted                   |
| 2004 | 1S           | Oct. 7                                        | 58  |                       |                  | 2226                   | 1 survey no escapement estimate             |
| 2005 |              |                                               |     |                       |                  |                        | No swim survey                              |

Appendix 2b. Counting weir and/or Mark/Recapture (M/R) estimates of escapements

| Year | Weir operation:<br>Start                      End |         | Weir Counts:<br>Adults      Jacks |       | M/R<br>Adult<br>est. | Estimated Popn.<br>Size    | Comments                                                                                        |
|------|---------------------------------------------------|---------|-----------------------------------|-------|----------------------|----------------------------|-------------------------------------------------------------------------------------------------|
| 1988 | Sept. 10                                          | Nov. 02 | 5164                              | 4244  | NA                   | 6200 Age 3+<br>5000 Jacks  |                                                                                                 |
| 1989 | Sept. 13                                          | Oct. 22 | 1324                              | 1022  | NA                   | No estimate made           | Low flows, weir lost on first flood                                                             |
| 1990 | Sept. 12                                          | Oct. 25 | 4164                              | 15200 | NA                   | 4900 Age 3+<br>17000 Jacks |                                                                                                 |
| 1991 | Aug. 19                                           | Nov. 11 | 2375                              | 1626  | NA                   | 6000 Age 3+<br>4100 Jacks  | Major flood very early, minimum popn. Estimate                                                  |
| 1992 | Aug. 18                                           | Oct. 30 | 7740                              | 3694  | 7230                 | 8500 Age 3+<br>4000 Jacks  | Minimum popn estimated, mark /recapture too few tag recoveries                                  |
| 1993 | Aug. 22                                           | Nov. 22 | 5058                              | 5768  | 4601                 | 5058 Age 3+<br>5768 Jacks  | First year of complete weir counts                                                              |
| 1994 | Aug. 15                                           | Nov. 13 | 5050                              | 13381 | 3848                 | 5050 Age 3+<br>13381 Jacks | Complete weir counts                                                                            |
| 1995 | Sept. 08                                          | Oct. 18 | 10715                             | 7906  | 6329                 | 14300 Age 3+<br>8000 Jacks | Major flood mid-Oct., expanded counts based on cumulative run curve                             |
| 1996 | Aug. 30                                           | Oct. 23 | 10385                             | 5752  | 9411                 | 12980 Age 3+<br>6000 Jacks | Flooding again, expanded counts based on cumulative run curve                                   |
| 1997 | Sept. 05                                          | Oct. 03 | 4349                              | 2374  | 5547                 | 7876 Age 3+<br>3500 Jacks  | Major flood in early Oct., Mark/Recapture estimates used assuming a 50:50 sex ratio             |
| 1998 | Sept. 05                                          | Nov. 08 | 4328                              | 3065  | 2087                 | 4760 Age 3+<br>3065 Jacks  | Weir count + 10% for returns after Nov. 8 <sup>th</sup> , no inflation of Jacks                 |
| 1999 | Aug. 27                                           | Oct. 30 | 3836                              | 1290  | 3440                 | 4500 Age 3+<br>1500 Jacks  | Weir count +15% for returns after Oct.30 <sup>th</sup> , mark re-capture less than these values |
| 2000 | Sep. 8                                            | Oct. 24 | 4667                              | 1391  | 2364                 | 5109 Age 3+<br>4110 Jacks  | Weir count +15% for returns after Oct.24 <sup>th</sup> , mark re-capture less than these values |
| 2001 | Sep. 4                                            | Nov. 1  | 3361                              | 1454  | 3869                 | 3282 Age 3+<br>2535 Jacks  | Weir count +15% for returns after Nov. 1 <sup>th</sup> , mark re-capture greater than these     |

|      |                          |         |                           |                  |                      |                           | values (due to high water)                                                        |
|------|--------------------------|---------|---------------------------|------------------|----------------------|---------------------------|-----------------------------------------------------------------------------------|
| Year | Weir operation:<br>Start | End     | Chinook Counts:<br>Adults | Counts:<br>Jacks | M/R<br>Adult<br>est. | Estimated Popn.<br>Size   | Comments                                                                          |
| 2002 | Sep. 3                   | Nov. 13 | 2745                      | 1667             | 1245                 | 2505 Age 3+<br>1683 Jacks | Complete weir count.                                                              |
| 2003 | Sep. 8                   | Oct. 16 | 2015                      | 2710             | 1781                 | 1843 Age 3+<br>2723 Jacks | Flood Oct 16, poor account, expanded based on mark recapture and run timing curve |
| 2004 | Sep. 10                  | Oct. 27 | 2002                      | 1003             | 1898                 | 1898 Age 3+<br>1387 Jacks |                                                                                   |
| 2005 | Sep. 6                   | Oct. 26 | 1292                      | 992              | NA                   |                           | Good weir count. Does not include 235 adults and 53 jacks moved above fence.      |

Appendix 2c. Recommended estimate of spawning escapement based on the above summary tables. Visual estimates indicate use of the swim or over-flight data.

| Year | Basis of<br>Escapement<br>estimate | Recommended<br>escapement values:<br>Age 3+ Jacks |                   | Comments:                                                                                                                                                         |
|------|------------------------------------|---------------------------------------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1981 | Visual est.                        | 5500                                              | Unknown           | Total escapement noted to likely be low, Jacks not expanded                                                                                                       |
| 1982 | Visual est.                        | 4500                                              | Unknown<br>(2000) | Based on F survey, uncertain value, basis of expansion unknown, no estimate of Jacks, 2000 Jacks based on brood stock sampling                                    |
| 1983 | Visual est.                        | 4500                                              | 5460              | Good flows for surveys, Jack estimated based on 1.5x the adult expansion factor times the recorded count of 901 Jacks                                             |
| 1984 | Visual est.                        | 5000                                              | 4042              | High flows, adult counts uncertain; Jack estimated based on 2x the adult expansion factor times the recorded count of 525 Jacks                                   |
| 1985 | Visual est.                        | 3500                                              | 2200              | High flows, poor visibility; Jack estimated based on 2x the adult expansion factor times the recorded count of 293 Jacks                                          |
| 1986 | Visual est.                        | 1832                                              | 5890              | Steady low flows all fall, passage efficiency unknown, estimate assumes 67% counting efficiency, 50% fish available to count                                      |
| 1987 | Visual est.                        | 1937                                              | 2085              | Lowest recorded fall flows, passage efficiency unknown, estimate assumes 67% counting efficiency, 50% fish available to count                                     |
| 1988 | Combined                           | 6200                                              | 5000              | Good agreement between surveys, escapement increased to allow for early entry of Chinook and after weir removed                                                   |
| 1989 | Visual est.                        | 5000                                              | 2000              | Low flows, good counting until late October but weir lost suddenly on first flood and high winds (leaf litter), values of less confidence than previous two years |
| 1990 | Combined                           | 5300                                              | 17000             | Good agreement between surveys                                                                                                                                    |
| 1991 | Weir plus<br>early swim<br>surveys | 6000                                              | 4100              | Major flood very early (Labour Day weekend), expansions uncertain; estimate based on Officer expansions of first swim surveys and weir counts after Sept. 10      |
| 1992 | Weir                               | 8500                                              | 4000              | Weir count to late October plus allowance for late entry of Chinook, Chinook still passing when weir lost.                                                        |
| 1993 | Weir                               | 5058                                              | 5768              | First year of complete weir coverage                                                                                                                              |
| 1994 | Weir                               | 5050                                              | 13381             | Complete weir coverage                                                                                                                                            |
| 1995 | Weir                               | 14300                                             | 8000              | Major flood, counts uncertain; used weir plus expansion based                                                                                                     |

|      |                                    |                                                         |      | on cumulative run curve                                                                                                                                                                                                    |
|------|------------------------------------|---------------------------------------------------------|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Year | Basis of Escapement estimate       | Recommended escapement values:<br>Age 3+          Jacks |      | Comments:                                                                                                                                                                                                                  |
| 1996 | Weir                               | 12980                                                   | 6000 | Major flood, counts uncertain; used weir plus expansion based on cumulative run curve                                                                                                                                      |
| 1997 | Mark – Recapture of females        | 9845                                                    | 3500 | Major flood in early-Oct., counts and run curve uncertain; used M/R data assuming 50/50 sex ratio in upper river program area, and 20% Chinook distribution below the survey area (based on historical observations only). |
| 1998 | Weir counts                        | 4371                                                    | 3065 | Weir count + 10% for returns after Nov. 8 <sup>th</sup> , no inflation of Jacks                                                                                                                                            |
| 1999 | Weir counts                        | 4500                                                    | 1500 | Weir count + 15% for returns after Oct. 30 <sup>th</sup> .                                                                                                                                                                 |
| 2000 | Weir counts                        | 5109                                                    | 1457 | Weir count +15% for returns after Oct.24 <sup>th</sup>                                                                                                                                                                     |
| 2001 | Weir counts                        | 3282                                                    | 1626 | Weir count +15% for returns after Nov. 1 <sup>st</sup>                                                                                                                                                                     |
| 2002 | Weir counts                        | 2505                                                    | 1683 | Complete weir count.                                                                                                                                                                                                       |
| 2003 | Weir counts                        | 2494                                                    | 2723 | Weir counts expanded for run after Oct 16.                                                                                                                                                                                 |
| 2004 | Weir counts                        | 2226                                                    | 1503 | Weir counts expanded for run after Oct 27.                                                                                                                                                                                 |
| 2005 | Weir counts and transport upstream | 1527                                                    | 1045 | Preliminary estimate.                                                                                                                                                                                                      |

**Appendix 3. Age structure of fall Chinook salmon returning to the Cowichan river.**

Age structure estimated for the total return including enhanced and naturally-produced Chinook. Enhanced contributions by age are removed (based recoveries of CWT) from the total population before expansion to total production conducted using the cohort analyses.

| <b>Return Year</b> | <b>Age Composition of Terminal Return</b> |              |              |              |
|--------------------|-------------------------------------------|--------------|--------------|--------------|
|                    | <b>Age 2</b>                              | <b>Age 3</b> | <b>Age 4</b> | <b>Age 5</b> |
| 1982               | 33.32%                                    | 16.89%       | 46.61%       | 3.18%        |
| 1983               | 56.47%                                    | 18.84%       | 22.92%       | 1.77%        |
| 1984               | 45.78%                                    | 34.41%       | 17.71%       | 2.10%        |
| 1985               | 40.75%                                    | 35.53%       | 22.63%       | 1.10%        |
| 1986               | 69.47%                                    | 9.65%        | 17.38%       | 3.50%        |
| 1987               | 46.63%                                    | 5.69%        | 39.82%       | 7.85%        |
| 1988               | 42.21%                                    | 10.98%       | 45.65%       | 1.16%        |
| 1989               | 26.24%                                    | 50.90%       | 19.92%       | 2.95%        |
| 1990               | 72.69%                                    | 6.92%        | 18.28%       | 2.10%        |
| 1991               | 37.10%                                    | 29.57%       | 32.08%       | 1.26%        |
| 1992               | 28.07%                                    | 16.72%       | 53.33%       | 1.88%        |
| 1993               | 45.10%                                    | 26.16%       | 26.18%       | 2.56%        |
| 1994               | 67.07%                                    | 21.19%       | 10.65%       | 1.08%        |
| 1995               | 33.70%                                    | 46.73%       | 18.91%       | 0.66%        |
| 1996               | 29.38%                                    | 33.64%       | 36.28%       | 0.71%        |
| 1997               | 26.21%                                    | 47.39%       | 24.93%       | 1.47%        |
| 1998               | 32.36%                                    | 32.59%       | 34.27%       | 0.78%        |
| 1999               | 20.20%                                    | 48.87%       | 28.14%       | 2.80%        |
| 2000               | 19.73%                                    | 32.79%       | 44.60%       | 2.89%        |
| 2001               | 22.74%                                    | 45.51%       | 29.89%       | 1.87%        |
| 2002               | 23.06%                                    | 48.06%       | 27.76%       | 1.11%        |
| 2003               | 33.54%                                    | 52.34%       | 14.12%       | 0.00%        |
| 2004               | 33.43%                                    | 33.35%       | 28.04%       | 5.00%        |

**Appendix 4. Terminal adult returns and coded wire tags recovered by year and sampling location. % refers to observed tags / return. Generally all broodstock returns were sampled. Only a portion of the natural spawners and Native Fishery were sampled.**

| Return<br>Year | Natural Spawners |     |       | Brood stock |     |        | Native Fishery |     |       | Terminal Run |     |       |
|----------------|------------------|-----|-------|-------------|-----|--------|----------------|-----|-------|--------------|-----|-------|
|                | Age3+            | CWT |       | Age3+       | CWT |        | Age3+          | CWT |       | Age3+        | CWT |       |
|                |                  | Obs | %     |             | Obs | %      |                | Obs | %     |              | Obs | %     |
| 1981           | 5500             |     |       | 282         |     |        | 1500           |     |       | 7282         |     |       |
| 1982           | 4500             |     |       | 534         |     |        | 1000           |     |       | 6034         |     |       |
| 1983           | 4500             |     |       | 242         |     |        | 250            |     |       | 4992         |     |       |
| 1984           | 5000             |     |       | 278         |     |        | 355            |     |       | 5633         |     |       |
| 1985           | 3500             |     |       | 175         | 13  | 7.43%  | 1000           |     |       | 4675         | 13  | 0.28% |
| 1986           | 1832             |     |       | 315         | 4   | 1.27%  | 800            |     |       | 2947         | 4   | 0.14% |
| 1987           | 1937             |     |       | 582         | 15  | 2.58%  | 800            |     |       | 3319         | 15  | 0.45% |
| 1988           | 6200             |     |       | 678         | 6   | 0.88%  | 681            |     |       | 7559         | 6   | 0.08% |
| 1989           | 5000             |     |       | 535         | 5   | 0.93%  | 1055           |     |       | 6590         | 5   | 0.08% |
| 1990           | 5300             | 1   | 0.02% | 326         | 13  | 3.99%  | 820            |     |       | 6446         | 14  | 0.22% |
| 1991           | 6000             | 72  | 1.20% | 1408        | 199 | 14.13% | 250            | 5   | 2.00% | 7658         | 276 | 3.60% |
| 1992           | 8500             | 64  | 0.75% | 1750        | 145 | 8.29%  | 260            |     |       | 10510        | 209 | 1.99% |
| 1993           | 5058             | 187 | 3.70% | 1972        | 351 | 17.80% | 295            |     |       | 7325         | 538 | 7.34% |
| 1994           | 5050             | 24  | 0.48% | 1357        | 91  | 6.71%  | 345            | 8   | 2.32% | 6752         | 123 | 1.82% |
| 1995           | 14300            | 23  | 0.16% | 2149        | 118 | 5.49%  | 533            |     |       | 16982        | 141 | 0.83% |
| 1996           | 12980            | 28  | 0.22% | 1616        | 81  | 5.01%  | 810            | 2   | 0.25% | 15406        | 111 | 0.72% |
| 1997           | 9845             | 42  | 0.43% | 128         | 8   | 6.25%  | 191            |     |       | 10164        | 50  | 0.49% |
| 1998           | 4371             | 6   | 0.14% | 1487        | 77  | 5.18%  | 1073           |     |       | 6931         | 83  | 1.20% |
| 1999           | 4500             | 9   | 0.20% | 1610        | 68  | 4.22%  | 233            |     |       | 6343         | 77  | 1.21% |
| 2000           | 5109             | 19  | 0.37% | 1529        | 115 | 7.52%  | 89             |     |       | 6727         | 134 | 1.99% |
| 2001           | 3282             | 29  | 0.88% | 1732        | 128 | 7.39%  | 918            |     |       | 5932         | 157 | 2.65% |
| 2002           | 2505             | 3   | 0.12% | 1610        | 133 | 8.26%  | 1500           |     |       | 5615         | 136 | 2.42% |
| 2003           | 2494             | 13  | 0.52% | 862         | 35  | 4.06%  | 825            |     |       | 4181         | 48  | 1.15% |
| 2004           | 2226             | 10  | 0.45% | 495         | 11  | 2.22%  | 320            |     |       | 3041         | 21  | 0.69% |



**Appendix 5. Coded-wire tag groups for Cowichan fall Chinook salmon that are used in the cohort analyses for this stock.**

| Tag code list by brood year                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Tag code list by brood year                                                                                                                                                                                                                                                                                                                                                                                                               | Tag code list by brood year                             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| @85<br>023803<br>023804<br>023911<br>@86 ... no CWT applied<br>@87<br>024334<br>024729<br>024730<br>024735<br>024945<br>024946<br>@88<br>024860<br>025012<br>025013<br>025015<br>025016<br>025017<br>025523<br>025524<br>@89<br>020352<br>020522<br>020622<br>020623<br>020624<br>020938<br>020939<br>026103<br>@90<br>020336<br>020337<br>020338<br>020339<br>020340<br>020341<br>@91<br>180515<br>180516<br>180517<br>180518<br>@92<br>180210<br>180550<br>181042<br>181044 | @93<br>181320<br>181321<br>181322<br>@94<br>181436<br>181437<br>181438<br>@95<br>182026<br>182027<br>182028<br>182029<br>182030<br>182031<br>@96<br>182740<br>182741<br>182742<br>182743<br>182744<br>182745<br>@97<br>182761<br>182762<br>182763<br>182801<br>182802<br>182803<br>182804<br>182805<br>@98<br>183109<br>183111<br>183112<br>183730<br>183731<br>183732<br>183733<br>@99<br>183119<br>183123<br>183124<br>183125<br>183126 | @2000<br>183216<br>183217<br>184539<br>184546<br>184547 |

# Appendix 6. Distribution of total fishing mortality on Cowichan River fall Chinook salmon.

Annual values are the portion of the total fishing mortality by fishery. Total fishing mortality and the portion of the stock in the spawning escapement are presented as separate columns.

| Rec<br>Yr | AK<br>all gear | NCBC<br>all gear | WCVI<br>TROLL | GEO ST<br>TROLL | SBC<br>NET | SUS<br>NET | WCVI<br>SPORT | SUS<br>Sport | GEO ST<br>SPORT | TERMN<br>SPORT | TOTAL<br>CATCH | ESCAPE |
|-----------|----------------|------------------|---------------|-----------------|------------|------------|---------------|--------------|-----------------|----------------|----------------|--------|
| 1987      | 1.36           | 5.25             | 2.53          | 10.81           | 27.39      | 13.44      | 0.00          | 0.89         | 26.54           | 3.94           | 98.18          | 1.83   |
| 1988      | 2.38           | 2.98             | 1.74          | 29.43           | 1.31       | 4.87       | 0.00          | 2.59         | 40.26           | 3.83           | 90.44          | 9.56   |
| 1989      | 1.61           | 1.83             | 3.30          | 8.15            | 12.40      | 4.56       | 0.07          | 1.33         | 31.90           | 0.24           | 66.61          | 33.39  |
| 1990      | 0.03           | 2.91             | 3.02          | 16.24           | 11.35      | 5.40       | 0.10          | 2.70         | 41.67           | 1.09           | 88.87          | 11.12  |
| 1991      | 0.11           | 2.48             | 4.62          | 10.86           | 4.89       | 4.25       | 0.77          | 0.87         | 52.19           | 0.65           | 82.84          | 17.18  |
| 1992      | 0.19           | 2.32             | 9.62          | 19.91           | 4.13       | 1.53       | 1.31          | 1.19         | 47.84           | 0.41           | 89.91          | 10.10  |
| 1993      | 0.34           | 2.02             | 7.94          | 11.88           | 3.61       | 1.01       | 1.44          | 0.58         | 50.93           | 0.75           | 81.62          | 18.38  |
| 1994      | 0.57           | 0.78             | 4.31          | 4.51            | 7.69       | 5.07       | 0.95          | 0.82         | 37.24           | 2.41           | 66.83          | 33.16  |
| 1995      | 0.35           | 0.81             | 4.40          | 0.01            | 1.87       | 2.47       | 0.70          | 1.50         | 35.28           | 4.02           | 52.29          | 47.71  |
| 1996      | 0.63           | 0.83             | 0.14          | 0.00            | 0.68       | 1.66       | 1.19          | 5.02         | 44.63           | 2.47           | 57.37          | 42.64  |
| 1997      | 1.37           | 1.27             | 3.16          | 0.00            | 1.45       | 4.84       | 1.18          | 3.32         | 26.55           | 3.05           | 46.18          | 53.82  |
| 1998      | 3.64           | 1.14             | 0.63          | 0.00            | 0.45       | 5.71       | 1.72          | 0.06         | 24.72           | 7.59           | 45.78          | 54.22  |
| 1999      | 0.16           | 0.89             | 0.54          | 0.00            | 0.97       | 11.37      | 4.47          | 2.05         | 36.81           | 5.22           | 63.35          | 36.64  |
| 2000      | 1.69           | 0.22             | 1.95          | 0.00            | 0.04       | 8.18       | 5.32          | 3.42         | 18.11           | 6.12           | 45.12          | 54.88  |
| 2001      | 0.70           | 3.30             | 10.45         | 0.00            | 0.25       | 14.05      | 0.66          | 5.59         | 24.67           | 2.12           | 62.07          | 37.92  |
| 2002      | 1.09           | 4.03             | 4.52          | 0.00            | 0.12       | 3.40       | 0.83          | 5.23         | 23.41           | 10.32          | 53.62          | 46.39  |
| 2003      | 2.34           | 12.73            | 8.06          | 0.00            | 0.08       | 9.18       | 11.93         | 2.61         | 26.31           | 2.25           | 76.21          | 23.79  |
| 2004      | 0.97           | 6.88             | 13.90         | 0.00            | 2.10       | 7.70       | 18.30         | 0.00         | 21.63           | 3.24           | 77.22          | 22.78  |
| avg 87-04 | 1.08           | 2.92             | 4.71          | 6.21            | 4.49       | 6.04       | 2.83          | 2.21         | 33.93           | 3.32           | 69.14          | 30.86  |
| avg 95-04 | 1.29           | 3.21             | 4.78          | 0.00            | 0.80       | 6.86       | 4.63          | 2.88         | 28.21           | 4.64           | 57.92          | 42.08  |

## Appendix 7. Summary table of data used in the estimation of production functions for Cowichan River fall Chinook salmon

| Brood Year | Natural Spawners | % Hatchery in Nat.Spawn | Total Adult Prod. (Fish.Mort + Esc.) | Returns per Spawner | LN(R/S) | Smolt Survival Age 2 Cohort est. | Survival Estimation Comments:                                |
|------------|------------------|-------------------------|--------------------------------------|---------------------|---------|----------------------------------|--------------------------------------------------------------|
| 1981       | 5500             | <b>0.00%</b>            | <b>42129</b>                         | <b>7.66</b>         | 2.0360  | <b>7.44%</b>                     | No escapement data, estimated                                |
| 1982       | 4500             | <b>0.40%</b>            | <b>27326</b>                         | <b>6.07</b>         | 1.8038  | <b>7.94%</b>                     | using observed tag recoveries and                            |
| 1983       | 4500             | <b>4.36%</b>            | <b>15622</b>                         | <b>3.47</b>         | 1.2446  | <b>5.71%</b>                     | cohort analysis from other years                             |
| 1984       | 5000             | <b>10.20%</b>           | <b>26705</b>                         | <b>5.34</b>         | 1.6754  | <b>2.80%</b>                     | NO CWT releases                                              |
| 1985       | 3500             | 16.81%                  | 24727                                | 7.06                | 1.9551  | 2.47%                            |                                                              |
| 1986 a     | 1832             | 5.06%                   | 55583                                | 30.34               | 3.4125  | <b>8.40%</b>                     | NO CWT releases                                              |
| 1987 b     | 1937             | 30.16%                  | 40435                                | 20.87               | 3.0385  | 3.19%                            |                                                              |
| 1988       | 6200             | 8.05%                   | 87700                                | 14.15               | 2.6494  | 5.03%                            |                                                              |
| 1989       | 5000             | 5.30%                   | 34377                                | 6.88                | 1.9279  | 5.65%                            |                                                              |
| 1990       | 5300             | 12.02%                  | 28244                                | 5.33                | 1.6732  | 5.86%                            |                                                              |
| 1991 c     | 6000             | 17.41%                  | 17481                                | 2.91                | 1.0693  | 2.29%                            |                                                              |
| 1992 d     | 8500             | 11.46%                  | 29758                                | 3.50                | 1.2530  | 2.40%                            |                                                              |
| 1993 e     | 5058             | 18.99%                  | 24621                                | 4.87                | 1.5826  | 1.53%                            |                                                              |
| 1994       | 5050             | 29.31%                  | 15288                                | 3.03                | 1.1077  | 1.45%                            |                                                              |
| 1995       | 14300            | 52.40%                  | 7599                                 | 0.53                | -0.6322 | 0.64%                            |                                                              |
| 1996       | 12980            | 29.20%                  | 10808                                | 0.83                | -0.1831 | 0.76%                            |                                                              |
| 1997       | 9845             | 40.06%                  | 9850                                 | 1.00                | 0.0005  | 1.00%                            |                                                              |
| 1998       | 4371             | 27.39%                  | 4283                                 | 0.98                | -0.0203 | 1.01%                            |                                                              |
| 1999       | 4500             | 35.03%                  | 3068                                 | 0.68                | -0.38   | 1.13%                            |                                                              |
| 2000       | 5109             | 19.21%                  | 13547                                | 2.65                | 0.98    | 0.39%                            | Estimated based on survival observed through 2004 recoveries |

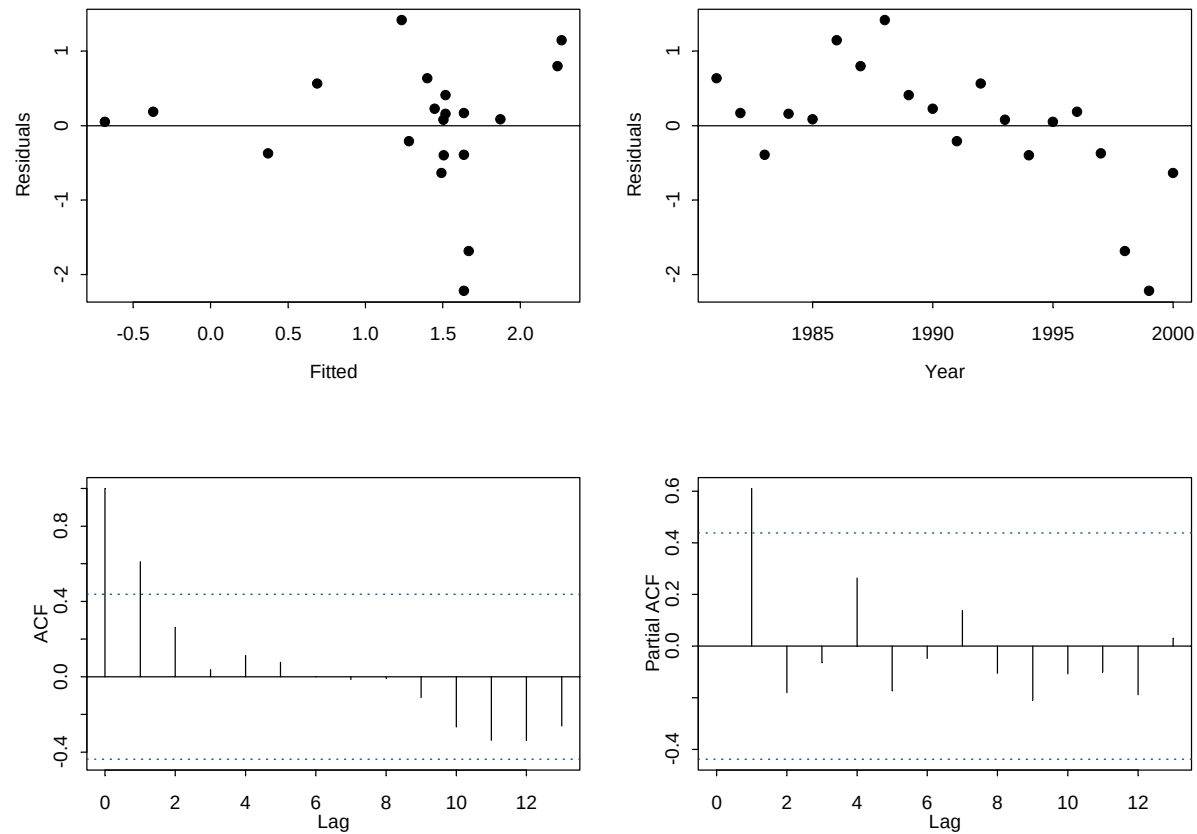
- a exceptionally low water conditions followed by floods, very uncertain value
- b exceptionally low water conditions followed by floods, very uncertain value
- c very early high water, migration pattern very unusual
- d Otolith analysis of returns indicated enhanced portion of 61%
- e Otolith analysis of returns indicated enhanced portion of 55%

## Appendix 8. Residual Diagnostic Plots

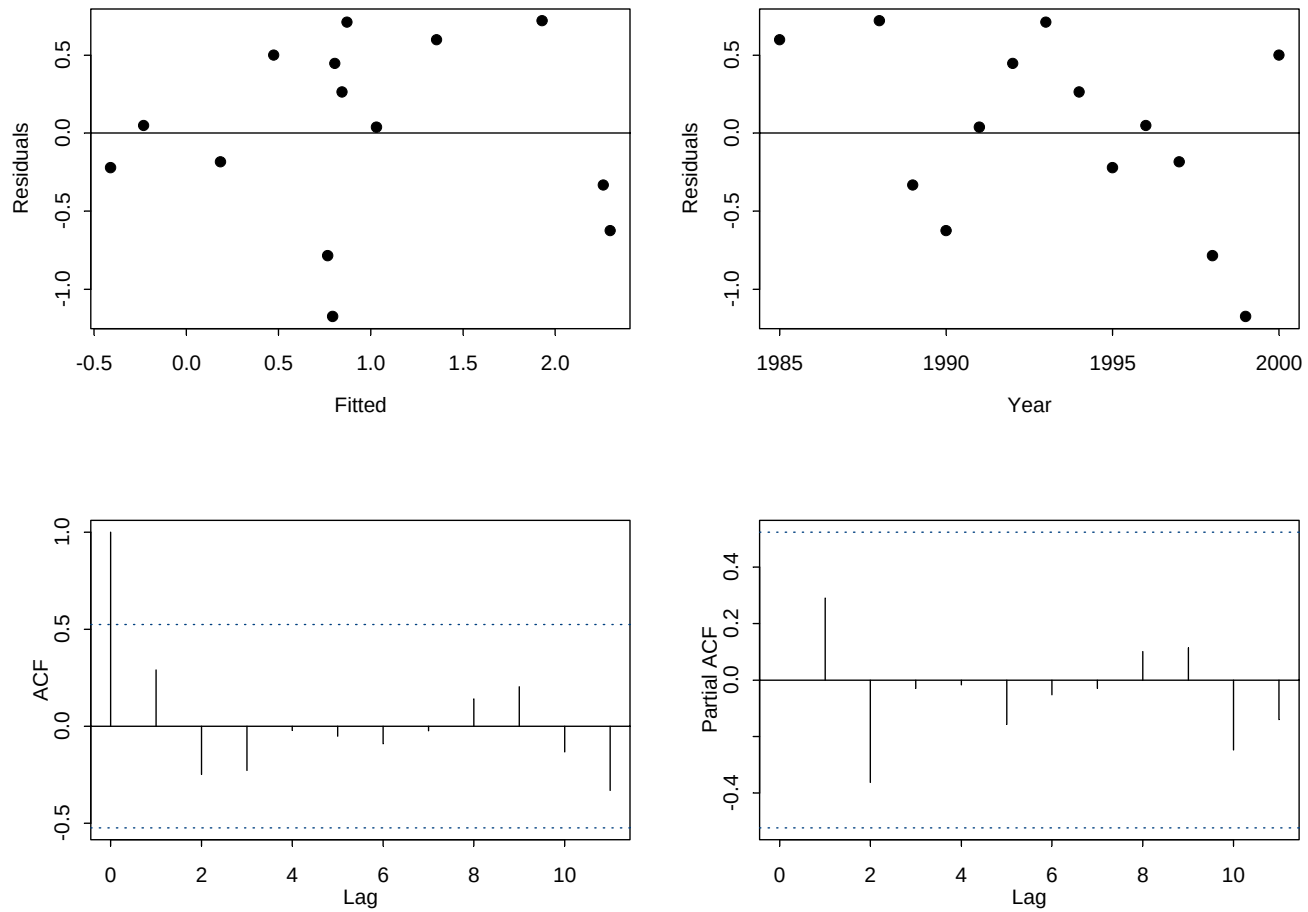
Row 1, Residuals plotted against predicted values; residuals plotted by broodyear.

Row 2, Plot of autocorrelation function and partial autocorrelation function of the stock-recruit relationship.

8a) Ricker stock-recruit function using brood years 1981-2000.



**8b) Ricker stock-recruit function with survival rate as a covariate, using brood years 1985, 1988-2000.**



**Appendix 9. Wild smolt production index estimated from total wild adult production and CWT estimates of smolt to adult survival.**

| Brood<br>Year | Natural<br>Spawners | Natural<br>Production | Survival<br>Rate | Wild<br>Smolts | Hatchery<br>Releases | Total<br>Smolts | Smolts<br>Wild/Hatchery<br>y | Adult Return<br>Wild/Hatchery | Ln(Wild Smolts<br>/Spawner) |
|---------------|---------------------|-----------------------|------------------|----------------|----------------------|-----------------|------------------------------|-------------------------------|-----------------------------|
| 1985          | 3500                | 24,727                | 2.5%             | 1,001,085      | 63,886               | 1,064,971       | 15.67                        | 22.05                         | 5.656                       |
| 1988          | 6200                | 87,700                | 5.0%             | 1,743,880      | 855,282              | 2,599,162       | 2.04                         | 10.04                         | 5.639                       |
| 1989          | 5000                | 34,377                | 5.6%             | 608,545        | 736,939              | 1,345,484       | 0.83                         | 3.17                          | 4.802                       |
| 1990          | 5300                | 28,244                | 5.9%             | 482,152        | 655,901              | 1,138,053       | 0.74                         | 4.53                          | 4.511                       |
| 1991          | 6000                | 17,481                | 2.3%             | 763,686        | 3,079,120            | 3,842,806       | 0.25                         | 1.36                          | 4.846                       |
| 1992          | 8500                | 29,758                | 2.4%             | 1,238,899      | 2,975,343            | 4,214,242       | 0.42                         | 1.36                          | 4.982                       |
| 1993          | 5058                | 24,621                | 1.5%             | 1,610,273      | 2,931,614            | 4,541,887       | 0.55                         | 2.04                          | 5.763                       |
| 1994          | 5050                | 15,288                | 1.4%             | 1,055,094      | 1,666,569            | 2,721,663       | 0.63                         | 1.68                          | 5.342                       |
| 1995          | 14300               | 7,599                 | 0.6%             | 1,181,849      | 2,588,958            | 3,770,807       | 0.46                         | 2.21                          | 4.415                       |
| 1996          | 12980               | 10,808                | 0.8%             | 1,425,872      | 2,878,343            | 4,304,215       | 0.50                         | 2.06                          | 4.699                       |
| 1997          | 9845                | 9,850                 | 1.0%             | 981,079        | 270,494              | 1,251,573       | 3.63                         | 9.83                          | 4.602                       |
| 1998          | 4371                | 4,283                 | 1.0%             | 422,825        | 2,543,136            | 2,965,961       | 0.17                         | 0.60                          | 4.572                       |
| 1999          | 4500                | 3,068                 | 1.1%             | 271,264        | 2,582,056            | 2,853,320       | 0.09                         | 0.19                          | 4.099                       |
| 2000          | 5109                | 13,547                | 0.4%             | 3,438,325      | 2,582,057            | 6,020,382       | 1.18                         | 4.11                          | 6.512                       |

**Appendix 10. Estimated egg to fry survival for wild Cowichan River Chinook from downstream trapping program.**

| Brood Year | Escapement | % females | Estimated Females | Fecundity | Estimated Eggs | Estimated Fry | Egg/fry Survival | Estimated fry |         | Egg/fry Survival |         |
|------------|------------|-----------|-------------------|-----------|----------------|---------------|------------------|---------------|---------|------------------|---------|
|            |            |           |                   |           |                |               |                  | 95% LCI       | 95% UCI | 95% LCI          | 95% UCI |
| 1990       | 5300       | 55        | 2915              | 4082      | 11899030       | 479856        | 4.03             |               |         |                  |         |
| 1991       | 6000       | 54        | 3240              | 3531      | 11440440       | 810240        | 7.08             |               |         |                  |         |
| 1992       | 8500       | 55        | 4675              | 4013      | 18760775       | 349298        | 1.86             | 250704        | 447891  | 1.34             | 2.39    |
| 1993       | 5058       | 59        | 2984              | 3861      | 11522073       | 173387        | 1.50             | 168365        | 178409  | 1.46             | 1.55    |
| 1994       | 5050       | 55        | 2778              | 3484      | 9676810        | 169828        | 1.75             | 153643        | 184382  | 1.59             | 1.91    |
| 1995       | 14300      | 51        | 7293              | 3501      | 25532793       | 3092626       | 12.11            | 264097<br>2   | 3544279 | 10.34            | 13.88   |
| 1997       | 9845       | 54        | 5316              | 3723      | 19792585       | 1638211       | 8.28             | 137609<br>7   | 1900324 | 6.95             | 9.60    |
| 1998       | 4371       | 47        | 2054              | 3826      | 7860020        | 173225        | 2.20             | 85159         | 193718  | 1.08             | 2.46    |
| 1999       | 4500       | 62        | 2777              | 3711      | 10303592       | 673726        | 6.54             | 546060        | 915723  | 5.30             | 8.89    |
| 2000       | 5109       | 57        | 2907              | 4098      | 11912972       | 664715        | 5.58             | 385911        | 757678  | 3.24             | 6.36    |
| 2001       | 3282       | 53        | 1748              | 4024      | 7039207        | 895180        | 12.72            | 480505        | 1340148 | 6.83             | 19.04   |